

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND FOUR HUNDRED AND TWENTY-FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, September 22, 2006

The Board of Trustees met at its regular monthly meeting on Friday, September 22, 2006, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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September 22, 2006 meeting, Board of Trustees

The Chairman, Judge Duncan, called the meeting of the Board of Trustees to order on September 22, 2006, at 11:30 a.m. He requested the Secretary to call the roll.

Present: Robert M. Duncan, Chairman, Karen L. Hendricks, G. Gil Cloyd, Jo Ann Davidson, Douglas G. Borrer, Leslie H. Wexner, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Alan W. Brass, Thekla R. Shackelford, Yoonhee P. Ha, and Christopher Alvarez-Breckenridge.

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INTRODUCTION OF NEW TRUSTEES

Judge Duncan:

Good morning. I would like to call the meeting of the Board of Trustees to order. We are extremely delighted to be joined this morning by our newest Board members.

First, let me introduce Alan Brass, from Toledo, OH. His career spans over three decades in healthcare and he has predominantly been associated with large multi-hospital systems and with major academic centers. He previously held administrative positions with Children's Hospital here in Columbus, and is now chief executive officer for ProMedica Health System in Toledo. ProMedica is one of the largest and fastest growing healthcare systems in the Midwest. Mr. Brass received his undergraduate degree from Youngstown University and his master's degree in hospital and health services administration and finance from The Ohio State University, and is an adjunct faculty member in our School of Public Health.

Next, I would like to introduce Jack Fisher of Columbus. Jack serves as executive vice president of The Ohio Farm Bureau Federation, Inc., a 229,000-member state organization representing agriculture at local, state and national levels. Jack holds bachelor's and master's degrees in agriculture from The Ohio State University and a master's degree in counseling from Ball State University. He is a member of the OSU Department of Animal Science Hall of Fame, and has been awarded the OSU College of Food, Agricultural and Environmental Sciences' Distinguished Service Award.

Teckie Shackelford, of Gahanna, is an educational consultant and founder of School Selection Consulting. She is currently serving as chairperson of the I KNOW I CAN scholarship board in Columbus, the president of Project GRAD-Columbus, and the secretary-treasurer of Project GRAD-Ohio. She is also a member of the board of directors of Project GRAD-USA. She currently is on the board of The Ohio State University Foundation, where she served as chair. Teckie is a former school teacher whose major focus for her activities has been all levels of education. She received her Bachelor of Arts degree from Denison University and her master's degree from OSU. She has been inducted into the Ohio Foundation of Independent Colleges' Hall of Excellence, the Central Ohio Business Hall of Fame, and the Ohio Women's Hall of Fame.

Ladies and gentlemen, please join me in a warm welcome to our three new members of the Board of Trustees.

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Judge Duncan:

On the agenda you will note that we are proposing a resolution establishing and appointing the Presidential Search Committee. This committee had its first introductory meeting last week and they will begin their work shortly. Periodically, we will update the Board as to their progress. Also, as a result of the addition of our

new Board members, we are amending our committee appointments. Therefore we have two resolutions that we have proposed and ask your action on: 1) Establishment and Appointment of a Presidential Search Committee; and 2) the amended Committee Appointments.

**ESTABLISHMENT AND APPOINTMENT OF
PRESIDENTIAL SEARCH COMMITTEE**

Resolution No. 2007-27

Synopsis: Establishment and appointment of Presidential Search Committee is proposed.

WHEREAS Dr. Karen A. Holbrook has announced her decision to retire from the presidency of The Ohio State University in June 2007; and

WHEREAS it is the responsibility of the Board of Trustees, pursuant to section 3335.09 of the Ohio Revised Code, to select the President of the University; and

WHEREAS the Board of Trustees has determined that it is appropriate to establish and appoint a Search Committee with broad representation of University constituencies and charge it with responsibility for recommending to the Board one or more candidates to be the next President of the University; and

WHEREAS in order to proceed expeditiously with the commencement of the search it is appropriate to constitute and give an initial charge to the Search Committee:

NOW THEREFORE

BE IT RESOLVED, That the Search Committee for the President of The Ohio State University will have the following membership:

Trustees

Douglas G. Borrer
G. Gilbert Cloyd
Jo Ann Davidson
Karen L. Hendricks
Robert H. Schottenstein
Alex Shumate
Leslie H. Wexner

Members of the Faculty

Kevin G. Boyle, Professor of History
Marilynn Brewer, Professor of Psychology
L. S. Fan, Distinguished University Professor, Chemical and Biomolecular Engineering
Susan E. Metros, Professor, Industrial, Interior, and Visual Communication Design and Deputy Chief Information Officer
Terry A. Miller, Ohio Eminent Scholar in Experimental Physical Chemistry
Linda J. Saif, Distinguished University Professor, Food Animal Health--OARDC; Veterinary Preventive Medicine
Harald E. Vaessin, Professor of Molecular Genetics

Deans

Joseph A. Alutto, Dean, Fisher College of Business and Executive Dean for Professional Colleges; and
Jacqueline J. Royster, Executive Dean for Arts and Sciences and Senior Vice Provost

Students

Una M. Chung, Inter-Professional Student

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Ryan M. Fournier, President, Undergraduate Student Government
Waverly L. Gordon, President, Council of Graduate Students

Administrators

Fred Sanfilippo, Senior Vice President for Health Sciences and Executive Dean
of the Health Sciences Colleges Cluster
Mac A. Stewart, Vice Provost for Minority Affairs and Chief Diversity Officer

Alumni Association representative

Archie Griffin, President and CEO, Alumni Association

Foundation Board representative

Jack Lucks, Jr., Chair of the Foundation Board

Non-Teaching Staff representative

Jay S. Young, Chair, University Staff Advisory Council

BE IT FURTHER RESOLVED, That Alex Shumate shall serve as Chair of the Search Committee and that the following people will serve the Board and Committee as follows: David O. Frantz, liaison; Christopher M. Culley, general counsel; and Maureen T. Sharkey, administrative coordinator; and

BE IT FURTHER RESOLVED, That each member of the Search Committee shall commit herself or himself to representing the best interests of the University in planning and directing all aspects of a comprehensive and expeditious search for a new President including, but not limited to, developing a profile of characteristics, skills and qualities desired in the next president; developing a list of candidates and verifying their qualifications, availability and interest in the position; and making a recommendation of one or more candidates to the Board in a timely fashion; and

BE IT FURTHER RESOLVED, That the Chair of the Search Committee shall provide periodic updates to the Board of Trustees at its regularly scheduled meetings until the search is concluded; and

BE IT FURTHER RESOLVED, That the Search Committee shall serve until discharged by the Board of Trustees or upon the appointment of a new President; and

BE IT FURTHER RESOLVED, That any actions taken by the Search Committee or the Chair in furtherance of this Resolution prior to its effective date are hereby ratified and approved.

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2006-2007

Resolution No. 2007-28

BE IT RESOLVED, That the appointments to committees and representatives to various Boards for 2006-2007 be amended as follows:

Academic and Student Affairs Committee:

Douglas G. Borrer, Chair
John D. Ong, Vice Chair
Robert H. Schottenstein
G. Gilbert Cloyd
Thekla R. Shackelford
Alex Shumate
JOHN C. FISHER
Yoonhee P. Ha

Fiscal Affairs Committee:

Jo Ann Davidson, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Leslie H. Wexner
Brian K. Hicks
Walden W. O'Dell
ALAN W. BRASS
Christopher A. Alvarez-Breckenridge

Investments Committee:

Dimon R. McFerson, Chair
Walden W. O'Dell, Vice Chair
Jo Ann Davidson
Robert H. Schottenstein
John D. Ong

THEKLA R. SHACKELFORD

Ex Officio:

John Gerlach, Jr. (Found Bd)
David A. Rismiller (Found Bd)

Audit Committee:

Robert H. Schottenstein, Chair
Robert M. Duncan, Vice Chair
THEKLA R. SHACKELFORD
James Gilmour (3/1/05 – 2/29/08)

Agricultural Affairs Committee:

Walden W. O'Dell, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Robert M. Duncan
John D. Ong
JOHN C. FISHER
Yoonhee P. Ha
Christopher A. Alvarez-Breckenridge

Medical Center Affairs Committee:

Dimon R. McFerson, Chair
Brian K. Hicks, Vice Chair
Jo Ann Davidson
G. Gilbert Cloyd
Robert H. Schottenstein
ALAN W. BRASS
Ellen Hardymon
David Lauer
Donald Shackelford

Governance Committee:

Robert M. Duncan, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Jo Ann Davidson

Government Relations Advisory Council:

Robert M. Duncan, Chair
Douglas G. Borrer, Vice Chair
Jo Ann Davidson
Brian K. Hicks
David L. Brennan
Thomas Hoaglin
Rich Hillis
Stephen C. Landerman

**The Arthur G. James Cancer
Hospital & Richard J. Solove
Research Inst. Bd:**

Karen L. Hendricks, Chair
Robert H. Schottenstein

University Hospitals Board:

Brian K. Hicks

Campus Partners Board:

Robert M. Duncan

**Research Foundation Board of
Directors:**

G. Gilbert Cloyd

**University Foundation Board Ex
Officio Class of Directors:**

Karen L. Hendricks (2007)
Robert H. Schottenstein (2008)
Douglas G. Borrer (2009)

Affiliated Entities Committee

Robert M. Duncan (2007)
Karen L. Hendricks (2008)
Dimon R. McFerson (2009)
JOHN C. FISHER (2010)

**Science and Technology Campus
Board:**

ROBERT M. DUNCAN

Regional Campus Trustee Liaison:

Robert H. Schottenstein

Upon motion of Dr. Cloyd, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous voice vote.

PRESIDENT'S REPORT

President Karen A. Holbrook:

Good morning to all of you, and let me also add my welcome to Teckie, Jack, and Alan. It is delightful to have you with us and I will enjoy working with you this year.

We begin another school year that promises to be an outstanding year. I want to spend most of my time talking about the students and about the faculty who will lead us through this coming year. Before doing that, I will make a couple of

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announcements that I hope are already familiar to you that have come about since we were last together at the July Board meeting.

I think that you know that in late August we learned that we now rank #19 in the *U.S. News and World Report* for our undergraduate program in the "America's Best Colleges." Since 2002, OSU has moved up from #24 to #22, and up now to #19; moving four spots is really quite commendable for the University in a rather short period of time.

We also announced in August the results of an economic impact study that we did with our other two research university partners in the state, Case Western and the University of Cincinnati. This study showed that collectively our three institutions brought in about \$6.2 billion annually of income that impacts the state in a very positive way and that we account for approximately 68,000 jobs. If you have an opportunity to look at the study it gives you a number of measures of economic impact that show us what higher education is doing in such a relevant and important way for the state of Ohio. Among the three of us, we also bring in 80% of the imported research dollars to the state among our academic institutions, so that is a very positive stat.

You have heard that the Metro School opened on August 28. Not only were the 97 ninth graders welcomed at their own school, they were welcomed on our campus by attending the Freshman Convocation. They were resoundingly cheered by our freshmen, so we were very proud of that. It's a very exciting opportunity for these students.

Last week we announced the Department of Athletics' gift of \$5 million to the Library Renovation Project, something very important to this University. It now brings us to \$20 million of the \$30 million that we need to capture as we go forward with the project. So we are working on that last \$10 million very diligently, but we are grateful to Athletics for what they have done.

I am also delighted to introduce our new vice provost for Graduate Studies and dean of the Graduate School, subject to your approval today, Dr. Pat Osmer, former chairperson of the Department of Astronomy. His leadership over astronomy in the last thirteen years has included building research and graduate programs in the department, as well as his significant role in Ohio State's position with a large binocular telescope in Arizona, something we can be extremely proud of. All the successes that Pat has brought to his department and to the University overall, gives us great confidence that he is going to be an extraordinary leader to continue to advance the quality of our graduate program to a high level of excellence.

Pat, would you stand so we can welcome you to our rank? Thank you very much for joining us. It is a very important role for the University.

You have all heard about the incoming class, but it never hurts to say, again, that this is the 12th best class we have ever brought into The Ohio State University. We are very grateful to *The Columbus Dispatch* for having a front-page story about the "new elite" University in the state of Ohio. Comparing us with Miami of Ohio is a very different comparison, because we are very different institutions. Nonetheless, it showed what the statistics were for the quality of the incoming class.

While we won't know the final numbers of the incoming class until the first fifteen days of school have been concluded, we do know that 43% of those students were in the top 10% of their high school graduating class and 80% of those students were in the top 25%. Both of those numbers were up from last year. Their ACT average score was 26.4; again, that keeps rising every single year. The percentage of students of color is now 16%; up nearly 6%. We are very proud of that figure as diversity is one of the six goals of the Academic Plan and something that this institution is very committed to.

This is a bigger class than ever. We have 6,150 incoming freshmen, but it could be 6,200 students by the time we have the final count. The incoming students come from all 88 counties in Ohio, and from 46 of the 50 states. The incoming freshmen also have these statistics: 64% of the students worked while they were in high school; 59% of them earned a varsity letter, so we are bringing in great athletes; 30% of them were participants in state music concerts or contests; and 25% of them received some kind of recognition for doing community service during their high school years.

Nine out of ten of our freshmen have returned as sophomores. That means we officially have a 91% retention rate, which is very high considering that it was 79% in 1995. So we have moved up rather dramatically and that is part of bringing in stronger students at the front end. The second statistic -- I'm reporting today for the first time publicly -- is our graduation rate is now up to 71%. That is very significant. We were at 55% for the class entering in 1994. That is a great rise and we want to see that continue to grow as well.

We have made great strides in the quality of our students, and we think it's based on a strong academic reputation, the quality and the breadth of our programs, and the outstanding faculty who are committed to teaching and to their research. In the research realm, they've also brought in a record number in terms of research funding for the year. We closed the books at about \$589 million this year, which is very substantial for the University.

We welcomed about 200 new faculty this year. I want to give you the profiles of five new faculty, because it illustrates the diversity of people, where they come from, what they're doing, and what their backgrounds are. I'm going to say something about a full professor, a chairperson, an eminent scholar, and someone who is very unique in the arts, as well as an associate professor and assistant professor. This will give you a range of what our faculty is going to look like.

Dr. Anita Hopper is the new chairperson of Molecular Genetics. She is a very highly-regarded geneticist, who came from Penn State University, where she was a distinguished professor in biochemistry and molecular biology. She is a fellow of the American Academy of Microbiology. She is part of our interdisciplinary RNA program, which is a very renowned program for this University that spreads across many colleges and departments, and has outstanding research. We're actually getting some other new faculty coming here simply because of this program. Her reason for coming to Ohio State was because of the strong interdisciplinary program in her own discipline.

We are also bringing in Dr. Julian Thayer, who joins the Department of Psychology from the National Institutes of Health, where he has been a senior researcher since 2000. He is The Ohio Eminent Scholar in Health Psychology and his research focuses on how people adapt to stress, something very useful in the academic environment. He also talks about how the coping strategies for stress can actually cause psychological problems and illness. He has been a Fulbright fellow, had an early career award in behavioral medicine, and has been elected to the Academy of Behavioral Medicine. He is also an accomplished jazz bassist and uses music to confirm his research, and finds a psychological link between music and emotion.

Peter Kozma has been named the opera director for the School of Music. He was a stage director, originally from Hungary, but most recently comes from the Minnesota Opera. He has worked with the Miskolk Summer Festival, Wolf Trap Opera, and the Des Moines Metro Opera. He plans to stage a main-stage opera this spring with full orchestra, costumes, and set. He earned his master's degree in opera directing at the University of Texas, where he is currently working on a doctoral degree. Peter completed his undergraduate degree in voice performance at the Frantz Liszt Academy of Music in Budapest, where he formed a chamber opera group. So he will be a unique addition to our faculty.

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Dr. Wandasen Gubrayus has joined the faculty in the Department of Veterinary Preventive Medicine in the College of Veterinary Medicine as an associate professor. He received his Doctor of Veterinary Medicine degree from Addis Ababa University in Ethiopia, and then completed a comparative biomedicine degree at North Carolina State University. He is a Diplomat of the American College of Veterinary Medicine, and his research tracks bacterial and viral food-borne pathogens, particularly in swine populations. He joins a growing interdisciplinary program in emergency preparedness for emerging infectious diseases, which is one of the Target Investment in Excellence proposals in the College of Veterinary Medicine and the School of Public Health.

Dr. B. Scott Gaudi is a new assistant professor of Astronomy. He earned his Ph.D. degree at The Ohio State University in 2000, then received two post-doctoral fellowships -- at Princeton and Harvard. He is what is known as a "planet-hunter"; he finds planets around faraway stars. Even before he graduated from Ohio State, he was already becoming prominent in the international "planet-hunting" community, developing techniques of finding planets like our own and other stars. In 2000, *Discovery Magazine* named him as one of the top 20 scientists to watch in the next 20 years. Ultimately, his work could answer the question of whether we are alone in the universe.

These are five very unique faculty members. In meeting all of them the other night, I can tell you they come from outstanding universities all across the country, including many from Colorado, Texas, Berkley, and Virginia. You name a quality university and our faculty represents those universities and that's not just the new faculty. Those faculty members join an already very distinguished and very accomplished faculty that's here, and those are the people that are preparing the students for the future.

I think the year's off to a very good start. I'm confident that we're going to have much more good news as the year goes on and I look forward to sharing it with all of you. Thank you very much.

Judge Duncan:

Dr. Osmer, this Board is well aware of your outstanding scholarship and distinguished teaching, and you have served the University admirably. Good luck in your new position where you will continue to serve the University.

Dr. Osmer:

Thank you very much. I am very excited about the opportunity to work with the graduate program and we would like to build on everything President Holbrook has just described. We are going to take the graduate program to the next notch, which is a key step to advancing the University. I am grateful for the chance to work with the Graduate School.

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STUDENT RECOGNITION AWARD

Mr. Alvarez-Breckenridge:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of their achievement in his or her area of study, service to the University and/or community, and research achievements that have been a credit to the college and the University.

This month's recipient is Erica Johnson, who was nominated by Dean Mel Shipp from the College of Optometry. Erica is a fourth-year student, pursuing a

Doctorate in Optometry degree and a Master of Science degree in vision science.

Erica's achievements prior to entering the College of Optometry are numerous and served as a segue for many of her accomplishments at Ohio State. After graduating from Central Michigan, where she served as the student body president and graduated with a degree in biology and a minor in chemistry, she spent a year as a Traveling Leadership Consultant for her sorority, Alpha Chi Omega. She followed this experience with a fruitful summer spent at Ohio State as a T-35 Summer Research Trainee. Her research project, which was funded by the NIH and the National Eye Institute, produced an abstract that was accepted by the American Academy of Optometry.

Erica's leadership qualities continued to blossom during her studies as an optometry student. She has made a significant service contribution to the community by serving as a student volunteer for Optometric Services for Humanity while also representing her classmates as the Class of 2007 president of the College of Optometry Student Council.

Erica's ability to balance her schoolwork, leadership responsibilities, and service prompted Dean Shipp to praise Erica as an "exceptional scholar, gifted clinician, and exemplary leader in the College of Optometry." Beyond these qualities, Erica excels in her inter-personal skills, being described as "uncommonly mature...a consensus builder...well respected by her peers and universally praised by our faculty."

Erica is certainly one of the most recognizable faces in the College of Optometry due to the time she served as president for the Optometry Student Council where she was the spokesperson for all optometry students. Additionally, Erica has been coordinating Welcome Week events for all first-year students while serving as the president of the Ohio State Chapter for the American Optometric Student Association.

In short, Erica is making great strides as a scholar, clinician, and leader within the field of optometry. Additionally, she has done a remarkable job of balancing her academic obligations with her numerous co-curricular activities. She has truly made an indelible mark on the College of Optometry and the University at large.

Erica, on behalf of the Board of Trustees, I am very pleased to present you with this award today. Your achievements are truly remarkable and you are indeed an outstanding student. Congratulations and best of luck in your future endeavors.

Ms. Erica D. Johnson:

I would like to thank the Board for this wonderful honor. It is truly a privilege to represent the College of Optometry and Ohio State. I would also like to especially thank Dr. Shipp for his guidance and leadership. He has been a wonderful addition to the College of Optometry and we are lucky to have him as a role model in both optometry and his leadership in life overall.

I've had so many great opportunities that Ohio State has afforded me -- from research of children and vision and learning, to going to the State House in Ohio and Washington, DC, to lobby for our profession -- it's been quite an honor.

While I have the chance, I would like to publicly thank all of our faculty members who have been a wonderful support to us, to encourage us and just lift us up, and role model what it is to be a serving community member and, especially, in the

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optometry profession. I would also like to thank my fiancé, who's been there for the celebrations and more of the successes and challenges that have happened.

Thank you so much for this honor. It has really been a privilege to represent Ohio State and the College of Optometry. I look forward to many more connections with Ohio State in the future. Thank you.

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COMMITTEE REPORTS

Judge Duncan:

The Affiliated Entities Committee met yesterday morning and all members were present. There are no action items to report out. I will mail you a written report and attach a chart which identifies and further sets forth relevant information about each of the entities. Also, there will be some more detailed information regarding the Metro High School that Dr. Holbrook mentioned and I'll try to get that done next week.

Mr. Schottenstein will now report on the Audit Committee meeting.

Mr. Schottenstein:

Thank you, Mr. Chairman. The Audit Committee met yesterday afternoon and all members were present. We received a brief update from the internal auditors concerning the status of the Fiscal Year 2007 Audit Plan and we also received a brief update from our external auditors, Deloitte and Touche, with regard to the status of the Fiscal Year 2006 Audit Plan. There are no items to report at this time. That concludes my report.

Judge Duncan:

Mr. Hicks, would you please give the Medical Center Affairs Committee report?

Mr. Hicks:

Thank you, Mr. Chairman. While we had no action items to report, we did have several updates this morning. We had a pretty robust agenda.

Our first update was on the Master Plan, which is a \$700 million, 10-year plan, with two phases. We heard an update on a best buy stacking proposal that will allow us to go forward on the new cancer hospital and critical care bed tower.

We have a couple of issues that are coming in front of us on the consent agenda today that came from the Fiscal Affairs Committee. We expect a number of other projects to come before us next month, but a lot of progress is happening very rapidly on the Master Plan.

We then heard an update from Keith Todd on our Capital Campaign, the "Power to Change Lives." As you'll recall, this is a \$500 million campaign that was publicly kicked off in November. Thus far, we have obtained about 57% of the goal -- \$288 million -- but we've got about \$212 million to go. We are making good progress and the campaign proceeds at pace. Mr. Todd talked to us about some of the performance metrics that he has instituted with regard to the development officer, which we think would be very helpful down the road to complete this project and other initiatives from a capital campaign standpoint.

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Next, Mr. Stone gave us a financial overview. The Medical Center closed out Fiscal Year 2006, which was a very good year. All patient activity and financial indicators were up, including the fact that we had a 6.5% operating margin, which is very important to what we have going on down the road. We had a very interesting discussion about the first two months of this year -- our numbers were even better for the first two months -- and we hope that they will continue to be as good and robust for the rest of the year.

We did have a good discussion about establishing fixed financial targets for the Medical Center to protect our debt rating from a University standpoint, but also to make sure that the Medical Center has enough cash flow. As we go through this rapid growth, we need to make sure we have financial stability and discipline. So we're going to look critically on the operating margin as a metric on days, cash on hand from a reserve standpoint, and then debt service. This is a work in progress, but it is very important that we have these metrics and we measure the Medical Center operations as a result of these metrics.

Dean Souba talked to us about the Clinical and Transactional Science Awards, which is a new program from the Department of the National Institutes of Health. We will be going after a \$30 million, five-year NIH grant and will be seeking and making that application in January. We have a committee that is working on this to put together both the program and the grant. We will have a very strong pediatric component for this, and next month we will be asked to consider the creation of a center that will handle this STSA-CPSA grant from an organizational standpoint for the Medical Center.

Finally, Mr. Chairman, we had a very brief report from Dr. Sanfilippo on the status of the Institute for Personalized Health Care, and a brief discussion about the Biomedical Research Tower, which is coming to a conclusion. The BRT will have a grand opening in November, with move-in in December. So we're pleased with that, and I'll be happy to answer any questions.

Judge Duncan:

Are there any questions for discussion? Thank you. Mr. O'Dell, Investments Committee --

Mr. O'Dell:

Dr. Jim Schroeder presented the Development Report in which he focused on the full year, ending in June. Cash receipts for the year were up 3% to \$207 million. Total fundraising activities during the period of time, which includes the quests and trusts, increased 12% to \$284 million; a very fine performance in a very difficult year.

Next, Mr. Nichols presented the Endowment Report. The endowment had a 10.9% return in the year ending June 30, and now stands at \$2.17 billion as of August 31. This year the endowment fund will distribute \$88 million to the colleges, up from \$84 million last year.

We then reviewed the Investment Policy Benchmarking and Asset Allocations, which included recommendations from outside consultants. It is clear that work is still needed to shift our endowment portfolio to the mixed group two years ago, and that an additional movement is still required to shift to what can be considered best practice. There will be a recommendation to the full Board on this matter at the November meeting. We also approved the routine Appointment and Reappointment of Investment Managers.

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Finally, Mr. Shkurti made a presentation regarding the endowment assessment for development activities, which was set at 1.1% on a portion of the fund for Fiscal Year 2007. That concludes my report.

Judge Duncan:

Any questions for Mr. O'Dell? We will now have the Academic and Student Affairs Committee report. Mr. Borror --

Mr. Borror:

Today we first heard from Dr. Mike Sherman on the health and safety of Ohio State University students studying abroad, and what we as an institution are doing. I will tell you we have a very comprehensive plan to deal with student safety. We received a detailed report concerning the recent events in the Middle East, and our students that were there were handled very efficiently and effectively, and removed as they requested from any harmful situation. Our administration is on top of this and other issues, and, I believe, is fully prepared to react quickly to situations as they arrive with our overseas students.

Next we heard from Interim Vice President Hollingsworth on campus area safety. He reported that they are redoubling their efforts to prevent unruly behavior -- post-game and off-campus -- and working with student groups, as well as the City of Columbus Safety Department to ensure future good behavior.

On Ohio State's front, we heard from two student leaders who are in charge of the Good Sportsmanship Campaign. They are leading a campus-wide effort to show students what good sportsmanship is, and will be promoting good sportsmanship at Ohio State sporting events in the future.

We also have a campus-wide group that is being supported by Athletics Director Gene Smith and Coach Jim Tressel. You can see in today's *Lantern*, a full-page ad, talking about what it is to be a good fan. I would like to commend our students, our Athletics Department, and our administration for their good work in this effort and for making plans that are going to help us in the future.

We also had a discussion about the distinction between the vast majority of Ohio State fans, and individuals that use Ohio State sporting events as an excuse to participate in unruly and illegal behavior. Our Committee fully supports all efforts to prevent that behavior in the future.

Finally, Provost Snyder presented for the consent agenda today approval of Non-Academic Center Status, renaming the Center for Informational Technology, and routine Personnel Actions. That concludes my report.

Judge Duncan:

Thank you. Any questions for discussion? I'll now call on Mrs. Davidson for the Fiscal Affairs Committee report.

Mrs. Davidson:

The Fiscal Affairs Committee had a full agenda this morning and are pleased to welcome our new member, Alan Brass, to the Committee.

We had a review of what has been going on here for the past two or three years, and one of our core processes that we've examined to see where we could make some significant savings. We were updated today on what we are doing in the area of purchasing, and using the purchasing power of the University on an aggregate basis and negotiating some University-wide contracts. We're pleased to support

the report that there has been a \$67 million savings from the beginning of looking at this program, primarily coming from purchasing contracts for computers and printing. We also are being very sensitive to the issue of the diversity of our supplier-base, and not wanting to exclude some of our minority firms simply because of this process. We are taking special steps and actually have been able to increase their participation from 8% to 13%.

We had our review of the Fiscal Year 2007-2012 Capital Plan, which we approved as a Board last September. We have been given an interesting opportunity from the Board of Regents. They have asked the University if there was additional money in the capital budget -- which is still to be acted upon, hopefully, before the end of the year -- what would we do with that additional money. How could we use that additional money to actually impact our deferred maintenance issues?

We've gotten back to the Board of Regents and have given them a recommendation totaling \$27.5 million. Clearly one of the things that we would do would be to allocate some of that additional money to the Thompson Library Renovation Project, as well as some other key projects on campus. We will not know until later whether this additional money will actually materialize.

We also looked at the fact that we had some important non-state related projects that we wanted to continue to review: the Cancer Project, which is included in the Medical Center Plan, the Ohio Union Replacement, and the Student Academic Services Building. We will be moving ahead on some of the projects related to the Cancer Program Expansion and one of those is on our agenda today in our contracts. We have already given the authority to move ahead with the construction of the Ohio Union and the Student Academic Services Building is still in the design phase, and will come back to the Board in Autumn 2007.

It was a good report in the fact that we've impacted our deferred maintenance to the tune of \$132 million. The other side of that is the fact that as our buildings age, we still look at having another \$600 million come online as deferred maintenance of concerns because of the aging of our buildings and that will happen over the next five or six years. In the 2005 Bond Issue, \$40 million was not allocated in that. We have the ability to do some things that we hadn't planned to do, and that \$40 million is intended to be allocated again; \$10 million for the Ohio Union Project, \$10 million for the Student Academic Services Building; \$10 million to the Medical Center for their very extensive plans, and then \$10 million to be held in reserve for unexpected challenges or opportunities that we might have.

We had our first discussion on the target for the 2009 Bond Issue, which they are recommending at \$500 million. We need to actually give them that target soon so they can begin to plan ahead for these projects, and that will probably be back before the Board for your approval at our next meeting.

We talked a few minutes about emerging issues, particularly about our infrastructure needs. I think that both Mr. Brass and Mr. Wexner had some very good comments about that, but you can never overestimate what those infrastructure concerns are going to be. We have some significant ones out there because of what's happening on campus, and particularly what's happening in the Medical Center.

We talked about the St. Rt. 315 corridor that the city of Columbus is working to develop, and we are a part of the technology corridor. The operating cost of our research facilities is going to be higher than operating costs of other facilities. We have to take that into consideration as our Medical Research Tower comes online. There are always ongoing questions about state support, as we look ahead to the next state biennial budget, and also the study for the North Academic Core Urban Design study, how we take that area that is bounded -- south on 17th Avenue, north

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on Woodruff, east on High Street, and then west on Tuttle -- and looking at that and what that need is going to be to actually update that academic corridor.

We had a quick review of our capital projects. Just to give you an idea, we currently have 892 projects going on on this campus and 524 of those would be catalogued as capital projects. We take a look at the key capital projects to be sure we're on time, and on budget, and if we're not, what are the problems relating to that. I'm pleased to tell you that in the Research Tower, we are on time and on budget, and I think that occupancy will happen as that building will be prepared to open in late November or early December.

We had the first reading on the Management of Facilities Improvement Projects and Real Estate Transactions Policy. We find a lot of things that come to the Board for approval, come back to us for repeat actions. There are some good recommendations in the policy and I would encourage you to take a look at it. We think we can keep some things from coming to the agenda repeatedly, like the renewal of easements that you are seeing today. When they have already been agreed to one time and are just a renewal, perhaps we can let staff take care of them without bringing them to us. Also for some of the projects that are actually not major capital projects coming to us once for design and construction authority, so that we don't hold them up and those can move ahead.

We had on the agenda a briefing of a proposed sale of property on the Marion Campus. This is a 1.1 acre property, valued at about \$350,000, which the Marion Regional Campus would like to put up for sale. It is going to be separated from the campus by a roadway improvement and it makes a lot of sense to sell this property. This item will come back to the Board for approval at the appropriate time.

We also have our regular feasibility, design, and construction projects on the agenda today for your approval. Two of the key projects would be the design for the Ohio Union Garage, and the construction of the Thompson Library, which has been on everybody's mind and how we are now prepared to move ahead for that construction.

There are numerous renewal easements for 25-year terms on the agenda this morning. There is also a long-term lease for real property in Dublin, which is currently occupied by our James Care Facility, and it would be a renewal and extension of that lease.

The final item that we will have coming to you is the final steps on our Fiscal Year 2007 Current Funds Budget. This budget proposal takes about nine months as it goes through the Board at various stages. We have already approved tuition for both the regional campuses and central campus, so this is our final action on that 2007 budget today. In that budget, we are reviewing the Health Systems budget, where there will be \$64 million committed for the Medical Center investments, and \$16 million committed for the short-term cash reserves.

As part of this, a recommendation will be to reduce what we have been doing to slow down the reduction of the assessment to the endowment fund, to fund development. It was to go down from 1.11% to 1%, but, frankly, knowing that we are getting ready to go into a capital planning process, the recommendation is that we slow that down for one year and take it from 1.11% to 1.10%. That is part of our recommendations to you. I would like to remind you that our emerging issues get wrapped around what happens in the next state budget that will begin to be discussed probably in March of next year.

Mr. Chairman, that is my report.

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Judge Duncan:

Wow. Thanks a lot; it reflects a lot of hard work and consideration on all the committees. When I think of all of the hard work and time spent on the committees, I think about Dimon McFerson, and all the excellent participation and leadership he has rendered to this Board and University. As we all know, Dimon has been ill and I'm pleased to report that at our last conversation, he is doing much better and hopes to be back with us for the November meeting. So we'll all pray for Dimon.

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CONSENT AGENDA

President Karen A. Holbrook:

We currently have fourteen resolutions on the Consent Agenda today -- numbers 3-16 -- and we would like to conduct separate votes for numbers 8, 10 and 13. We are seeking your approval for:

APPOINTMENTS TO THE RICHARD M. ROSS HOSPITAL BOARD

Resolution No. 2007-29

Synopsis: Approval of appointments to The Richard M. Ross Heart Hospital Board is proposed.

WHEREAS the Board of Trustees on October 3, 2003, approved the establishment of The Richard M. Ross Heart Hospital Board; and

WHEREAS all members of a specialized board shall be appointed by the Ohio state university board of trustees, in accordance with University Hospitals Board bylaw 3335-104-01, and in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university:

NOW THEREFORE

BE IT RESOLVED, That Jennette B. Bradley be appointed as a citizen member of The Richard M. Ross Heart Hospital Board for the term September 1, 2006 through August 31, 2009 (1st term) and that John B. Gerlach, Jr. be appointed as chairperson of The Richard M. Ross Heart Hospital Board for the term September 1, 2006, through August 31, 2007.

APPROVAL OF NON-ACADEMIC "CENTER" STATUS

Resolution No. 2007-30

Synopsis: Approval of non-academic "center" status is proposed.

WHEREAS faculty rule 3335-3-36 (A) specifies that: "Use of "center" or "institute" in the names of proposed units of the university shall be limited to academic centers, unless approved by the Council on Academic Affairs"; and

WHEREAS a request has been received for use of this term from the College of Medicine for the "Center for IT Innovations in Healthcare"; and

WHEREAS subsequent use of the term "academic" center will require that a formal proposal for academic center status will need to be submitted to the Council on Academic Affairs for full review; and

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WHEREAS the Council on Academic Affairs reviewed this formal request on July 20, 2006, and had no objections:

NOW THEREFORE

BE IT RESOLVED, That the use of the term “center” for the “Center for IT Innovations in Healthcare” be approved, effective immediately.

RENAMING THE CENTER FOR INFORMATION TECHNOLOGIES

Resolution No. 2007-31

Synopsis: Approval to change the name of the “Center for Information Technologies” to the “Center for Business Performance Management” is proposed.

WHEREAS the Fisher College of Business has requested that the name of the “Center for Information Technologies” be changed to better reflect the changing nature of the activities being undertaken; and

WHEREAS the proposal has the support of the leadership of the Center and the support of the College; and

WHEREAS the change in name was reviewed and approved by the Council on Academic Affairs at its meeting on August 23, 2006:

NOW THEREFORE

BE IT RESOLVED, That the “Center for Information Technologies” be renamed the “Center for Business Performance Management,” effective immediately.

PERSONNEL ACTIONS

Resolution No. 2007-32

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the July 7, 2006 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons/Director, Leaves of Absence Without Salary, Professional Improvement Leaves, Emeritus Titles, and Promotion and Tenure, as detailed in the University Budget, be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments and Reappointments approved on July 25, 2006, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

Appointments

Name: THOMAS M. BEST
Title: Professor (The Warner M. and Lora Kays Pomerene Chair in Medicine)
College: Medicine
Term: July 1, 2005, through June 30, 2009

Name: ROBERT B. CHAMBERS
Title: Associate Professor (William H. Havener, M.D. Chair in Ophthalmology Research)
College: Medicine
Term: October 1, 2006, through June 30, 2010

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Name: PAUL S. DENTON
Title: Chief of Police
Department/Division: Public Safety/Police
Effective: November 6, 2006
Present Position: Commander, City of Columbus, Division of Police

Name: RICHARD T. HART
Title: Professor (The Edgar C. Hendrickson Designated Chair in Biomedical Engineering)
College: Engineering
Term: July 1, 2006, through June 30, 2011
Present Position: Alden J. Laborde Professor and Chair, Department of Biomedical Engineering, Tulane University, New Orleans, LA

Name: MARY G. NASH
Titles: Chief Nursing Executive for OSU Health System/Clinical Professor
Office/College: Health Services/Nursing
Effective: September 5, 2006
Present Position: Chief Quality Officer and Chief Nursing Officer, Baptist Health System, Birmingham, AL

Name: PATRICK S. OSMER
Titles: Vice Provost for Graduate Studies and Dean
Office/School: Academic Affairs/Graduate School
Term: September 18, 2006, through June 30, 2011
Concurrent Appointment: Professor, Department of Astronomy and Distinguished Professor, College of Mathematical and Physical Sciences

Name: NESS B. SHROFF
Title: Professor (The Ohio Eminent Scholar in Computer Networking and Communication Research)
College: Engineering
Effective: October 1, 2007
Present Position: Professor, School of Electrical and Computer Engineering, and Director, Center for Wireless Systems and Applications, Purdue University, West Lafayette, IN

Name: DIETER WANNER
Title: Interim Associate Provost for International Affairs
Office: Academic Affairs
Term: January 1, 2007, through December 31, 2007

Reappointments

Name: VERNON L. BAISDEN
Title: Acting Chief of Police
Division: Police
Term: October 1, 2006, through November 5, 2006
Concurrent Position: Assistant Vice President, Department of Public Safety

Name: MARVIN T. BATTE
Title: Professor (The Fred N. VanBuren Professorship in Farm Management)
College: Food, Agricultural, and Environmental Sciences
Term: July 1, 2006, through June 30, 2011

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Name: JOHN MUELLER
Title: Professor (The Wayne Woodrow Hayes Chair in National Securities Studies)
Center: Mershon Center
Term: October 1, 2005, through June 30, 2010

Name: UMIT A. OZGUNER
Title: Professor (The Transportation Research Center, Inc. Chair in Intelligent Transportation Systems)
College: Engineering
Term: July 1, 2004, through June 30, 2009

Name: JOHN F. SHERIDAN
Title: Professor (The George C. Paffenbarger – Alumni Chair in Dental Research)
College: Dentistry
Term: January 1, 2006, through December 31, 2010

Appointment of Chairpersons/Director

DAVID M. GOSS, Mathematics, effective October 1, 2006, through September 30, 2010

RICHARD T. HART, Biomedical Engineering, effective July 1, 2006, through June 30, 2010

JAMES S. KING,* Neuroscience, effective October 1, 2006, through June 30, 2007

WILLIAM M. RANDLE, Horticulture and Crop Science, effective November 1, 2006, through June 30, 2010

FRANKLIN W. SCHWARTZ, School of Earth Sciences, effective July 1, 2006, through September 30, 2009

Reappointment of Chairpersons

MICHAEL S. BEATTIE, Neuroscience, effective July 1, 2006, through September 30, 2006

MARK A. BENNETT,* Horticulture and Crop Science, effective August 1, 2006, through October 31, 2006

ANIL K. MAKHIJA, Finance, effective October 1, 2006, through September 30, 2010

*Interim

Leaves of Absence Without Salary

R. BRIAN STONE, Associate Professor, Department of Industrial, Interior and Visual Communication Design, effective September 17, 2006, through September 16, 2007, to accept the position as director of Usability and Design at Nationwide Insurance.

JANET S. DE MOOR, Assistant Professor, School of Public Health, effective August 15, 2006, through November 15, 2006, for personal reasons.

Professional Improvement Leaves

TIN-LUN HO, Distinguished Professor of Mathematical and Physical Sciences, Department of Physics, effective Autumn 2006, Winter Quarter and Spring Quarter 2007.

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RALPH R.B. VON FRESE, Professor, School of Earth Sciences, effective Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007.

NANCY ETTLINGER, Associate Professor, Department of Geography, effective Winter Quarter 2007.

DAVID M. FRANCIS, Associate Professor, Department of Horticulture and Crop Science, effective January 1, 2007, through June 10, 2007.

JOY MCCORRISTON, Associate Professor, Department of Anthropology, effective Winter Quarter and Spring Quarter 2007.

Professional Improvement Leaves—Change in Dates

SUSAN M. HARTMANN, Professor, Department of History, change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Winter Quarter and Spring Quarter 2007.

BOSTWICK F. WYMAN, Professor, Department of Mathematics, change leave from Spring Quarter 2007, to Winter Quarter 2007.

ARVED M. ASHBY, Associate Professor, School of Music, change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Autumn Quarter 2006 and Winter Quarter 2007.

PHILIP C. BROWN, Associate Professor, Department of History, change leave from Autumn Quarter 2006 and Winter Quarter 2007, to Winter Quarter and Spring Quarter 2007.

BRENDA J. BRUEGGEMANN, Associate Professor, Department of English, change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Spring Quarter 2007.

ALLISON B. GILMORE, Associate Professor, Department of History (Lima Campus), change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008.

CHRISTOPHER A. REED, Associate Professor, Department of History, change leave from Autumn Quarter 2006, Winter Quarter and Spring Quarter 2007, to Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008.

Emeritus Titles

KEITH W. BEDFORD, Department of Civil and Environmental Engineering and Geodetic Science, with the title Professor Emeritus, effective September 1, 2006.

RICHARD N. BOYD, Department of Physics, with the title Professor Emeritus, effective October 1, 2006.

CHARLES R. CURTIS, Department of Plant Pathology, with the title Professor Emeritus, effective October 1, 2006.

JERRY F. DOWNHOWER, Department of Evolution, Ecology and Organismal Biology, with the title Professor Emeritus, effective September 1, 2006.

DAVID H. ELLIOT, School of Earth Sciences, with the title Professor Emeritus, effective September 1, 2006.

MICHAEL R. FOSTER, Department of Aerospace Engineering, with the title Professor Emeritus, effective September 1, 2006.

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ELIZABETH L. GROSS, Department of Biochemistry, with the title Professor Emeritus, effective September 1, 2006.

STEPHEN F. SCHAAL, Department of Internal Medicine, with the title Professor Emeritus, effective September 1, 2006.

DEANNA L. TRIBE, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 2006.

SUSAN E. WYNGAARD, University Libraries, with the title Assistant Professor Emeritus, effective September 1, 2006.

ROBERT L. ROMIG, School of Environment and Natural Resources, with the title Assistant Professor Emeritus, effective September 1, 2006.

Promotion and Tenure

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Ahmer, Brian, Microbiology, effective October 1, 2006

COLLEGE OF FOOD, AGRICULTURAL, AND ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Sohngen, Brent, Agricultural, Environmental and Developmental Economics, effective October 1, 2006

MAX FISHER COLLEGE OF BUSINESS

PROMOTION TO PROFESSOR--RESCIND

Teoh, Siew Hong, Accounting and Management Information Systems, effective October 1, 2006

Medical Staff—Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Michelle A. Angelis, P.A., Allied Health, Internal Medicine, Hematology/Oncology, 6/9/2006

Don M. Benson, M.D., Attending, Internal Medicine, Hematology/Oncology, 7/1/2006

Paul M. Bloomston, M.D., Attending, Surgery, Surgical Oncology, 7/1/2006

Timothy D. Brennan, M.D., Associate Attending, Internal Medicine, General Medicine, 7/1/2006

Ryan F. Deasy, M.D., Associate Attending, Ophthalmology, 7/1/2006

Charles A. Elmaraghy, M.D., Associate Attending, Otolaryngology, 7/1/2006

Leroy W. Essig II, M.D., Associate Attending, Internal Medicine, Pulmonary, 7/1/2006

Matthew C. Exline, M.D., Associate Attending, Internal Medicine, Pulmonary, 7/1/2006

D. Reynell Harder Smith, D.O., Associate Attending, Ophthalmology, 7/1/2006

Ayesha K. Hasan, M.D., Associate Attending, Internal Medicine, Cardiovascular Medicine, 8/1/2006

Grace Hercl, D.O., Community Associate, Internal Medicine, General Medicine, 6/9/2006

Todd C. Hobgood, M.D., Associate Attending, Otolaryngology, 6/9/2006

Abraham Jacob, M.D., Associate Attending, Otolaryngology, 7/1/2006

Mark T. Kearns, M.D., Associate Attending, Internal Medicine, General Medicine, 7/1/2006

Simon S. Lo, M.B, ChB., Attending, Radiation Medicine, 7/1/2006

Scott A. Maffett, M.D., Associate Attending, Internal Medicine, General Medicine, 7/1/2006

Ernest L. Mazzaferri, Jr., M.D., Associate Attending, Internal Medicine, Cardiovascular Medicine, 7/1/2006

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Jennifer A. Meddings, M.D., Associate Attending, Internal Medicine, General Medicine, 7/1/2006

James Neuenschwander II, M.D., Associate Attending, Emergency Medicine, 7/25/2006

Enver Ozer, M.D., Associate Attending, Otolaryngology, 6/9/2006

Jonathan P. Parsons, M.D., Associate Attending, Internal Medicine, Pulmonary, 7/1/2006

Min Pu, M.D., Ph.D., Associate Attending, Internal Medicine, Cardiovascular Medicine, 7/1/2006

Lee M. Sulkin, C.N.P., Allied Health, Surgery, Thoracic/Cardiovascular, 6/9/2006

John P. Tzagournis, M.D., Associate Attending, Internal Medicine, Digestive Disease, 7/1/2006

Larry D. VanFossen, D.O., Associate Attending, Anesthesiology, 6/9/2006

Aaron S. Wenger, M.D., Associate Attending, Internal Medicine, General Medicine, 7/1/2006

Scott B. Armen, M.D., Surgery, General Surgery, Associate Attending, 8/1/2006

Matthew D. Ashmun, M.D., Associate Attending, Internal Medicine, General Medicine, 7/25/2006

Alberto C. Benedicto, M.D., Associate Attending, Radiology, 7/25/2006

Christopher G. Brown, M.D., Associate Attending, Internal Medicine, Nephrology, 7/25/2006

Amit K. Chatterjee, M.D., Associate Attending, Internal Medicine, General Medicine, 7/25/2006

Stephen C. Cook, M.D., Associate Attending, Internal Medicine, Cardiovascular Medicine, 8/1/2006

Steven M. Dean, D.O., Associate Attending, Internal Medicine, Cardiovascular Medicine, 8/1/2006

Jonathan R. deHart, M.D., Associate Attending, Pathology, 7/25/2006

Solomon Z. Derrow, M.D., Associate Attending, Radiology, 7/25/2006

Craig C. Hofmeister, M.D., Attending, Internal Medicine, Hematology/Oncology, 8/1/2006

Mahmoud Houmsse, M.D., Associate Attending, Internal Medicine, Cardiovascular Medicine, 7/25/2006

Jeffrey L. Hunter, D.O., Associate Attending, Family Medicine, 8/1/2006

Justin F. Klamerus, M.D., Associate Attending, Internal Medicine, General Medicine, 7/25/2006

Jeffrey R. Kneile, M.D., Associate Attending, Pathology, 7/25/2006

Amy M. Kopp, M.S., Associate Attending, Ophthalmology, 7/25/2006

Kavitha V. Kosuri, D.O., Attending, Internal Medicine, Hematology/Oncology, 7/25/2006

Brett D. Kucej, M.D., Associate Attending, Radiology, 7/25/2006

Mahamadu Maida, M.D., Community Associate, Internal Medicine, General Medicine, 7/25/2006

Stanley I. Martin, M.D., Associate Attending, Internal Medicine, Infectious Disease, 7/25/2006

Susan D. Moffatt-Bruce, M.D., Ph.D., Associate Attending, Surgery, Thoracic/Cardiovascular, 7/25/2006

Lisa S. Parks, C.N.P., Surgery, Surgical Oncology, Allied Health, 7/25/2006

Bhuvaneswari Ramaswamy, M.D., Attending, Internal Medicine, Hematology/Oncology, 7/25/2006

Kevin M. Reavis, M.D., Associate Attending, Surgery, General Surgery, 7/25/2006

David B. Renton, M.D., Associate Attending, Surgery, General Surgery, 7/25/2006

Joel M. Rice, C.N.P., Internal Medicine, Hematology/Oncology, Allied Health, 7/25/2006

Anjali A. Satoskar, M.D., Associate Attending, Pathology, 7/25/2006

Stephen W. Seward, M.D., Community Associate, Internal Medicine, General Medicine, 7/25/2006

Suresh K. Yadav, M.B.B.S., Community Associate, Internal Medicine, General Medicine, 7/25/2006

Martha M. Yearsley, M.D., Associate Attending, Pathology, 7/25/2006

Jeremy D. Young, M.D., Associate Attending, Internal Medicine, Infectious Disease, 7/25/2006

Matthew Zirwas, M.D., Associate Attending, Internal Medicine, Dermatology, 8/14/2006

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Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Michael D. Adolph, M.D., Associate Attending, Surgery, Pain and Palliative Medicine, 6/9/2006
Mario Ammirati, M.D., Associate Attending, Neurological Surgery, 6/9/2006
Thomas M. Best, M.D., Ph.D., Associate Attending, Family Medicine, Family Med/Sports Medicine, 6/9/2006
Kathleen Cadmus, C.N.S., C.N.P., Internal Medicine, Hematology/Oncology, Allied Health, 6/9/2006
Osama El-Sayed, M.D., Associate Attending, Internal Medicine, Cardiovascular Medicine, 6/9/2006
David C. Flanigan, M.D., Associate Attending, Orthopaedics, 6/9/2006
Rajaram Karne, M.B.B.S., Associate Attending, Internal Medicine, Endocrinology, 6/9/2006
Barbara Olcott, C.R.N.A., Anesthesiology, Allied Health, 6/9/2006
Trupti V. Patel, M.D., Associate Attending, Psychiatry, 6/9/2006
Elizabeth H. Paul, C.R.N.A., Anesthesiology, Allied Health, 6/9/2006
Joan Simon, Ph.D., Associate Attending, Family Medicine, 6/9/2006
Michael J. Sullivan, M.D., Associate Attending, Otolaryngology, 6/9/2006
Shu-Hua Wang, M.D., Associate Attending, Internal Medicine, Infectious Disease, 6/9/2006
Steven M. Devine, M.D., Attending, Internal Medicine, Hematology/Oncology, 7/25/2006
Nandkishore Gurram, M.B.B.S., Associate Attending, Internal Medicine, Cardiovascular Medicine, 7/25/2006
Steven W. Ing, M.D., Associate Attending, Internal Medicine, Endocrinology, 7/25/2006
Donald O. Mauger, P.A.-C, Surgery, Cardiothoracic Surgery, Allied Health, 7/25/2006
Melissa K. Radaker, P.A.-C, Surgery, Cardiothoracic Surgery, Allied Health, 7/25/2006
Gretchen Whitby, C.N.P., Internal Medicine, Pulmonary, Allied Health, 7/25/2006

Medical Staff—Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Randy L. Mills, D.O., Community Associate, Internal Medicine, General Medicine, 6/9/2006 - 10/31/2007
Mollie E. Moran, C.N.P., Allied Health, Internal Medicine, Hematology/Oncology, 2/10/2006 - 10/31/2007
Stephen F. Pariser, M.D., Associate Attending, Psychiatry, 6/9/2006 - 10/31/2007
Jeffrey G. Poulos, M.D., Community Associate, Internal Medicine, General Medicine, 6/9/2006 - 10/31/2007
Lisa R. Rader, C.N.P., Allied Health, Internal Medicine, Hematology/Oncology, 6/9/2006 - 10/31/2007
Robert D. Rupert, Jr., M.D., Clinical Attending, Internal Medicine, Hematology/Oncology, 6/9/2006 - 10/31/2007
Christine M. Saas, C.R.N.A., Allied Health, Anesthesiology, 6/9/2006 - 3/31/2008
Chittoor B. Sai-Sudhakar, M.D., Associate Attending, Surgery, Thoracic/Cardiovascular, 6/9/2006 - 3/31/2008
Philip Samuels, M.D., Associate Attending, Obstetrics and Gynecology, 6/9/2006 - 3/31/2008
Linbee V. Sayat, M.D., Associate Attending, Obstetrics and Gynecology, 6/9/2006 - 3/31/2008
Michael R. Sayre, M.D., Associate Attending, Emergency Medicine, 6/9/2006 - 3/31/2008
Dwight A. Scarborough, M.D., Clinical Attending, Internal Medicine, Dermatology, 6/9/2006 - 3/31/2008
Niranjan T. Shah, M.D., Associate Attending, Pathology, 6/9/2006 - 3/31/2008
Arwa Y. Shanaah, M.D., Associate Attending, Pathology, 6/9/2006 - 3/31/2008
Rulong Z. Shen, M.D., Associate Attending, Pathology, 6/9/2006 - 3/31/2008
Phillip J. Shubert, M.D., Associate Attending, Obstetrics and Gynecology, 6/9/2006 - 3/31/2008

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Ronald J. Siegle, M.D., Clinical Attending, Otolaryngology, 6/9/2006 - 3/31/2008
Kelly A. Slavens, C.N.P., Allied Health, Internal Medicine, Hematology/Oncology, 6/9/2006 - 3/31/2008
Gaylynn J. Speas, M.D., Associate Attending, Anesthesiology, 6/9/2006 - 3/31/2008
Adam C. Spiess, M.D., Associate Attending, Otolaryngology, 6/9/2006 - 3/31/2008
Michael J. Stanek, D.O., Attending, Internal Medicine, Hematology/Oncology, 6/9/2006 - 3/31/2008
Jean E. Starr, M.D., Associate Attending, Surgery, Vascular, 6/9/2006 - 3/31/2008
Nancy L. Stasik, P.A.-C, Allied Health, Surgery, Thoracic/Cardiovascular, 6/9/2006 - 3/31/2008
Annette L. Staub, C.N.P., Allied Health, Internal Medicine, Hematology/Oncology, 6/9/2006 - 3/31/2008
Deanna J. Stevens, M.D., Associate Attending, Ophthalmology, 6/9/2006 - 3/31/2008
H. Craig Stevens, M.D., Community Associate, Internal Medicine, General Medicine, 6/9/2006 - 3/31/2008
Steven Teich, M.D., Clinical Attending, Surgery, Pediatric Surgery, 6/9/2006 - 3/31/2008
Andrew M. Thomas, M.D., Associate Attending, Internal Medicine, General Medicine, 6/9/2006 - 3/31/2008
Karen M. Thomas, D.O., Associate Attending, Neurology, 6/9/2006 - 3/31/2008
Adam C. Tzagournis, M.D., Associate Attending, Internal Medicine, Digestive Disease, 6/9/2006 - 3/31/2008
Michael A. Tzagournis, M.D., Associate Attending, Internal Medicine, Digestive Disease, 6/9/2006 - 3/31/2008
Meade C. Van Putten, D.D.S., Associate Attending, Dental, 6/9/2006 - 3/31/2008
Geoffrey C. Vaughan, M.D., Associate Attending, Internal Medicine, General Medicine, 6/9/2006 - 3/31/2008
Umar Waheed, M.D., Community Associate, Internal Medicine, General Medicine, 6/9/2006 - 3/31/2008
Michael J. Walker, M.D., Attending, Surgery, Surgical Oncology, 6/9/2006 - 3/31/2008
Jeffrey E. Weiland, M.D., Associate Attending, Internal Medicine, Pulmonary, 6/9/2006 - 3/31/2008
Karen M. Weiland, C.N.P, Allied Health, Internal Medicine, Endocrinology, 6/9/2006 - 3/31/2008
Lisa M. Werner, D.O., Associate Attending, Psychiatry, 6/9/2006 - 3/31/2008
Craig E. Williams, M.D., Associate Attending, Psychiatry, 6/9/2006 - 3/31/2008
JoAnna D. Williams, M.D., Associate Attending, Pathology, 6/9/2006 - 3/31/2008
Karen S. Williams, P.A.-C, Allied Health, Radiation Medicine, 6/9/2006 - 3/31/2008
Jill S. Woolard, P.A.-C, Allied Health, Surgery, Urological Surgery, 6/9/2006 - 3/31/2008
William T.C. Yuh, M.D., Associate Attending, Radiology, 6/9/2006 - 3/31/2008
Kelley J. Zyniewicz, M.D., Clinical Attending, Internal Medicine, Dermatology, 6/9/2006 - 3/31/2008

Medical Staff—Requests for Additional Privileges (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Laura Adams, C.N.P., Internal Medicine, Prescriptive authority, Chemotherapy administration, Skin punch biopsy & suturing, Allied Health, 6/9/06
Mario Ammirati, M.D., Neurological Surgery, Gamma Knife, Associate Attending, 6/9/06
Carol L. Amore, C.N.P., Internal Medicine, Bone marrow aspiration and biopsy, Admin chemo via Omayra reservoir, Intrathecal chemo administration, Allied Health, 6/9/06
Tammy Bennett, C.N.P., Internal Medicine, Prescriptive authority, Bone marrow aspiration and biopsy, LP with intrathecal chemo, Intrathecal chemo administration, Allied Health, 6/9/06
Don M. Benson, M.D., Internal Medicine, Moderate Sedation, Attending, 6/9/06
Timothy D. Brennan, M.D., Internal Medicine, Moderate Sedation, Associate Attending, 6/9/06
Charles A. Elmaraghy, M.D., Otolaryngology, Laser, Associate Attending, 6/9/06
Theodore D. Fraker, M.D., Internal Medicine, Nuclear Cardiology, Associate Attending, 6/9/06
Christine Hall, C.N.P., Internal Medicine, Discharge writing, Allied Health, 6/9/06

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Ayesha K. Hasan, M.D., Internal Medicine, Moderate and Deep Sedation, Associate Attending, 6/9/06

Megan Hendershot, P.A., Surgery, Chest tube removal, Allied Health, 6/9/06

Todd C. Hobgood, M.D., Otolaryngology, Laser, Associate Attending, 6/9/06

Abraham Jacob, M.D., Otolaryngology, Laser, Associate Attending, 6/9/06

Mandy Krouse, C.N.P., Internal Medicine, Prescriptive authority, Intrathecal chemo administration, Allied Health, 6/9/06

Ernest L. Mazzaferri, Jr., M.D., Internal Medicine, Moderate Sedation, Associate Attending, 6/9/06

Enver Ozer, M.D., Otolaryngology, Laser, Associate Attending, 6/9/06

Min Pu, M.D., Ph.D., Internal Medicine, Moderate Sedation, Deep Sedation, Associate Attending, 6/9/06

Lisa R. Rader, C.N.P., Internal Medicine, Prescriptive authority, Allied Health, 6/9/06

Dwight A. Scarborough, M.D., Internal Medicine, Laser, Associate Attending, 6/9/06

Kelly A. Slavens, C.N.P., Internal Medicine, Prescriptive authority, Allied Health, 6/9/06

Adam C. Spiess, M.D., Otolaryngology, Laser, Associate Attending, 6/9/06

Nancy L. Stasik, P.A.-C, Surgery, Chest tube removal, Allied Health, 6/9/06

Annette L. Staub, C.N.P., Internal Medicine, Prescriptive authority, Chemotherapy administration, Bone marrow aspiration and biopsy, Allied Health, 6/9/06

Lee M. Sulkin, C.N.P., Surgery, Chest tube removal, Allied Health, 6/9/06

Michael J. Sullivan, M.D., Otolaryngology, Laser, Associate Attending, 6/9/06

Teresa Swank, P.A., Surgery, Chest tube pulls, Allied Health, 6/9/06

Anne Taylor, M.D., Surgery, Moderate Sedation, Associate Attending, 6/9/06

Adam C. Tzagournis, M.D., Internal Medicine, Moderate Sedation, Associate Attending, 6/9/06

John P. Tzagournis, M.D., Internal Medicine, Moderate Sedation, Associate Attending, 6/9/06

Michael A. Tzagournis, M.D., Internal Medicine, Moderate Sedation, Associate Attending, 6/9/06

Karen M. Weiland, C.N.P, Internal Medicine, Prescriptive authority, Allied Health, 6/9/06

Scott B. Armen, M.D., Surgery, Advanced Laparoscopy, Associate Attending, 7/25/2006

Alan D. Burnette, P.A.-C, Surgery, Pacer wire and chest tube removal, Allied Health, 7/25/2006

Stephen C. Cook, M.D., Internal Medicine, Moderate Sedation, Associate Attending, 7/25/2006

Megan L. Hendershot, P.A.-C, Surgery, Chest tube removal, Allied Health, 7/25/2006

Craig C. Hofmeister, M.D., Internal Medicine, Moderate Sedation, Attending, 7/25/2006

Donna M. Holtzapfel, C.N.P., Internal Medicine, Bone marrow aspiration & biopsy, Allied Health, 7/25/2006

Mahmoud Houmsse, M.D., Internal Medicine, Moderate Sedation, Associate Attending, 7/25/2006

Donald O. Mauger, P.A.-C, Surgery, Pacer wire and chest tube removal, Allied Health, 7/25/2006

Vimal K. Narula, M.D., Surgery, Robotics Assisted Surgery and Deep Sedation, Associate Attending, 7/25/2006

Kevin M. Reavis, M.D., Surgery, Moderate and Deep Sedation, Associate Attending, 7/25/2006

David B. Renton, M.D., Surgery, Moderate and Deep Sedation, Associate Attending, 7/25/2006

Joel M. Rice, C.N.P., Internal Medicine, Prescriptive Authority, Allied Health, 7/25/2006

Tom E. Rodgers, P.A.-C, Surgery, CVC insertion, Allied Health, 7/25/2006

Dwight A. Scarborough, M.D., Internal Medicine, Moderate Sedation, Clinical Attending, 7/25/2006

Nancy J. Williams, P.A.-C, Surgery, Chest tube removal, Allied Health, 7/25/2006

RESOLUTIONS IN MEMORIAM

Resolution No. 2007-33

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

Frederick G. Kilgour

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 31, 2006, of Frederick G. Kilgour, Professor Emeritus in University Libraries.

Born on January 6, 1914, in Springfield, Massachusetts, Frederick Gridley Kilgour earned a bachelor's degree in chemistry from Harvard in 1935 and continued to work at the Harvard library. During World War II, he worked in the Office of Strategic Services and had responsibility for amassing and managing a collection of publications from enemy countries and occupied areas. After the war and until 1948, he was deputy director of the Office of Intelligence Collection and Dissemination at the State Department. Upon leaving government service, Professor Kilgour became librarian of the Yale Medical Library and continued his interest in managing and disseminating information. In 1961 he and medical librarians at Columbia and Harvard developed a prototype of a computerized library catalog for the three universities.

In 1967, the Ohio College Association brought Professor Kilgour to Ohio to develop a common catalog for its 54 members. College librarians and Frederick Kilgour believed they could achieve great efficiencies and economies by creating cataloging information with computers and using digital communication to share catalog records. OSU provided him an office in the Thompson Library and an appointment as professor. The Ohio College Library Center (OCLC) opened in 1971 and six years later expanded its services to other states and countries. As it grew, OCLC moved from OSU to its own campus in Dublin, Ohio.

Fred Kilgour served as first president of OCLC from 1967-80. He and OCLC transformed librarianship as a profession and brought library services into the digital era. OCLC became an international network for bibliographic information and services. Today, it links 55,000 institutions in 110 countries. Kilgour and OCLC developed WorldCat, the world's largest database of cataloging records, as well as an online interlibrary loan system and other digitally based services. The Ohio State University Libraries has continued to be a leading member of OCLC since its founding.

In 1981, Professor Kilgour left the presidency of OCLC but remained active as a trustee and as a distinguished scholar and speaker. In 1984 he retired from The Ohio State University and was named Professor Emeritus. In 1990 he became Distinguished Research Professor for the School of Information and Library Science at the University of North Carolina at Chapel Hill, from which he retired in 2004. He wrote more than 200 scholarly papers and founded the journal *Information Technology and Libraries*. His books include *Evolution of the Book* and *Engineering in History*.

In 1980, The Ohio State University awarded Frederick Kilgour an honorary Doctor of Humane Letters degree, and he received honorary degrees from four other universities also. Professional associations, including the American Society for Information Science and Technology and the American Library Association, also honored Professor Kilgour for his leadership and contributions to librarianship.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Frederick G. Kilgour its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Adalbert Koestner

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 2, 2006, of Adalbert Koestner, Professor Emeritus and previous chairperson of the Department of Veterinary Pathobiology (now the Department of Veterinary Biosciences).

Professor Koestner received his professional education in veterinary medicine from the University of Munich and his Master of Science and Ph.D. degrees from The Ohio State University. He was a member of The Ohio State University faculty for 26 years, attaining the rank of professor in 1964, and served as chairperson of the Department of Veterinary Pathobiology for ten years. Upon retirement in 1981, he was named Professor Emeritus and moved to Michigan to become chairperson of the Department of Pathology at the University of Michigan for eight years.

Dr. Koestner was an internationally recognized authority in comparative neuropathology, neurotoxicology, and experimental neurocarcinogenesis.

During his professional career, he received numerous awards, including the Weil Award of the American Association of Neuropathologists, the Gaines Award from the American Veterinary Medical Association, recognition as Distinguished Member of the American College of Veterinary Pathologists, the Distinguished Alumnus Award from the OSU College of Veterinary Medicine, and an Honorary Doctorate from the University of Tmesvar, Romania.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Adalbert Koestner its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Earl F. Murphy

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 23, 2006, of Earl Finbar Murphy, Professor Emeritus in The Michael E. Moritz College of Law.

Professor Murphy earned his A.B. and M.A. degrees at Butler University. Graduating first in his law school class at Indiana University, Professor Murphy became a graduate law fellow at Yale University where he earned both his Master of Law and Doctor of Judicial Science degrees. After practicing law for two years in Indianapolis, he joined the faculty of Temple University Law School and, in 1969, joined the faculty at The Ohio State University College of Law. In 1981, he was named The C. William O'Neill Professor in Law and Judicial Administration, a title that he held until his retirement in 2000. In 1991, he was named "Outstanding Professor of the Year" by that year's graduating class.

A pioneer in his field, Professor Murphy was instrumental in helping to expand the field of environmental law. His authored books included *Water Purity; Governing Nature; Man and His Environment: Law, Nature, Bureaucracy and the Rules of Property; Regulating the Renewing Environment; Energy and Environmental Balance; and Quantitative Groundwater Law*. He published numerous book chapters and periodical articles and regularly taught Environmental Law, Natural Resources Law, Land Use Planning, and Property.

His scholarship was valued by many for both its breadth and depth. He held a courtesy appointment as professor of natural resources for The Ohio State University College of Food, Agricultural, and Environmental Sciences and served as an adjunct professor for the College of Engineering because of his expertise in urban planning.

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Professor Murphy married Joanne Wharton Murphy (OSU Law '58) in 1972; she taught at the College and served as assistant dean for alumni relations for 14 years. Following her death in 1997, Professor Murphy established The Joanne Wharton Murphy/Classes of 1965 and 1973 Professorship of Law. He was a vibrant member of the University community, serving on numerous college and University committees. He was an active member of the First Unitarian Universalist Church in Columbus, Ohio.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Earl Finbar Murphy its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Herbert S. Parnes

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 5, 2006, of Herbert S. Parnes, Professor Emeritus in the Department of Economics.

Professor Parnes was a professor of Economics at The Ohio State University from 1951-80 and chairperson of the Faculty of Labor and Human Resources from 1975-80. He was a national leader on research issues associated with the aging of the population in the United States.

At the request of Daniel Patrick Moynihan, then an Assistant Secretary of Labor, Professor Parnes and Howard Rosen, Director of the Manpower Administration in the U.S. Department of Labor, began the National Longitudinal Surveys of Work Experience (the NLS) in 1965, a longitudinal survey project that continues to this day. The NLS has provided a wealth of information about the dynamics of the U.S. population by tracking the lives of tens of thousands of Americans over many decades. The NLS project continues as the University's longest running research project and one of its most successful – an effort that has involved many faculty, graduate students, and undergraduates for over 40 years. The surveys Professor Parnes began are used around the world and in virtually every major university in the U.S. and have accumulated over 5,000 citations in books, scholarly articles, dissertations, and working papers. The NLS has been the intellectual model behind numerous longitudinal surveys in the U.S. and worldwide over the last 40 years and stands as a living testimony to the leadership of Professor Parnes.

Professor Parnes received his Ph.D. degree in economics from The Ohio State University in 1950, following four years of service in the U.S. Army between 1942-46. During leaves of absence from Ohio State he was a visiting professor of Economics at Princeton University from 1954-55; a visiting professor of Business Administration at the University of Minnesota during the summer of 1959; and a consultant for the Organization for Economic Cooperation and Development in Paris, France, during 1961-62.

Throughout his career, he served in a variety of consulting and advisory capacities, including the National Security Resources Board (1952); the President's Committee on Engineers and Scientists (1959); the Organization for Economic Cooperation and Development (1961-65); the Review Panel on Aging, National Institute on Aging; and the U.S. Department of Health and Social Services (1980-82). Following his retirement from Ohio State in 1980, he became a professor of Industrial Relations and Human Resources at Rutgers University, Institute of Management and Labor Relations (1980-83). He returned to Columbus and served as principal investigator of one segment of the NLS from 1988-92 through a grant from the National Institutes on Aging.

Professor Parnes published more than 25 books and monographs, including *Peoplepower: Elements of Human Resource Policy* (1984) and the self-published memoir, *A Prof's Life*. He authored numerous journal articles on labor market topics, with particular emphasis on issues related to aging and retirement in the years preceding

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his retirement. His legacy includes the professional development of hundreds of students and colleagues, who took with them out into the world not only the professional wisdom that Professor Parnes could so effectively impart but also the unique interpersonal skills and visible concern for others that were the essence of his outlook on individuals and society.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Herbert S. Parnes its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Evelyn E. Pintz

The Board of Trustees of the Ohio State University expresses its sorrow upon the death on June 15, 2006, of Evelyn E. Pintz, M.D., Associate Professor of Clinical Medicine in the Department of Internal Medicine.

Dr. Pintz graduated from the Ohio Dominican College, and received her M.D. degree from the Medical College of Ohio in Toledo. She completed her training in internal medicine at The Ohio State University, and since 1984 had been a faculty member in the Division of General Internal Medicine, Department of Internal Medicine, at The Ohio State University College of Medicine. She rose through the faculty ranks to attain the position of director of the Internal Medicine residency training program, a position which she held for 10 years. She also served as assistant vice chairman in the Department of Internal Medicine during that time. Later Dr. Pintz was appointed as the medical director for General Internal Medicine in The Ohio State University Primary Care Network of The Ohio State University Hospitals.

Dr. Pintz was a very respected physician who was well-loved by her many patients. She also had a passion for teaching and was extremely proud of the many young men and women physicians who completed their residencies under her directorship, and who went on to outstanding careers in clinical practice, teaching, and research.

Professor Pintz was an active member of the academic community, serving on and chairing numerous committees in the College of Medicine and the Department of Internal Medicine. She also performed research and published in the area of education in ambulatory house staff teaching clinics.

Evelyn was also a very active volunteer in the Boy Scouts of America program. She served the Simon Kenton Boy Scout Council in many capacities, and was highly active in the scouting program of a great many young boys, including her two children. She was very proud of the accomplishments of the many boys she worked with in this capacity.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Evelyn E. Pintz its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Maurice L. Zox

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 16, 2006, of Maurice L. Zox, M.D., Assistant Professor Emeritus in the Department of Surgery.

Dr. Zox received his undergraduate degree at the University of Wisconsin and his medical degree at Rush Medical College in Chicago. He served as a junior intern at Elgin State Hospital in Elgin, Illinois, and as a senior intern at Los Angeles General Hospital. He was a surgical resident at Graduate Hospital of the University of

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Pennsylvania Graduate School of Medicine and Jewish Hospital in Philadelphia. During World War II, he served as a medical officer in the U.S. Army and achieved the rank of major.

Dr. Zox was a member of the clinical faculty of the Department of Surgery for more than 25 years, during which time he participated in the Department's teaching activities and conferences. Dr. Zox had a distinguished career as an active general surgeon in the community and was associated with Riverside Methodist Hospital, Mt. Carmel Hospital, Children's Hospital, and The Ohio State University Hospitals. In addition to his private practice and serving as medical director of the Shoe Corporation of America (SCOA Industries), he was appointed Assistant Professor Emeritus in 1979 and became a long-time guest lecturer in the College of Medicine.

He was a fellow of the American College of Surgeons, a diplomate of the American Board of Surgery, and a member of other national, state, and local professional organizations.

On behalf of the University community, the Board of Trustees expresses to the family of Dr Maurice L. Zox its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2007-34

Synopsis: The report on the receipt of gifts and the summary for June 2006 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of the William H. Havener, M.D. Chair in Ophthalmology Research, The John W. Wolfe Chair in Human Cancer Genetics, and The Stephen F. Loebs Professorship in Health Services Management and Policy; and

WHEREAS this report includes the establishment of thirty-seven (37) new named endowed funds and the revision of eight (8) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of June 2006 be approved.

PRIVATE SUPPORT – TOTAL FUND RAISING ACTIVITY

	July 2005 – June 2006	July 2004 – June 2005	% Change
Gift Receipts			
Cash, Securities, GIK	\$131,242,981		17%
Irrevocable Trusts & Annuities	\$1,081,095**	\$112,032,939 \$1,213,979	-11%
Gifts from Bequests	\$11,239,064	\$28,323,681	-60%
Grants Administered through OSURF	\$66,449,107	\$63,027,564	5%
Total Gift Receipts	\$210,012,248	\$204,598,162	3%
Net Pledges Acquired	\$26,752,237	\$27,571,340	-3%
Net Revocable Planned Gifts Acquired			
Bequest Expectancies	\$27,559,051	\$16,198,347	70%
Trust Expectancies	\$19,838,556	\$6,061,332	227%
Total Net Planned Gifts	\$47,397,607	\$22,259,679	113%
Total	\$284,162,092	\$254,429,181	12%

Per national reporting standards, irrevocable trusts are counted at **present value.

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TOTAL UNIVERSITY PRIVATE SUPPORT
 July through June
 2005-06 Compared to 2004-05
GIFT RECEIPTS BY DONOR TYPE

	<u>Donors</u>			<u>Dollars</u>		
	<u>2005-06</u>	<u>2004-05</u>	<u>%Change</u>	<u>2005-06</u>	<u>2004-05</u>	<u>Change</u>
Individuals:						
Alumni (Current Giving)	64,707*	55,099	17	\$40,384,010	\$27,577,299	46
Alumni (Irrevocable						
Trusts and Annuities)	18	30	(40)	333,419**	761,534	(56)
Alumni (From Bequests)	<u>49</u>	<u>55</u>	(11)	<u>4,552,688</u>	<u>16,985,104</u>	(73)
Alumni Total	64,774	55,184	17	\$45,270,117	\$45,323,937	0
Non-Alumni (Current Giving)	43,179	42,596	1	\$25,954,680	\$20,696,012	25
Non-Alumni (Irrevocable						
Trusts and Annuities)	9	10	(10)	747,676**	452,445	65
Non-Alumni (From Bequests)	<u>40</u>	<u>35</u>	14	<u>6,686,377</u>	<u>11,338,577</u>	(41)
Non-Alumni Total	43,228	42,641	1	\$33,388,733	\$32,487,034	3
Individual Total	108,002	97,825	10	\$78,658,850	\$77,810,971	1
Corporations/Corp/Foundation:						
Gifts	4,694	4,590	2	\$36,556,953	\$36,895,200	(1)
Grants	<u>394</u>	<u>n/a</u>		<u>35,195,975</u>	<u>38,119,444</u>	(8)
Corp. Total	5,088	4,590		\$71,752,928	\$75,014,644	
Private Foundations:						
Gifts	581	534	9	\$19,877,358	\$22,780,296	(13)
Grants	<u>67</u>	<u>n/a</u>		<u>8,986,182</u>	<u>60,925</u>	14,650
Private Found. Total	648	534		\$28,863,540	\$22,841,221	
Associations & Other Organizations:						
Gifts	1,860	1,658	12	\$8,469,981	\$4,084,132	107
Grants	<u>125</u>	<u>n/a</u>		<u>22,266,950</u>	<u>24,847,195</u>	(10)
Assns & Other Org. Total	1,985	1,658		\$30,736,931	\$28,931,327	
Grand Total	115,723	104,607	11	\$210,012,248	\$204,598,162	3

*Per national reporting standards, alumni counts now include alumni spouse donors.

**Per national reporting standards, irrevocable trustees are counted at present value.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

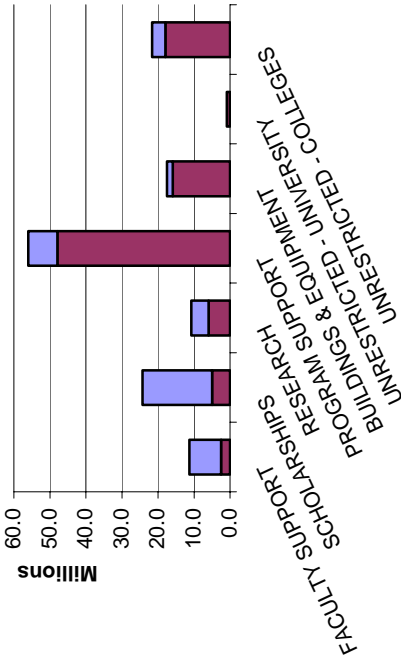
July through June

GIFT RECEIPTS BY PURPOSE

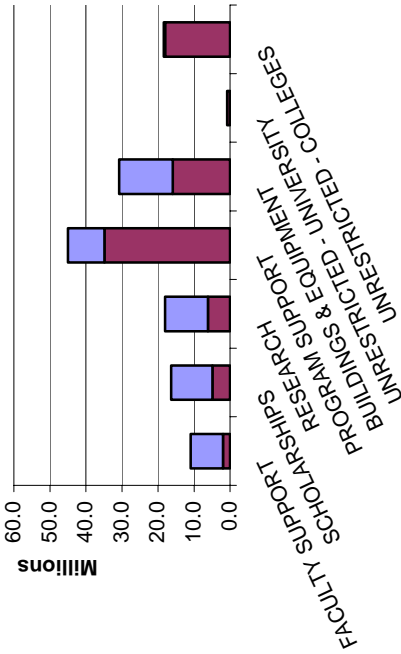
	2005-06	2004-05	
	<u>Current Use</u>	<u>Endowment</u>	<u>Total</u>
Faculty Support	2,488,722	8,823,130	11,311,852
Scholarships	4,982,633	19,251,123	24,233,756
Research	5,953,286	4,836,150	10,789,436
Program Support	47,927,445	8,102,253	56,029,697
Buildings and Equipment	15,905,326	1,621,759	17,527,085
Unrestricted – University	929,176	---	929,176
Unrestricted – Colleges	17,962,308	3,599,451	21,561,758
Total	\$96,148,896	\$46,233,865	\$142,382,761*

*Purpose Report Total does not include Irrevocable Deferred gifts, so the total will be lower than the total on the Donor Type Report.

Current Use FY06 Endowment FY06



Current Use FY05 Endowment FY05



THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Total
Gifts

Establishment of Named Endowed Chair

William H. Havener, M.D. Chair in Ophthalmology Research (Used to support a chair position for a faculty member in the Department of Ophthalmology; provided by gifts from friends and colleagues in honor of William H. Havener) (grandfathered)	\$1,285,548.00
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Establishment of Named Endowed Funds

Stephen Strasser, Ph.D. Award in Cardiovascular Research Fund (Used to provide annual research awards in cardiovascular research to fellows in the Division of Cardiology; provided by support from Stephen Strasser)	\$61,900.00
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OSU Medical Center Autism Endowment Fund in Neurology (Used to support research and professional education/training programs on the diagnosis, treatment, and cure of autism and/or related conditions or diseases; provided by support from Stephen Strasser)	\$60,527.00
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Barry Friedman, M.D. Scholarship Fund in General Internal Medicine (Used to provide annual scholarships in the Division of General Internal Medicine to medical students with an interest in this specialty; provided by support from Stephen Strasser)	\$60,400.00
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OSU Medical Center Child/Youth Psychiatry Education Fund (Used to provide child and adolescent psychiatry education and training experiences for health care professionals and trainees; provided by support from Stephen Strasser)	\$60,400.00
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OSU Medical Center Critical Care Education Fund (Used to support programs and special initiatives that enhance the education of medical professionals and improve the dissemination of medical knowledge in the field of critical care; provided by support from Stephen Strasser)	\$60,400.00
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The Hirzel Family Research Endowment Fund (Used to support research and education in functional foods related to inhibition and prevention of disease; provided by gifts from the Hirzel family and friends)	\$30,365.00
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The David E. Hahn Endowment Fund to Enhance the Student Experience (Used to support student activities and co-curricular activities including but not limited to the National Agricultural Marketing Association; provided by gifts from the Department of Agricultural, Environmental, and Development Economics in honor of Dr. David E. Hahn)	\$26,025.00
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Extension Community Development Fund (Used to provide program support for community development; provided by gifts from employee contributions)	\$25,000.00
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The Alfred L. Nicely, M.D. Resident Education Fund in Ophthalmology \$25,000.00
(Used to support the education and training of medical residents in the Department of Ophthalmology; provided by gifts from Dr. Alfred L. Nicely)

Department of Food Science and Technology Endowed Fund for Equipment \$16,364.00
(Used for the purchase, upgrade, maintenance and/or repair of equipment for the Department of Food Science and Technology's laboratory and the Food Industries Center; provided by gifts from friends and alumni of the College of Food, Agricultural, and Environmental Sciences) (grandfathered)

The Sheatzley/Resnik Endowed Fund \$15,000.00
(Used to provide scholarship support in the College of Optometry; provided by gifts from alumni and friends of the College of Optometry in memory of Brian Sheatzley and Astronaut Judith Resnik, daughter of Marvin Resnik) (grandfathered)

Change in Description of Named Endowed Fund

The Henry J. Barre Award for Graduate Study in Agricultural Engineering

Change in Name and Description of Named Endowed Funds

From: The Dairy Farmers of America, Inc. Agriculture and Human Ecology Fund

To: The Dairy Farmers of America, Inc., Don H. Schriver Agriculture and Human Ecology Fund

From: The Dairy Farmers of America, Inc. 4-H Fund

To: The Dairy Farmers of America, Inc., Don H. Schriver 4-H Fund

From: The Newman Endowed Scholarship in Forestry

To: The Ralph and Anne E. Newman Endowed Scholarship in Forestry

From: The Ohio State University Military Dependents Merit Scholarship Fund in Social and Behavioral Sciences

To: The Ohio State University Military Dependents Merit Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

Total
Gifts

Establishment of Named Endowed Chair

The John W. Wolfe Chair in Human Cancer Genetics \$1,510,018.00
(Used to support the salary and cancer research for a chair position; provided by gifts from The Robert F. Wolfe and Edgar T. Wolfe Foundation)

September 22, 2006 meeting, Board of Trustees

Establishment of Named Endowed Professorship

The Stephen F. Loebbs Professorship in Health Services Management and Policy \$753,006.00
(Used to provide salary and program support for the work of a distinguished teacher and scholar in the Health Services Management and Policy Program; provided by a gift from alumni and friends of the HSMP Program)

Establishment of Named Endowed Funds

The Gilbert Moody Fund for Excellence in Business Administration \$3,032,939.00
(Used to promote excellence in business education by faculty and students including areas of insurance and related financial services; provided by gifts from the estate of Gilbert Moody)

The Battelle/Bertram D. Thomas Scholarship Fund \$1,000,000.00
(Used to provide tuition scholarships for junior and senior honors undergraduate chemistry majors who have financial need; provided by gifts from The Battelle Memorial Institute Foundation)

The Howard Seeds Family Football Scholarship Fund \$150,000.00
(Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of the football team; provided by gifts from Howard and Sally Seeds)

The Lawrence D. Funderburke Scholarship Fund \$75,000.00
(Used to provide scholarships to students with preference given to minority or other underrepresented students; provided by a gift from Lawrence D. Funderburke)

The Connor Senn Memorial Scholarship Fund \$65,691.00
(Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of the men's soccer team; provided by gifts from friends and family)

Raul A. Hernandez, Sr., M.D. Endowed Athletic Scholarship Fund \$56,000.00
(Used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at OSU and is a member of the varsity baseball team; provided by a gift from Dr. Raul A. Hernandez, Jr.)

The Raduege Scholarship Fund \$33,180.00
(Used to supplement the grant-in-aid scholarship costs of a student manager in the sport of football who is pursuing an undergraduate degree at OSU; provided by gifts from friends and family of Raymond C. Raduege, Jr.)

The H.A.J. Hoitink Graduate Education Endowment Fund \$32,420.00
(Used for scholarships to support the graduate education of outstanding nationally and internationally recruited Masters and Ph.D.-level graduate students who are enrolled in the Department of Plant Pathology; provided by gifts from colleagues, friends, the compost technology industry, and the green industry in honor of Professor Harry (Henricus) A.J. Hoitink)

September 22, 2006 meeting, Board of Trustees

Bettina and James B. Emden Innovation Projects Fund (Used at the discretion of the dean of the Fisher College of Business for innovative projects; provided by gifts from Bettina Emden and James B. Emden)	\$30,000.00
Veterinary Medicine Class of 1954 Endowed Scholarship Fund (Used to provide one scholarship each year for a veterinary student who graduated from an Ohio high school; provided by gifts from members of the College of Veterinary Medicine Class of 1954)	\$28,250.00
The Ohio State University Alumni Club of Chicago Endowment Fund (Used to provide non-renewable scholarships to first-year students from Illinois, who are ranked in the top 25% of their high school class; provided by gifts from The Ohio State University Alumni Club of Chicago)	\$28,000.00
The Paul L. Wright Chair Fund in Agricultural Law (Used to support a tenure track position in the Ohio State University Extension; provided by gifts from Paul L. Wright)	\$27,063.38
The Robert J. Fass Memorial Fund in Infectious Diseases (Used to support the advancement of medical knowledge through medical education and research on infectious diseases; provided by gifts from family, friends, and colleagues in memory of Robert J. Fass, M.D.)	\$26,601.00
Governor James A. Rhodes Scholarship Endowment Fund (Used to provide scholarships to incoming freshmen or undergraduate students in the College of Food, Agricultural, and Environmental Sciences or ATI at Wooster; provided by gifts from the Ohio Expositions Commission, 4-H Youth Development, Ohio FFA, and friends of the late Governor James A. Rhodes)	\$26,359.00
The Brian M. Wollett Memorial Scholarship Fund (Used to provide a scholarship in the College of Pharmacy for a PharmD student who demonstrates financial need and could not otherwise attend the program; provided by gifts from Marilyn K. Wollett)	\$25,650.00
The Fortner Teacher Enhancement Scholarship Fund at Stone Laboratory (Used to provide scholarships for teachers at Stone Lab; provided by gifts from the Fortner family and friends of the Stone Laboratory in honor of Dr. Rosanne W. Fortner)	\$25,175.00
The Lawrence D. Funderburke Fisher College of Business Scholarship Fund (Used to provide scholarships to students attending the Fisher College of Business with preference given to minority or other underrepresented students; provided by a gift from Lawrence D. Funderburke)	\$25,150.00
Hocking County Youth Board Endowment Fund (Used to support the Hocking County Youth Board program; provided by gifts from numerous friends of the Hocking County Youth Board and Hocking County 4-H Program)	\$25,129.00
Judith Meezan Bayha Scholarship Fund (Used to support an MSW student in the College of Social Work; provided by gifts from Beatrice and Joseph Meezan)	\$25,100.00

September 22, 2006 meeting, Board of Trustees

Siu K. Yee Memorial Endowment Fund in Transplant Surgery (Used for interdisciplinary medical research and education in the OSU Medical Center's Comprehensive Transplant Center; provided by gifts from the Wings Charitable Foundation of Columbus, Ohio)	\$25,005.00
The Eloise B. Harper Scholarship Fund in Food Science and Technology (Used to provide scholarships to students majoring in food science and technology with preference given to female students; provided by gifts from Dr. James Harper, family and friends in memory of Eloise B. Harper)	\$25,004.00
Virgil L. and Adnelle Harvey Heskett and Gene Harvey and Kathleen B. Heskett Scholarship Endowment Fund (Used to provide scholarships for worthy residents or past residents of the Alumnae Scholarship House Program or its successor program; provided by a gift from Adnelle Harvey Heskett in honor of Gene H. Heskett and Kathleen B. Heskett, and in memory of Virgil L. Heskett)	\$25,000.00
The Margaret Noell Kindberg Memorial Fund (Used primarily to support travel opportunities for students in the College of the Arts; provided by gifts from Jon L. Kindberg in memory of Margaret Noell Kindberg)	\$25,000.00
The Cristi and John Ludwig Endowed Scholarship Fund (Used to provide scholarships for OSU students from Marion County, Ohio, who have demonstrated financial need; provided by a gift from Cristi and John Ludwig)	\$25,000.00
The Master's Endowed Scholarship Fund in Social Policy and Program Development (Used to provide a scholarship to an MSW student with an interest in social policy and program development and administration in the College of Social Work; provided by gifts from Wayne Moore and Laura Kaufman)	\$25,000.00
Rosalie E. Palius Endowment Fund for WOSU Public Media (Used to provide general operation support for WOSU Public Media; provided by gifts from the estate of Rosalie E. Palius)	<u>\$25,000.00</u>
<u>Change in Description of Named Endowed Funds</u>	
The Donny R. and G. Jeanne Baker Memorial Scholarship Fund	
The Henry C. Gelbke Endowed Scholarship Fund	
The Kenneth and Paul Zeisler Real Estate Scholarship Fund	
Total	\$8,902,669.38

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

William H. Havener, M.D. Chair in Ophthalmology Research

The William H. Havener, M.D., Chair in Ophthalmology Research Fund was established June 2, 1989 by the Board of Trustees of The Ohio State University with gifts from friends and colleagues in honor of William H. Havener, M.D. The description was revised on December 1, 1989. The funding level has been reached and the chair was established September 22, 2006.

The annual distribution from this fund shall be used to provide support for a chair position to a faculty member in the Department of Ophthalmology who is an outstanding teacher of residents and medical students in clinical ophthalmology and who provides excellent patient care. The recipient will be recommended by the chairperson of the Department of Ophthalmology to the senior vice president for Health Sciences and the dean of the College of Medicine and approved by the Board of Trustees.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$1,285,548.00 (grandfathered)

Establishment of Named Endowed Funds

Stephen Strasser, Ph.D. Award in Cardiovascular Research Fund

The Stephen Strasser, Ph.D. Award in Cardiovascular Research Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in honor of Charles A. Bush, M.D., with support from Stephen Strasser, Ph.D. (retired associate professor of Health Services Management and Policy) of Dublin, Ohio.

The annual distribution from this fund shall be used to provide annual research awards in cardiovascular research in the Division of Cardiology to fellows who have either distinguished themselves in the field or who have demonstrated such capability. Selection shall be based on merit and made at the recommendation of the director of the Division of Cardiology in consultation with the chairperson of the Department of Internal Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

September 22, 2006 meeting, Board of Trustees

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of the Division of Cardiology in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$61,900.00

OSU Medical Center Autism Endowment Fund in Neurology

The OSU Medical Center Autism Endowment Fund in Neurology was established September 22, 2006, by the Board of Trustees of The Ohio State University in honor of David Beversdorf, M.D., with support from Stephen Strasser, Ph.D. (retired associate professor of Health Services Management and Policy) of Dublin, Ohio.

The annual distribution from this fund shall support research and professional education and training programs on the diagnosis, treatment and cure of autism and/or related conditions or diseases. Income allocation shall be made at the recommendation of the chairperson of the Department of Neurology. Support may be provided for, but is not limited to: lectures by visiting faculty; supplies or equipment; conference, travel, or publishing costs for research or educational purposes; implementation of new instructional technology or teaching models; or for fellowships or scholarships.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Neurology in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$60,527.00

Barry Friedman, M.D. Scholarship Fund in General Internal Medicine

The Barry Friedman, M.D. Scholarship Fund in General Internal Medicine was established September 22, 2006, by the Board of Trustees of The Ohio State University in honor of Barry Friedman, M.D., with support from Stephen Strasser, Ph.D. (retired associate professor of Health Services Management and Policy) of Dublin, Ohio.

The annual distribution from this fund shall be used to provide annual scholarships in the Division of General Internal Medicine to medical students with an interest in this specialty. Selection shall be based on merit or on financial need and made at the recommendation of the director of the Division of General Internal Medicine in consultation with the College of Medicine's Committee for Scholarship Awards and with the Office of Student Financial Aid.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of the Division of General Internal Medicine in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$60,400.00

OSU Medical Center Child/Youth Psychiatry Education Fund

The OSU Medical Center Child/Youth Psychiatry Education Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in honor of Kitty Soldano, Ph.D., with support from Stephen Strasser, Ph.D. (retired associate professor of Health Services Management and Policy) of Dublin, Ohio.

The annual distribution from this fund shall be used to provide child and adolescent psychiatry education and training experiences for health care professionals and trainees. Educational and training opportunities will be identified by a multidisciplinary education and training committee of the Division of Child and Adolescent Psychiatry in the College of Medicine and recommended to the chairperson of the Department of Psychiatry for approval. Educational opportunities for professionals working with children and adolescents in the broader community will be given special consideration.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Psychiatry in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$60,400.00

OSU Medical Center Critical Care Education Fund

The OSU Medical Center Critical Care Education Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in honor of James Allen, M.D., with support from Stephen Strasser, Ph.D. (retired associate professor of Health Services Management and Policy) of Dublin, Ohio.

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The annual distribution from this fund shall support programs and special initiatives that enhance the education of medical professionals and improve the dissemination of medical knowledge in the field of critical care. Selection shall be made at the recommendation of the director of the Division of Pulmonary and Critical Care Medicine in consultation with the chairperson of the Department of Internal Medicine. Distribution may support, but is not limited to: lectures by visiting faculty; supplies or equipment; conference, travel, or publishing costs for educational purposes; or incorporation of instructional technology or new teaching models.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of the Division of Pulmonary and Critical Care Medicine in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$60,400.00

The Hirzel Family Research Endowment Fund

The Hirzel Family Research Endowment Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University with gifts from the Hirzel family and friends.

The annual distribution from this fund shall be used to support research and education in functional foods related to inhibition and prevention of disease. Preference will be given to advancing team efforts between food scientists and medical scientists, such as collaborations between the food science faculty or endowed chairs and The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute. These efforts must advance our knowledge of how food improves health or functions in a physiologically significant way.

Expenditures shall be directed by the chairperson of the Department of Food Science and Technology or a successor in consultation with the faculty of the department or other academic programs that actively engage in the study of functional foods for the maintenance of health including research and education. The fund should inspire scholars to enter this field of discovery by directly supporting faculty and student work in investigating functional foods related to health issues. The ultimate beneficiaries should be consumers who achieve better health from the outcomes of this endowed program.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated

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by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$30,365.00

The David E. Hahn Endowment Fund to Enhance the Student Experience

The David E. Hahn Endowment Fund to Enhance the Student Experience was established September 22, 2006, by the Board of Trustees of The Ohio State University with gifts from the Department of Agricultural, Environmental, and Development Economics in honor of Dr. David E. Hahn (Ph.D., Cornell University; M.S., Pennsylvania State University; B.S., The Ohio State University).

The annual distribution from this fund shall be used to support undergraduate and graduate student activities, and co-curricular activities including, but not limited to, the National Agricultural Marketing Association (NAMA). The distribution shall support student activities, including educational facilities, equipment, and materials, travel, and awards. Uses shall not include scholarship or fellowship support. Expenditures shall be approved by the chairperson of the Department of Agricultural, Environmental, and Development Economics or their successor in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the chairperson of the Department of Agricultural, Environmental, and Development Economics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$26,025.00

Extension Community Development Fund

The Extension Community Development Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University with gifts from employee contributions and transfers of funds from existing accounts within OSU Extension.

The annual distribution from this fund shall be used for program support for community development work with OSU Extension. Expenditures shall be approved by the assistant director of the OSU Extension Community Development Program in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences.

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In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the assistant director of OSU Extension Community Development Program in consultation with the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

The Alfred L. Nicely, M.D. Resident Education Fund in Ophthalmology

The Alfred L. Nicely, M.D. Resident Education Fund in Ophthalmology was established September 22, 2006, by the Board of Trustees of The Ohio State University with gifts from Dr. Alfred L. Nicely (B.S., 1957; M.D., 1961; M.M.S., 1965) of Akron, Ohio.

The annual distribution from this fund shall be used to support the education and training of medical residents in the Department of Ophthalmology. Allocation of funds shall be approved by the chairperson of the Department of Ophthalmology and with the senior vice president for Health Sciences and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Ophthalmology in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Department of Food Science and Technology Endowed Fund for Equipment

The Department of Food Science and Technology Endowed Fund for Equipment was established September 22, 2006, by the Board of Trustees of The Ohio State University with gifts from friends and alumni of the College of Food, Agricultural, and Environmental Sciences.

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The annual distribution from this fund shall be used for the purchase, upgrade, maintenance and/or repair of equipment including analytical instruments, prototype processing equipment, and packaging equipment for the Department of Food Science and Technology's laboratory and the Food Industries Center. Expenditures shall be recommended by the chairperson of the Department of Food Science and Technology and approved by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the chairperson of the Department of Food Science and Technology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$16,364.00 (Grandfathered)

The Sheatzley/Resnik Endowed Fund

The Sheatzley/Resnik Endowed Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University with gifts from alumni and friends of the College of Optometry. The fund is in memory of Brian Sheatzley (O.D., 1973) and Astronaut Judith Resnik, daughter of Marvin Resnik (B.S., 1946).

The annual distribution from this fund shall be used to support a scholarship in the College of Optometry. Scholarship recipients shall be selected by the dean of the College of Optometry in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Optometry. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$15,000.00 (grandfathered)

September 22, 2006 meeting, Board of Trustees

Change in Description of Named Endowed Fund

The Henry J. Barre Award for Graduate Study in Agricultural Engineering

The Henry J. Barre Award for Graduate Study in Agricultural Engineering was established April 6, 1990, by the Board of Trustees of The Ohio State University with gifts from Gertrude A. Barre, James F. Barre (B.I.E., 1962), Jeanne Barre Sather (B.F.A., 1967), and friends in memory of Dr. Barre, Professor Emeritus in the Department of Agricultural Engineering (now the Department of Food, Agricultural, and Biological Engineering). The description was revised September 22, 2006.

The annual distribution from this fund shall be used to stimulate graduate study in the farming side of agricultural engineering with first priority given to a student in grain drying, storage, and materials handling. Expenditures from the fund shall be authorized by the chairperson of the Department of Food, Agricultural, and Biological Engineering or his/her designee.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Food, Agricultural, and Biological Engineering or his/her designee. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Change in Name and Description of Named Endowed Funds

The Dairy Farmers of America, Inc., Don H. Schriver Agriculture and Human Ecology Fund

The Milk Marketing Inc. Agriculture and Human Ecology Fund was established December 3, 1993, by the Board of Trustees of The Ohio State University with gifts from Milk Marketing Inc., Strongsville, Ohio. The name and description were revised to reflect the new name of the company July 10, 1998, to The Dairy Farmers of America, Inc. Agriculture and Human Ecology Fund. The name and description were further revised September 22, 2006.

The annual distribution from this fund shall be used to support programs and scholarships within the College of Food, Agricultural, and Environmental Sciences and the College of Education and Human Ecology. Support shall include at least one scholarship in each of these areas: dairy science, agricultural economics, and ATI dairy in the College of Food, Agricultural, and Environmental Sciences and in either human nutrition or home economics education in the College of Education and Human Ecology. Support shall also include ATI and OARDC programs, LEAD, and other priority programs identified by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and the CEO and/or executive vice president of Dairy Farmers of America, Inc., or their designee or its successor organization.

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Annual awards will be directed by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences or their designee in consultation with the CEO and/or executive vice president of Dairy Farmers of America, Inc. or their designee or its successor organization. Scholarship and award recipients shall be selected annually by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences or their designee, the dean of the College of Education and Human Ecology or their designee, the director of ATI or their designee, and the CEO and/or executive vice president of Dairy Farmers of America, Inc. or their designee, in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

The Dairy Farmers of America, Inc., Don H. Schriver 4-H Fund

The Milk Marketing Inc. 4-H Fund was established December 3, 1993, by the Board of Trustees of The Ohio State University with gifts from Milk Marketing Inc., Strongsville, Ohio. The name and description were revised to reflect the new name of the company July 10, 1998, to The Dairy Farmers of America, Inc. 4-H Fund. The name and description were further revised September 22, 2006.

The annual distribution from this fund shall be used to support programs within the Ohio State University Extension state 4-H program including, but not exclusively, the 4-H Dairy Award, the 4-H Congress, the National 4-H Center and programs, the Dairy Conference, and annual needs. Annual distribution shall be directed by the state 4-H leader, in conjunction with the Ohio 4-H Foundation Board of Trustees, with final approval by the director of Ohio State University Extension or his/her designee in consultation with the CEO and/or executive vice president of Dairy Farmers of America, Inc. or its successor organization and/or designee.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

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The Ralph and Anne E. Newman Endowed Scholarship Fund in Forestry

The Newman Endowed Scholarship in Forestry was established November 30, 1979, by the Board of Trustees of The Ohio State University with gifts from Ralph and Anne (B.S., 1976; M.S., 1982; Ph.D., 1990) Newman. The name and description were revised September 22, 2006.

The annual distribution from this fund shall be used to provide scholarships for sophomore, junior, or senior students majoring in programs leading to a forestry degree in the School of Environment and Natural Resources in the College of Food, Agricultural, and Environmental Sciences. Preference will be given to those students interested in land reclamation through reforestation and will be based on the applicant's ability, character, and financial need. Selection of the recipients will be made by the scholarship committee of the School of Environment and Natural Resources in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of the School of Environment and Natural Resources. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

The Ohio State University Military Dependents Merit Scholarship Fund

The Ohio State University Military Dependents Merit Scholarship Fund in Social and Behavioral Sciences was established June 29, 2001, by the Board of Trustees of The Ohio State University with gifts from friends, relatives, and corporate friends of military men and women of the United States Armed Forces. The name and description were revised September 22, 2006.

The annual distribution from this fund shall provide annual scholarships to undergraduate students enrolled in one of the colleges of the Arts and Sciences, who qualify as dependents of career service members with at least ten (10) years of active duty military service. Students with interdisciplinary majors in the Arts and Sciences are also eligible. Scholarships are renewable as long as the students maintain a cumulative grade point average of 3.0 or better and are enrolled as full-time students. In selecting the recipients of this scholarship, strong consideration will be given to high school rank. The scholarships will be administered by the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the Office of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chair

The John W. Wolfe Chair in Human Cancer Genetics

The John W. Wolfe Human Cancer Genetics Research Endowment Fund was established June 2, 2000, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Robert F. Wolfe and Edgar T. Wolfe Foundation. The funding level has been reached and the chair was established September 22, 2006.

The annual distribution from this fund shall be used to support the salary and cancer research conducted by the scientist appointed to The John W. Wolfe Chair in Human Cancer Genetics at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, the senior vice president for Health Sciences, and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$1,510,018.00

Establishment of Named Endowed Professorship

The Stephen F. Loebs Professorship in Health Services Management and Policy

The Health Services Management and Policy Professorship Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from alumni and friends of the Health Services Management and Policy Program. The name and description of the fund were revised on September 22, 2004, to The Stephen F. Loebs Professorship Fund in Health Services Management and Policy. The funding level has been reached and the professorship was established September 22, 2006.

September 22, 2006 meeting, Board of Trustees

The annual distribution shall be used to provide salary and program support for the work of a distinguished teacher and scholar in the Health Services Management and Policy Program. Distribution will be made at the recommendation of the director of the Health Services Management and Policy Program in consultation with the dean for Public Health in the School of Public Health, and the senior vice president for Health Sciences and dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Health Services Management and Policy Program, the dean for Public Health in the School of Public Health, and the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$753,006.00

Establishment of Named Endowed Funds

The Gilbert Moody Fund for Excellence in Business Administration

The Gilbert Moody Fund for Excellence in Business Administration was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Gilbert Moody (B.S.Bus.Adm., 1934).

The annual distribution from this fund shall be used by the dean of The Max M. Fisher College of Business to promote excellence in business education by faculty and students, including the areas of insurance and related financial services. The fund's spending authority shall reside with the dean of the Fisher College of Business.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$3,032,939.00

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The Battelle/Bertram D. Thomas Scholarship Fund

The Battelle/Bertram D. Thomas Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Battelle Memorial Institute.

The annual distribution shall be used to fund full tuition scholarships for junior or senior honors undergraduate chemistry majors who have financial need. In addition, the annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, African-Americans or other underrepresented groups, who have been accepted for admissions at the University.

These scholarships are for three quarters in the given academic year and are renewable as long as financial need exists. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. The chairperson of the Department of Chemistry shall administer this fund in collaboration with the associate provost for Honors and Scholars and the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully funded, the unused portion should be reinvested in the principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Chemistry and the associate provost for Honors and Scholars. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$1,000,000.00

The Howard Seeds Family Football Scholarship Fund

The Howard Seeds Family Football Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Howard and Sally Seeds of North Lima, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the football team. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

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assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors or Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscious and need dictate.

Amount Establishing Endowment: \$150,000.00

The Lawrence D. Funderburke Scholarship Fund

The Lawrence D. Funderburke Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Lawrence D. Funderburke (B.S.Bus.Adm., 1994).

The annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, minority or other underrepresented students, who have been accepted for admissions at the University. First preference shall be given to students who have participated in the Lawrence Funderburke Youth Organization, Inc.; second preference to participants of the Boys and Girls Club of Columbus, Ohio, the Salesian Boys and Girls Club of Columbus, Ohio, and/or the J. Ashburn, Jr. Youth Center of Columbus, Ohio; third preference to student athletes no longer on grant-in-aid who are completing their undergraduate degrees and are of good standing as students; and fourth preference to financially disadvantaged students.

A Scholarship Recommendation Committee consisting of representatives from the Lawrence Funderburke Youth Organization; the Boys and Girls Club of Columbus, Ohio; the Salesian Boys and Girls Club of Columbus, Ohio; and the J. Ashburn, Jr. Youth Center of Columbus, Ohio, will make recommendations for candidates qualifying for first or second preferences. Such recommendations shall be made to the University by April 1. The University will only make awards for candidates qualifying for third and fourth preferences after consultation with the donor or donor's designee. If the scholarships are awarded to students qualifying for preferences one or two, it shall be renewable for a total of 12 quarters, provided that students maintain a 2.5 grade point average. If in any given year the scholarships are awarded to students qualifying for preferences three or four, then that award shall be capped at \$1,500 and shall not be renewable. Scholarships shall be awarded by the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Office of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$75,000.00

The Connor Senn Memorial Scholarship Fund

The Connor Senn Memorial Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and family in memory of Connor Senn.

Connor was a freshman at OSU, where he was a member of the men's soccer team. Connor's effort, determination, and desire were an inspiration to his teammates, and still are to this day. This effort and achievement were such an inspiration, his teammates established the mantra "play like Connor today" as the way everyone could live their lives.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the men's soccer team. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$65,691.00

Raul A. Hernandez Sr., M.D. Endowed Athletic Scholarship Fund

The Raul A. Hernandez Sr., M.D. Endowed Athletic Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Raul A. Hernandez, Jr. (B.S.Bus.Adm., 1983; M.D., 1989) of Zanesville, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student-athlete who is pursuing an undergraduate degree at The Ohio State University and is a member of the varsity baseball team. Scholarship recipients shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:	\$56,000.00
Total Commitment:	\$112,000.00

The Raduege Scholarship Fund

The Raduege Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and family of Raymond C. Raduege, Jr. (B.S., 1972).

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of a student manager in the sport of football who is pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:	\$33,180.00
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The H.A.J. Hoitink Graduate Education Endowment Fund

The H.A.J. Hoitink Graduate Education Endowment Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from colleagues, friends, the compost technology industry, and the green industry in honor of Professor Harry (Henricus) A.J. Hoitink, professor emeritus in the Department of Plant Pathology. During his career, he established himself as one of the world's leading scientists in the area of waste recycling with emphasis on biological control of diseases of ornamental plants.

The annual distribution from this fund shall be used for scholarships to support the graduate education of outstanding nationally and internationally recruited Masters and Ph.D.-level graduate students who are enrolled in the Department of

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Plant Pathology. The selected students should have interest in doing thesis research in the area of biological control of diseases of woody plants (both in the nursery and the landscape) or in the study of compost technology. Expenditures from the fund shall be directed by the graduate studies committee of the Department of Plant Pathology in consultation with the Office of Student Financial Aid and the Graduate School.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the chairperson of the Department of Plant Pathology or their successors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$32,420.00

Bettina and James B. Emden Innovation Projects Fund

The Bettina and James B. Emden Innovation Projects Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Bettina Emden and James B. Emden (B.A., 1969).

The annual distribution from this fund shall be used at the discretion of the dean of The Max M. Fisher College of Business for innovative projects within the College.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$30,000.00

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Veterinary Medicine Class of 1954 Endowed Scholarship Fund

The Veterinary Medicine Class of 1954 Endowed Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from members of the College of Veterinary Medicine Class of 1954.

The annual distribution from this fund shall be used to fund one (1) annual scholarship for a currently enrolled veterinary student who graduated from an Ohio high school. Scholarship recipients shall be selected by the dean of the College of Veterinary Medicine in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Veterinary Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$28,250.00

The Ohio State University Alumni Club of Chicago Endowment Fund

The Ohio State University Alumni Club of Chicago Endowment Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Ohio State University Alumni Club of Chicago.

The annual distribution from this fund shall provide non-renewable scholarships to first-year students from Illinois who are ranked in the top 25% of their high school class. Preference shall be given to students with outstanding school and community leadership accomplishments. Scholarship candidates will be ranked and recommended by The Ohio State University Alumni Club of Chicago and recipients will be selected by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Office of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$28,000.00

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The Paul L. Wright Chair Fund in Agricultural Law

The Paul L. Wright Chair Fund in Agricultural Law was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Paul L. Wright and others.

Until the principal of this fund reaches a minimum of \$1.5 million the annual distribution shall be used to support the current Agricultural Law Program in the College of Food, Agricultural, and Environmental Sciences. Expenditures may include visits from a "Distinguished Visitor" or a specialist in the area of agricultural law for continuing education and seminars/workshops for current students in The Michael E. Moritz College of Law, students in the College of Food, Agricultural, and Environmental Sciences, and Extension Educators.

When the principal of the fund reaches \$1.5 million, the fund name will be changed to "The Paul L. Wright Chair in Agricultural Law" and the annual distribution shall be used to support a tenure track faculty position in the Ohio State University Extension. In addition to supplementing the salary of the chair position, the annual distribution may continue to be used for the purpose listed above. Appointment to the chair shall be recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, associate vice president for Agricultural Administration, and director of Ohio State University Extension or their designees, and the Extension Administrative Cabinet in consultation with the dean of the Moritz College of Law to the provost and approved by the Board of Trustees.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences, associate vice president for Agricultural Administration, and director of Ohio State University Extension or their designees, and the Extension Administrative Cabinet in consultation with the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:	\$27,063.38
Total Commitment:	\$1,500,000.00

The Robert J. Fass Memorial Fund In Infectious Diseases

The Robert J. Fass Memorial Fund in Infectious Diseases was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from family, friends, and colleagues made in memory of Robert J. Fass, M.D. (residency training, Internal Medicine, 1968-69; fellowship, Infectious Diseases, 1969-71; clinical instructor to professor,

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Internal Medicine, 1970-2002) of Columbus, Ohio; and given in honor of his career and leadership in Infectious Diseases.

The annual distribution from this fund shall be used to support the advancement of medical knowledge through medical education and research on infectious diseases, with emphasis on but not limited to, AIDS and antimicrobials. Funds may be used for personnel, supplies, equipment, publications, conferences, and other activities for quality medical research or training and education. As funding permits, preference shall be given to the creation of the Robert J. Fass M.D. Memorial Lectureship in Infectious Diseases presented by a visiting professor, renowned educator/researcher, or a faculty member who is recognized in the field or a related specialty. Funds may be used for an honorarium; travel, meals and lodging expenses; brochures, mailing and other publicity costs; and other associated expenses.

Allocation of funds shall be approved by the director of the Division of Infectious Diseases in consultation with the chairperson of the Department of Internal Medicine and with the senior vice president for Health Sciences and the dean of the College of Medicine.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Division of Infectious Diseases in consultation with the chairperson of the Department of Internal Medicine and with the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$26,601.00

Governor James A. Rhodes Scholarship Endowment Fund

The Governor James A. Rhodes Scholarship Endowment Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Ohio Expositions Commission, 4-H Youth Development, Ohio FFA, and friends of the late Governor James A. Rhodes.

The annual distribution from this fund shall provide one or more scholarships to incoming freshmen or undergraduate students at The Ohio State University College of Food, Agricultural and Environmental Sciences, or OSU-ATI, Wooster. Scholarship recipients will have a history of active participation in Junior Fair activities at the Ohio State Fair. Scholarship recipients shall be chosen by the Ohio 4-H Youth Development state awards selection committee, in consultation with the scholarship coordinator of the College of Food, Agricultural and Environmental Sciences, the Office of Student Financial Aid, the Ohio Expo Commission, Ohio FFA, and the late Governor Rhodes' family.

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The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and a committee representing the Ohio Expositions Commission, Rhodes' family members, and 4-H and FFA representatives. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$26,359.00

The Brian M. Wollett Memorial Scholarship Fund

The Brian M. Wollett Memorial Scholarship Fund in the College of Pharmacy was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Marilyn K. Wollett (B.S., 1965) in memory of Brian M. Wollett.

The annual distribution from this fund shall be used to support a scholarship in the College of Pharmacy for a PharmD student who demonstrates financial need and could not otherwise attend the program. Criteria include a one-page essay describing how this scholarship will enable the student to attend The Ohio State University and obtain his/her degree in the College of Pharmacy. This fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, underrepresented groups in the pharmacy profession who have been accepted for admissions at the University. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. Scholarship recipients will be selected by the dean of the College of Pharmacy in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Pharmacy. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,650.00

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The Fortner Teacher Enhancement Scholarship Fund at Stone Laboratory

The Fortner Teacher Enhancement Scholarship Fund at Stone Laboratory was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Fortner family and friends of the Stone Laboratory in honor of Dr. Rosanne W. Fortner.

Dr. Fortner, professor emeritus in the School of Environment and Natural Resources, developed Ohio Sea Grant workshops into Stone Lab courses for teachers and taught at the lab from 1984- 2005, serving several years as associate director. She devoted her career to enhancing science literacy through teacher education about the Earth system and especially the Great Lakes.

The annual distribution from this fund shall be used to perpetuate the work of Stone Lab in providing environmental science education by providing scholarships for teachers at Stone Lab and enhancing teaching based on Great Lake studies. Scholarship recipients will be selected based on financial need and a demonstrated desire to enhance their qualifications for teaching interdisciplinary Great Lake studies. Expenditures will be approved by the director of Stone Laboratory and the Ohio Sea Grant College Program. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the director of the School of Environment and Natural Resources and the director of Stone Laboratory and Ohio Sea Grant. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,175.00

The Lawrence D. Funderburke Fisher College of Business Scholarship Fund

The Lawrence D. Funderburke Fisher College of Business Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Lawrence D. Funderburke (B.S.Bus.Adm., 1994).

The annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, minority or other underrepresented students who have been accepted for admissions at the University attending The Max M. Fisher College of Business. First preference shall be given to students who have participated in the Lawrence Funderburke Youth Organization, Inc.; second preference to participants of the Boys and Girls Club of Columbus, Ohio; the Salesian Boys and Girls Club of

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Columbus, Ohio; and/or the J. Ashburn, Jr. Youth Center of Columbus, Ohio. Third preference shall be given to student athletes no longer on grant-in-aid who are completing their undergraduate degrees and are of good standing as students; and fourth preference to financially disadvantaged students.

A Scholarship Recommendation Committee consisting of representatives from the Lawrence Funderburke Youth Organization; the Boys and Girls Club of Columbus, Ohio; the Salesian Boys and Girls Club of Columbus, Ohio; and the J. Ashburn, Jr. Youth Center of Columbus, Ohio, will make recommendations for candidates qualifying for first or second preferences. Such recommendations shall be made to the University by April 1. The Fisher College of Business will only make awards for candidates qualifying for third and fourth preferences after consultation with the donor or donor's designee. If the scholarships are awarded to students qualifying for preferences one or two, it shall be renewable for a total of 12 quarters, provided that students maintain a 2.5 grade point average. If in any given year, the scholarships are awarded to students qualifying for preferences three or four, then that award shall be capped at \$1,500 and shall not be renewable. Scholarships shall be awarded by the Fisher College of Business in consultation with the Office of Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,150.00

Hocking County Youth Board Endowment Fund

The Hocking County Youth Board Endowment Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from numerous friends of the Hocking County Youth Board and Hocking County 4-H Program.

The annual distribution from this fund shall be used to support the Hocking County Youth Board program. Potential uses for the distribution include, but are not limited to, funding grants to youth serving organizations in Hocking County, and purchasing supplies, equipment, and services that will supplement programming or increase visibility and public support for the Hocking County Youth Board. The Hocking County Youth Board and the Ohio State University Extension professional responsible for the Hocking County Youth Board program shall approve all expenditures from this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Ohio State University Extension and a committee representing the Hocking County Youth Board Endowment Fund donors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,129.00

Judith Meezan Bayha Scholarship Fund

The Judith Meezan Bayha Scholarship Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Beatrice and Joseph Meezan.

The annual distribution from this fund shall be used to support an MSW student in the College of Social Work. Preference will be given to a clinical student with an interest and intention to practice with young children (under age 12) with emotional problems. This interest will be determined by agency placement (preference for an outpatient setting). Further preference will be given to a student working with children who have a history of neglect, or physical, emotional or sexual abuse. Scholarship recipients will be selected by the dean of the College of Social Work in consultation with the director of the Master of Social Work Program. Scholarships will be awarded in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social Work in consultation with the director of the Master of Social Work Program. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,100.00

Siu K. Yee Memorial Endowment Fund in Transplant Surgery

The Siu K. Yee Memorial Endowment Fund in Transplant Surgery was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made in memory of the late Siu K. Yee from her family through the Wings Charitable Foundation of Columbus, Ohio.

The annual distribution from this fund shall be used for interdisciplinary medical research and education in the OSU Medical Center's Comprehensive Transplant Center as recommended and approved by the executive director of the Comprehensive Transplant Center and the director of the Division of Transplantation Surgery in consultation with the chairperson of the Department of

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Surgery and with the senior vice president for Health Sciences and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and the dean of the College of Medicine in consultation with the chairperson of the Department of Surgery. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,005.00

The Eloise B. Harper Scholarship Fund in Food Science and Technology

The Eloise B. Harper Scholarship Fund in Food Science and Technology was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. James Harper, family, and friends in memory of Eloise B. Harper.

The annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, female students who have been accepted for admissions at the University and are majoring in food science and technology. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. Scholarship recipients will be selected by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,004.00

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Virgil L. and Adnelle Harvey Heskett and Gene Harvey and Kathleen B. Heskett
Scholarship Endowment Fund

The Virgil L. and Adnelle Harvey Heskett and Gene Harvey and Kathleen B. Heskett Scholarship Endowment Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Adnelle Harvey Heskett (B.S., 1938) in honor of Gene H. Heskett (B.S., 1973; MBA, 1974) and Kathleen B. Heskett and in memory of Virgil L. Heskett (B.S., 1935; M.S., 1938).

The annual distribution from this fund shall provide one or more scholarships for worthy residents or past residents of the Alumnae Scholarship House Program (ASH) or its successor program. First preference is for current ASH program residents. Second preference is for previous ASH residents who are enrolled in professional or graduate programs on the Columbus campus. Scholarship recipients shall be selected by the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Office of Student Financial Aid in consultation with the ASH Advisory Board. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

The Margaret Noell Kindberg Memorial Fund

The Margaret Noell Kindberg Memorial Fund was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jon L. Kindberg (B.A., 1971) in memory of Margaret Noell Kindberg (B.S., 1957; M.A., 1960; Ph.D., 1970).

The annual distribution from this fund shall be used primarily to support travel opportunities for students in the College of the Arts. Funds may also be used to provide assistance to a College of the Arts student experiencing a financial hardship. Expenditures from this fund shall be determined by the dean and associate deans of the College of the Arts.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean and associate deans of the College of the Arts. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

The Cristi and John Ludwig Endowed Scholarship Fund

The Cristi and John Ludwig Endowed Scholarship Fund for students from Marion County, Ohio, was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Cristi and John Ludwig (B.S.Bus.Adm., 1982; B.S.E.E., 1982), respectively, of Bellevue, Washington.

The annual distribution from this fund shall be used to provide scholarships for Ohio State students from Marion County, Ohio, attending any of The Ohio State University campuses, who have demonstrated financial need. The Office of Financial Aid will determine the recipients and the amount of each award. The scholarships may be renewed.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Office of Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

The Master's Endowed Scholarship Fund in Social Policy and Program Development

The Master's Endowed Scholarship Fund in Social Policy and Program Development in the College of Social Work was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Wayne Moore (M.S.W., 1975) and Laura Kaufman (M.S.W., 1975).

This endowment is established in honor of Dr. Milton Rosner, professor emeritus in the College of Social Work. Dr. Rosner was a popular professor from 1969-85, when he taught social policy and program development in the public and private sectors.

The annual distribution from this fund shall provide a scholarship to a Master of Social Work (MSW) student with an interest in social policy and program development and administration in the College of Social Work. Scholarship recipients shall be selected by the dean of the College of Social Work and the

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director of the MSW program in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social Work and the director of the MSW program. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Rosalie E. Palius Endowment Fund for WOSU Public Media

The Rosalie E. Palius Endowment Fund for WOSU Public Media was established September 22, 2006, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Rosalie E. Palius (M.A., 1953).

The annual distribution from this fund shall provide general operation support for WOSU Public Media at the discretion of the general manager of WOSU Public Media.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for University Relations and the general manager of WOSU Public Media. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$25,000.00

Change in Description of Named Endowed Funds

The Donny R. and G. Jeanne Baker Memorial Scholarship Fund

The Donny R. and G. Jeanne Baker Memorial Scholarship Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio

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State University Foundation, with gifts from Trent R. Baker (B.A., 1983) and Todd L. Baker (B.S.Bus.Adm., 1991), in honor of their parents, Donny R. Baker (B.S.Ed., 1963) and G. Jeanne Baker. The description was revised September 22, 2006.

The annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, minority students from low-income neighborhoods who are graduates of Columbus City Schools, have displayed leadership and integrity, are active in community service, have participated in the Urban Concern programs, and who have been accepted for admissions at the University. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. Scholarships will be awarded by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Office of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

The Henry C. Gelbke Endowed Scholarship Fund

The Henry C. Gelbke Endowed Scholarship Fund was established June 29, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Herman R. Gelbke wishing to honor his brother, Henry C. Gelbke. The description was revised on December 5, 2003, and was further revised September 22, 2006.

The annual distribution from this fund shall be used to support an Agricultural Technical Institute student studying dairy cattle production and management or dairy science. This scholarship will be restricted to a qualified student who has maintained at least a 2.5 GPA. Preference shall be given, but not limited to, a student from the northeastern part of Ohio who intends to return to the farm and who demonstrates financial need.

Scholarships shall be awarded in consultation with the ATI Financial Aid Coordinator and appropriate faculty members at the Agricultural Technical Institute. A letter of notification naming the scholarship recipient shall be sent annually to the donor and the Trumbull County Extension office.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Agricultural Technical Institute in consultation with the director of the dairy cattle production and management program. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

The Kenneth and Paula Zeisler Real Estate Scholarship Fund

The Kenneth A. Zeisler Scholarship Fund in the College of Law was established August 30, 2000, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Kenneth A. Zeisler (B.S.Bus.Adm., 1961; J.D., 1961) and Paula D. Zeisler. The name was revised on October 3, 2003. The description was revised September 22, 2006.

The annual distribution from this fund shall be used to fund a scholarship for one student in The Michael E. Moritz College of Law with a preference given to an entering student who demonstrates financial need and an interest in pursuing a career in real estate law. Selection shall be made by the Moritz College of Law with formal approval by the dean of the Moritz College of Law in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2007-35

April – June 2006

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

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WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of April – June 2006; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 86 waivers of competitive bidding requirements for annual purchases totaling approximately \$38,144,929 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 29 waivers of competitive bidding requirements for annual purchases totaling approximately \$12,085,023 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April – June 2006 is hereby accepted.

(See Appendix XII for background information, page 337.)

**APPROVAL TO ENTER INTO FEASIBILITY STUDY, DESIGN, AND
CONSTRUCTION CONTRACTS**

Resolution No. 2007-36

APPROVAL TO ENTER INTO FEASIBILITY STUDY CONTRACTS

MERSHON AUDITORIUM - FEASIBILITY STUDY
NEW ELECTRICAL SUBSTATION – ENGINEERING STUDY

APPROVAL TO ENTER INTO DESIGN CONTRACTS

AIRPORT PAVEMENT – TAXIWAY A-EAST REHABILITATION AND
B REMOVAL
AIRPORT REHABILITATION – SECURITY FENCE
DOAN HALL – 9 WEST BURN UNIT RENOVATION
DOAN HALL – OPERATING ROOMS 9 AND 10
OHIO UNION GARAGE – RENOVATION AND EXPANSION
OSU GOLF COURSE – KEPLER CLUB HOUSE
BAG ROOM RENOVATION
VETERINARY MEDICINE – BASEMENT SHELL SPACE

APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS

AIRPORT PAVEMENT – TAXIWAY A-EAST REHABILITATION AND
B REMOVAL
AIRPORT REHABILITATION – SECURITY FENCE
MCCAMPBELL HALL CHILLER REPLACEMENT
MRI RELOCATION (MCFP)
NORTH COMMONS FRESH EXPRESS
NORTH LOW RISE DORMS – FIRE ALARM UPGRADE
OARDC – MUCK CROPS BRANCH OFFICE AND
SHOP BUILDING REPLACEMENT
THOMPSON LIBRARY RENOVATION
VETERINARY HOSPITAL AIR HANDLING UNIT REPLACEMENT

Synopsis: Authorization to enter into feasibility study, design, and construction contracts for the projects listed, as detailed in the attached materials, is requested.

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WHEREAS in accordance with the attached materials, the University desires to undertake, and enter into feasibility study contracts for, the following projects:

Mershon Auditorium – Feasibility Study (N/A)	\$0.08M	College operating, OAA development, and Central funds
New Electrical Substation – Engineering Study (05-06 capital request)	\$0.50M	Facilities Operations operating funds

WHEREAS in accordance with the attached materials, the University desires to undertake, and enter into design contracts for, the following projects:

Airport Pavement – Taxiway A-East Renovation and B Removal (05-06 capital request)	\$2.80M	Development funds - Engineering FAA grant funds
Airport Rehabilitation – Security Fence (05-06 capital request)	\$0.23M	Development funds - Engineering FAA grant funds
Doan Hall – 9 West Burn Unit Renovation (N/A)	\$0.24M	Hospital operating funds
Doan Hall – Operating Rooms 9 and 10 (N/A)	\$0.54M	Hospital operating funds
Ohio Union Garage - Renovation and Expansion (05-06 capital request)	\$20.90M	2007 bond proceeds T&P operating funds
OSU Golf Course – Kepler Club House Bag Room Renovation (05-06 capital request)	\$0.18M	Athletics operating funds
Veterinary Medicine – Basement Shell Space (05-06 capital request)	\$2.97M	College operating funds

WHEREAS in accordance with the attached materials, the University desires to enter into construction contracts for the following projects:

Airport Pavement – Taxiway A-East Rehabilitation and B Removal (05-06 capital request)	\$2.80M	Development funds - Engineering FAA grant funds
Airport Rehabilitation – Security Fence (05-06 capital request)	\$0.23M	Development funds - Engineering FAA grant funds
McC Campbell Hall Chiller Replacement (05-06 capital request)	\$0.34M	State funds
MRI Relocation (MCFP) (05-06 capital request)	\$7.00M	2007 bond proceeds
North Commons Fresh Express (N/A)	\$0.36M	Student Affairs operating funds
North Low Rise Dorms – Fire Alarm Upgrade (05-06 capital request)	\$2.99M	2007 bond proceeds
OARDC – Muck Crops Branch Office and Shop Building Replacement (03-04 capital request)	\$0.83M	State funds
Thompson Library Renovation (03-04 capital request)	\$108.36M	State, Development, and University Libraries operating funds
Veterinary Hospital Air Handling Unit Replacement (05-06 capital request)	\$1.96M	State funds

*Parentheses indicates the biennial capital request or other action by the Board of Trustees to authorize the capital project; renovation projects funded by internal office or departmental funds that are noted as "N/A" have not had separate capital project authorization because of their smaller size or because they arose unexpectedly between capital planning cycles.

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NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into feasibility study, design, and construction contracts as indicated for the projects listed above in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix XIII for background information and maps, page 339.)

LONG-TERM LEASE OF REAL PROPERTY

Resolution No. 2007-37

JAMESCARE COMPREHENSIVE BREAST HEALTH CENTER
4019 WEST DUBLIN-GRANVILLE ROAD
DUBLIN, OHIO

Synopsis: Authorization for the University to enter into a long-term lease of the property at 4019 West Dublin-Granville Road, Dublin, Ohio, from Phele Investment Properties for The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute is requested.

WHEREAS The Ohio State University has been presented an opportunity to renew, consolidate, and extend the lease of 30,903 square feet in Dublin, Ohio from Phele Investment Properties; and

WHEREAS the University is negotiating a lease agreement with Phele Investment Properties for a term ending in April 2015; and

WHEREAS the leased premises are in a strategic location that fulfills the necessary space requirements for the needs of the JamesCare Comprehensive Breast Health Center:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to negotiate and enter into the proposed long-term lease of space from Phele Investment Properties upon such terms and conditions as are in the best interest of the University.

(See Appendix XIV for background information, page 361.)

ASSESSMENT ON THE ENDOWMENT TO SUPPORT DEVELOPMENT

Resolution No. 2007-38

Synopsis: Approval of the FY 2007 proposed delay of phase-down in endowment support of Development is proposed.

WHEREAS the assessment on the Endowment to support Development has been phased down from 1.33% in FY 2004 to 1.11% in FY 2006 and was scheduled to be further reduced to 1% in FY 2007; and

September 22, 2006 meeting, Board of Trustees

WHEREAS the planned phase-down in Endowment support of Development to 1% would not generate sufficient support for Development to maintain current levels of service; and

WHEREAS corrective actions are needed to ensure Development has the resources to continue to operate at current levels of service and grow the endowment overtime:

NOW THEREFORE

BE IT RESOLVED, That the assessment on the Endowment to support Development be decreased from the FY 2006 level of 1.11% to a FY 2007 level of 1.10% rather than the planned reduction to 1.0% in FY 2007; and

BE IT FURTHER RESOLVED, That the University report back, by no later than March 1, 2007, to the Board with recommendations as to the future funding of Development including a plan to achieve, by FY 2008, the 1.0% level of Endowment support of Development; and

BE IT FURTHER RESOLVED, That the University request The Ohio State University Foundation to assist in raising additional private funds to help offset the programmatic impact of delaying the phase-down of the support of Development assessment.

**FISCAL YEAR 2007 TOTAL
UNIVERSITY CURRENT FUNDS BUDGET**

Resolution No. 2007-39

Synopsis: Approval of the total University Current Funds Budget for FY 2007 is proposed.

WHEREAS the State budget for FY 2006 and FY 2007, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2007 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures for the Columbus Campus and the Regional Campuses and ATI have been approved at previous meetings of the Board; and

WHEREAS adjustments have been made to eliminate double counting of transfers among the various University units; and

WHEREAS the President now recommends approval of the Current Funds Budget for the total University for FY 2007:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for FY 2007, as described in the accompanying FY 2007 Current Funds Budget Book, be approved, with authorization for the President to make expenditures within the projected income.

(See Appendix XV for background information, page 363.)

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Upon motion of Mrs. Davidson, seconded by Mr. Borrer, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Trustees Duncan, Hendricks, Cloyd, Davidson, Borrer, Wexner, O'Dell, Shumate, Hicks, Fisher, Schottenstein, Brass, and Shackelford.

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REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2007-40

Synopsis: The report on research and other sponsored program contracts and grants and the summaries for June and July 2006 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of June and July 2006 be approved.

Upon motion of Ms. Hendricks, seconded by Mr. Hicks, the Board of Trustees adopted the foregoing resolution with twelve affirmative votes, cast by Trustees Duncan, Hendricks, Davidson, Borrer, Wexner, O'Dell, Shumate, Hicks, Fisher, Schottenstein, Brass, and Shackelford, and one abstention cast by Trustee Cloyd.

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EASEMENT RENEWALS

Resolution No. 2007-41

COLUMBIA GAS OF OHIO, INC.
OHIO BELL TELEPHONE COMPANY
COLUMBUS SOUTHERN POWER COMPANY

VARIOUS LOCATIONS
THE OHIO STATE UNIVERSITY, COLUMBUS, OHIO

Synopsis: Authorization to grant the following renewal easements for existing natural gas, electric and telecommunication lines to The Ohio State University, Columbus, Ohio, is proposed.

WHEREAS Columbia Gas has requested the renewal of a 15-foot wide by 760-foot long easement across West Ninth Avenue to provide natural gas utility service to Dodd Hall; and

WHEREAS Columbia Gas has requested the renewal of a 10-foot wide by 400-foot long easement east of North Star Road to provide natural gas service to the Turfgrass Foundation Research and Education Building, 2710 North Star Road; and

September 22, 2006 meeting, Board of Trustees

WHEREAS Columbia Gas has requested the renewal of a 10-foot wide by 1,385.80-foot easement on the west side of Kenny Road to provide natural gas service to the Kenny Road service center; and

WHEREAS Columbia Gas has requested the renewal of a 15-foot wide by 4,732-foot long easement on the north side of Case Road to provide natural gas utility service to The Ohio State University Airport; and

WHEREAS Columbia Gas has requested the renewal of a 10-foot wide by 166.17-foot long easement to provide natural gas utility service to 1224 Kinnear Road; and

WHEREAS Columbia Gas has requested the renewal of a 15-foot wide by 500.56-foot long easement to provide natural gas service to The Ohio State University Airport; and

WHEREAS Columbia Gas has requested the renewal of a 20-foot wide by 264-foot long easement between Worthington Street and West Tenth Avenue; and

WHEREAS Ohio Bell has requested the renewal of a 20-foot wide by 281-foot long easement across land owned by The Ohio State University for existing telephone communication lines providing service to the Jesse Owens South Recreational Center; and

WHEREAS Ohio Bell has requested the renewal of a 2 ½- feet wide by 5-foot long easement at the Eleventh Avenue Basketball Courts for an existing utility pole and anchor wire on land owned by The Ohio State University; and

WHEREAS Ohio Bell has requested the renewal of a 10-foot wide by 3,598.39-foot long easement along Kenny Road and Lane Avenue for existing telephone communication lines through land owned by The Ohio State University; and

WHEREAS Ohio Bell has requested the renewal of a 5-foot wide by 280-foot long easement on Argyll Street to continue existing telephone communication lines across land owned by The Ohio State University to provide telephone service to the Fawcett Center; and

WHEREAS Ohio Bell has requested the renewal of a 15-foot wide by 1160-foot easement from North Star Road parallel to and on the north side of Lane Avenue to allow telephone communication lines across land owned by The Ohio State University; and

WHEREAS Columbus Southern has requested the renewal of a 10-foot wide by 2,470-foot long easement along the east side of the Olentangy River from King Avenue north to the electric substation for an existing electric power line to provide electric utility service to The Ohio State University; and

WHEREAS Columbus Southern has requested the renewal of a 10-foot wide by 2,395.58-foot long easement along Kinnear Road from North Star Road to provide electric utility service to 1275 Kinnear Road; and

WHEREAS Columbus Southern has requested the renewal of an easement on Kenny Road between Ackerman Road and West Lane Avenue for 5 utility poles, guy wires and anchors for overhead electric transmission lines to provide electric utility service to The Ohio State University; and

WHEREAS Columbus Southern has requested the renewal of an easement for an existing electric power utility pole and guy wires along Olentangy River Road, to provide electric utility service to The Ohio State University; and

September 22, 2006 meeting, Board of Trustees

WHEREAS Columbus Southern has requested the renewal of a 5-foot wide by 725-foot long easement for an existing electric power line to provide electric service to the Agronomy Station, 2623 Kenny Road; and

WHEREAS Columbus Southern has requested the renewal of a 10-foot wide by 600-foot long easement from North Star Road for an existing electric distribution line to provide electric utility service to the Turfgrass Foundation Research and Education Building, 2710 North Star Road; and

WHEREAS Columbus Southern has requested the renewal of a 10-foot wide by 155-foot long easement from King Avenue for an existing electric power line to provide electric utility service to Evans Hall, 520 King Avenue; and

WHEREAS Columbus Southern has requested the renewal of a 10-foot wide by 422-foot long easement for an existing electric power line running to a pad mounted transformer to provide electric utility service to the WOSU Antenna Site, 350 West Dodridge Street; and

WHEREAS Columbus Southern has requested the renewal of a 10-foot wide by 1,673.7-foot long easement for an existing electric power line and underground cables to provide electric utility service to The Ohio State University Golf Course; and

WHEREAS Columbus Southern has requested the renewal of a 10-foot wide by 30-foot long easement for a utility pole as part of an existing electric power line providing service to 1314 Kinnear Road; and

WHEREAS Columbus Southern has requested a 10-foot wide by 1,082-foot long easement across lands owned by The Ohio State University for an existing electric power line to provide electric utility service to 250 West Dodridge Street; and

WHEREAS each renewal is for a term of 25 years; and

WHEREAS the appropriate University offices have determined that the grant of these easements are in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to approve and the Ohio Department of Administrative Services be authorized to process appropriate documents and grant the above easements upon such terms and conditions as are in the best interest of the University.

Upon motion of Ms. Hendricks, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolution with eleven affirmative votes, cast by Trustees Duncan, Hendricks, Cloyd, Davidson, Wexner, O'Dell, Shumate, Fisher, Schottenstein, Brass, and Shackelford, and two abstentions cast by Trustees Borror and Hicks.

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APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2007-42

Synopsis: Approval of the Appointment and Reappointment of Investment Managers is proposed.

September 22, 2006 meeting, Board of Trustees

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

	<u>Market Value</u> <u>As of 8/31/06</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>	
<u>Domestic Large Cap Equity</u>				
Fifth Third	\$40,378,932		\$40,378,932	
Huntington Value	\$39,065,376		\$39,065,376	
University Students	\$20,896,257		\$20,896,257	
Unallocated Equity	\$24,623,807		\$24,623,807	
State Street S&P 500 Index	<u>\$290,373,565</u>		<u>\$290,373,565</u>	
	\$415,337,937	0	\$415,337,937	20.6%
<u>Domestic Mid Cap Equity</u>				
Meeder 100	\$102,414,992		\$102,414,992	
Meeder Enhanced	\$40,054,046		\$40,054,046	
Nicholas Applegate	\$52,864,807		\$52,864,807	
State Street Extended Index	<u>\$102,781,101</u>		<u>\$102,781,101</u>	
	\$298,114,946	0	\$298,114,946	14.8%
<u>Domestic Small Cap Equity</u>				
Bernzott Capital Advisors	\$27,881,095		\$27,881,095	
Diamond Hill	\$ 9,952,969		\$ 9,952,969	
G.W. Capital, Inc.	\$11,860,152		\$11,860,152	
Hoover Investment Management	\$28,317,910		\$28,317,910	
Independence Investments	\$28,052,670		\$28,052,670	
Nicholas Applegate	\$82,729,607		\$82,729,607	
Opus Capital Management	\$27,225,693		\$27,225,693	
State Street Value Index	\$52,835,863		\$52,835,863	
State Street Russell 2000 Index	<u>\$31,974,525</u>		<u>\$31,974,525</u>	
	\$300,830,484	0	\$300,830,484	14.9%
<u>International Equity</u>				
BlackRock	\$30,922,906		\$30,922,906	
Freedom Capital Management	\$31,029,401		\$31,029,401	
LSV Asset Management	\$30,257,574		\$30,257,574	
Newgate Capital Management	\$11,700,379		\$11,700,379	
State Street Index	<u>\$101,942,598</u>		<u>\$101,942,598</u>	
	\$205,852,858	0	\$205,852,858	10.2%

September 22, 2006 meeting, Board of Trustees

Domestic Fixed Income

Cypress Asset Management	\$24,193,788		\$24,193,788	
Hughes Capital Management	\$20,272,848		\$20,272,848	
Huntington Trust	\$41,306,120		\$41,306,120	
JP Morgan	\$21,325,502		\$21,325,502	
State Street Govt/Credit Index	<u>\$160,829,063</u>		<u>\$160,829,063</u>	
	\$267,927,321	0	\$267,927,321	13.3%

High Yield Fixed Income

Commonfund	\$33,762,106		\$33,762,106	
Delaware Investments	\$30,414,772		\$30,414,772	
Lehman Brothers	\$30,064,736		\$30,064,736	
	\$94,241,614	0	\$94,241,614	4.7%

International Fixed Income

Brandywine Asset Management	\$20,167,649		\$20,167,649	
JP Morgan Asset Management	\$20,413,403		\$20,413,403	
State Street World Govt	<u>\$20,607,989</u>		<u>\$20,607,989</u>	
Ex-US Index	\$61,189,041	0	\$61,189,041	3.0%

Commitment as of 8/31/06	Commitment as of 9/22/06	Market Value as of 8/31/06
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Venture Capital/Private Equity

1999 Funds:

Commonfund Capital Partners 1999 (V/PE)	\$ 7,067,000	\$ 7,067,000	\$ 4,231,322
Commonfund New Leaders (V/PE)	\$10,000,000	\$10,000,000	\$ 5,110,861
Mesirow Partnership Fund I (V/PE)	\$10,000,000	\$10,000,000	\$ 5,624,431

2000 Funds:

CID Seed Fund (V)	\$1,000,000	\$1,000,000	\$ 636,483
EDF Ventures Seed Fund (V)	\$1,000,000	\$1,000,000	\$ 426,428

2001 Funds:

Reservoir Venture Seed Fund I (V)	\$3,192,000	\$3,192,000	\$ 1,582,097
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2005 Funds:

Commonfund International Partners V (V/PE)	\$10,000,000	\$10,000,000	\$ 1,099,886
Commonfund Private Equity (PE) Partners VI	\$10,000,000	\$10,000,000	\$ 683,629
Commonfund Venture Partners VII (V)	\$5,000,000	\$5,000,000	\$ 236,508
Fort Washington Private Equity IV (V/PE)	\$5,000,000	\$5,000,000	\$ 1,755,457
Mesirow Capital Partners IX (V/PE)	\$5,000,000	\$5,000,000	\$ 904,981
Mesirow Partnership Fund III (V/PE)	\$5,000,000	\$5,000,000	\$ 658,611

2006 Funds:

Collier International Partners V, LP (Special)	0	\$10,000,000	0 new
M/C Venture Partners VI (V)	\$5,000,000	\$5,000,000	0
Onex Partners II (PE)	\$5,000,000	\$5,000,000	0
Quantum Energy Partners IV, LP (Special)	0	\$10,000,000	0 new
Reservoir Venture Seed Fund II (V)	\$3,000,000	\$3,000,000	0
Stonehenge Opportunity Fund II (PE)	\$5,000,000	\$5,000,000	<u>\$ 1,522,500</u>
Total before index fund			\$24,473,194

Index Fund:

State Street Russell 2000 Index	<u>n/a</u>	<u>n/a</u>	<u>\$117,001,425</u>	
	\$63,192,000	\$83,192,000	\$141,474,619	7%

September 22, 2006 meeting, Board of Trustees

	<u>Market Value</u> <u>As of 8/31/06</u>	<u>Changes</u>	<u>Revised</u> <u>Allocation</u>	
<u>Absolute Return Funds</u>				
Commonfund Investors	\$94,049,957		\$94,049,957	
Ramius Fund	\$37,580,367		\$37,580,367	
	\$131,630,324	0	\$131,630,324	6.5%
<u>Real Estate</u>				
Campus Partners	\$20,000,000		\$20,000,000	
Don Scott Airport	\$23,389,000		\$23,389,000	
Miscellaneous	\$13,698,000		\$13,698,000	
State Street Wilshire REIT Index	\$70,123,197		\$70,123,197	
	\$127,210,197	0	\$127,210,197	6.3%

Upon motion of Dr. Cloyd, seconded by Mr. O'Dell, the Board of Trustees adopted the foregoing resolution with ten affirmative votes, cast by Trustees Duncan, Hendricks, Cloyd, Borrer, Wexner, O'Dell, Shumate, Hicks, Fisher, and Brass, and three abstentions cast by Trustees Davidson, Schottenstein, and Shackelford.

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TIME AND CHANGE: THE YEAR'S KEY ACADEMIC PRIORITIES

Provost Barbara R. Snyder: [PowerPoint Presentation]

Good morning. I'm pleased to have the opportunity to talk to you about our Key Academic Priorities for the 2006-2007 academic year. Two of these -- graduate education and undergraduate education -- will sound familiar to you since they are a continuation of ongoing efforts. The third, on economic access, is a new initiative that I'm pleased to tell you is generating considerable enthusiasm and support.

Today, I'm going to discuss only briefly our focus on graduate education and economic access, because I want to spend most of my time talking about the next steps in the reform of Ohio State's undergraduate curriculum. I promise to come back for a more in-depth report on the other two initiatives later this year.

Let me start with a quick update on last year's review of graduate education. As you will remember, two committees had been appointed to study the state of graduate education at Ohio State. One of them focused on the alignment of funding with quality, and the other looked specifically at the structure and functions of the Graduate School. The work of these two groups leads to a number of important recommendations. The Committee on Graduate Education, chaired by Rick Freeman, dean of the College of Mathematical and Physical Sciences, urged that we substantially overhaul our fiscal model for supporting doctoral education. It recommended that we devise a new internal funding formula based on quality rather than solely on credit hours. The Freeman Committee also recommended that our doctoral programs be assessed on a regular cycle.

Meanwhile, the Committee to Review the Graduate School was also at work and found no reason to alter our present structural arrangement, whereby the dean of the Graduate School oversees graduate studies and reports to the provost. Under the leadership of Dean Paul Beck, from the College of Social and Behavioral Sciences, this committee did, however, propose changes to the way in which Graduate School support is awarded. It also called for new processes for the oversight of graduate education and

recommended revisions to the Graduate School's recruitment, admissions, and career development practices.

The implementation of the Freeman and Beck Committees' recommendations will be the first priority for the new dean of the Graduate School, who was appointed last month. You've just heard President Holbrook introduce Pat Osmer, formerly chairperson of the Department of Astronomy, and we are delighted to welcome him to the Graduate School and to the Office of Academic Affairs. We are very fortunate to be able to tap into his talents as a scholar, teacher, and administrator.

Based on the extraordinary success he had in taking astronomy from not anywhere near where it is today to really the top of the charts, I'm confident that under Pat's leadership the Graduate School is going to be reinvigorated and will contribute a great deal to our reputation and academic stature, nationally and internationally. Of course the job won't be done quickly or be easy, but we will provide you with regular updates on our progress in that regard. I know Pat and I will be back sometime later this year to tell you how things are proceeding.

Another process I'd like to bring to your attention this morning is the second of our academic priorities for the year. It's as ambitious as the others, but brand new. This priority focuses on Ohio State becoming the national leader in enabling financial access to higher education. To achieve that goal, we created a position of senior advisor for Economic Access and have invited Tally Hart, formerly our director of Student Financial Aid, to fill that slot. Because of her incredible expertise in financial aid and access, Tally has been described as nothing less than a national treasure. Even the U.S. Congress recognizes that and calls on her frequently to testify about financial aid issues in higher education. It is my great pleasure to introduce her to you this morning. Tally, would you please stand?

Under Tally's leadership, Ohio State will be able to accelerate its efforts to educate students and their families about financial resources available for low-income students who want to go to college. One such resource -- and this is Tally's creation -- is the Land-Grant Opportunity Scholarship program. Launched last year, this program offers a full scholarship, worth \$18,900, to at least one low-income, high-ability student from each of Ohio's 88 counties. Tally is going to be working to develop programs, not just for undergraduate students, but also to encourage college juniors, who are economically needy, to think about continuing their studies in graduate and professional school. Again, of course, this won't happen overnight, but I fully expect to come back to you in the foreseeable future and report to you that Ohio State has indeed become the nation's leader in providing economic access to higher education.

Let me now turn to the real topic for today which is our efforts with the undergraduate curriculum, and update you on the progress that we've made. In an era when much of life operates at warp speed, two years might seem like a long time to devote to this initiative -- proof that academic progress really does happen at a glacial pace. If you've wondered about that, Ohio State's glaciologist, Lonnie Thompson, told me that glaciers move inches every day. Though with recent warming, he says, they are moving faster all the time. It's probably safe to say that during our two-year look at the undergraduate curriculum, a glacier travels about 1,500 feet or so. What is certain is that in that same period, Ohio State has made miles of progress. The proposed curriculum that emerged from our self-study is a giant step toward the goal of the Academic Plan that we enhance and better serve our student body.

One of the most important ways it does so is to make it possible for our students, without an overload in any quarter, to complete a top-quality undergraduate degree within four years. The first person to walk around the world took four years – and 22 pairs of shoes – to make the journey. It took Michelangelo four years to complete the Sistine Chapel. We think you can complete an undergraduate degree in four years as well without taking too many credits in any one quarter. Students at our benchmark institutions and throughout the Big Ten do exactly that. Given the quality of our faculty and our academic programs, there is no reason this can't happen at Ohio State.

Last year I gave the Board regular updates on the curricular review that we launched in 2004. Before telling you where we are today, I thought I'd give you a couple of reminders of where we've been.

Two years ago we launched a University-wide review of undergraduate education, because Ohio State's landscape was in profound transformation. For more than a decade, now twelve years in a row, our incoming freshmen have been increasingly better prepared for a high-quality undergraduate education. The freshmen retention rate was up remarkably and our six-year graduate rate was at an all-time high. We knew that if Ohio State were to provide these students with the distinctive experiences and opportunities they were going to expect, we had to challenge them as never before.

The structure of our General Education Curriculum was proving an impediment to doing all that we could for these very talented students, and they were not shy about telling us so. They were especially dissatisfied with the complexity of the GEC and the perceived lack of connection between general education and their majors. Add into that mix, that nearly half of all the faculty at Ohio State had been hired in just the last ten years. While that glacier was inching forward, our faculty was moving quickly into new and evermore exciting directions, pushing disciplinary boundaries, and setting the pace of research nationally and internationally. Not surprisingly, some of our faculty, like the new population of students they teach, were eager to take our curriculum to the next level.

The last comprehensive review of undergraduate education had taken place in 1988, about 16 years ago. Clearly, the time had come to re-examine this defining element of an Ohio State undergraduate education. With the full support of President Holbrook in December 2004, I appointed a Committee on the University-Wide Review of Undergraduate Education. And you might be interested to know that its ten members included a number of our faculty who've joined us within the last decade.

As you will see from the charge I gave the committee, I asked it to take a look at all aspects of undergraduate education in light of the remarkably improved population of undergraduate students and the students that we knew we would be recruiting in the future. I asked the committee to respond to the two overarching questions you see on the screen.

Under the leadership of Distinguished Humanities Professor Brian McHale, from the Department of English, the committee looked at the GEC, the number of credit hours required for graduation, the appropriate role of freshmen seminars, how we teach and who is teaching our students, and the fit between our undergraduate curriculum and our commitments to some University initiatives like diversity, interdisciplinary, research, and outreach. In the report that it released in November, the committee said that our GEC was out of step with our better prepared students, and that it didn't always lead to a sufficiently integrated and coherent educational experience.

In response to the two overarching questions that I posed, the committee made a number of suggestions for strengthening undergraduate education at Ohio State. In designing its recommendations, it was guided by the four principles you see on the screen.

To enhance coherence, the committee proposed, for example, that we encourage double majors and minors. It also recommended instituting a program of interdisciplinary multi-quarter core sequences, called Freshmen Clusters, each on a unifying theme of broad interest, such as citizenship and ethnicity in the U.S. or the mathematical order of the natural world. Strategies like these would eliminate the random choices encouraged by the existing GEC, and build coherence across an intellectually, interlocking spectrum of courses.

The cluster concept would also provide students with more flexibility in choosing the elements of their curricular packages. Flexibility would also be enhanced, of course, by allowing minors, double majors, and other alternatives to satisfy certain non-major or certain GEC requirements.

In addition, the McHale Committee recommended a decrease in the number of credit hours in the GEC by providing our undergraduates with broader opportunities to take electives in areas of interest to them, and making it easier for students to complete minors or double majors. This would build more flexibility into the GEC.

To maintain the quality of general education, the committee recommended the establishment of a University-wide oversight committee that would report directly to the Council on Academic Affairs. Measures like the redesign of the GEC web site and the reorganization of the current category structure will ensure that the goals and structure of general education are as transparent as possible.

Among still other recommendations, the committee proposed that we reduce the minimum number of credit hours for our core Bachelor of Arts degree from 191 to 181. This will bring us more in line with institutions throughout the country and, of course, pave the way for graduation within four years.

Because responsibility for curricular decisions is vested in the faculty, last November I asked the members of the University community to review the McHale report, and provide their reactions last spring. We requested formal statements this summer from the 14 of our 17 colleges teaching undergraduate students. In addition to the five Arts and Sciences colleges, these are the Colleges of Business; Dentistry; Education and Human Ecology; Engineering; Food, Agricultural, and Environmental Sciences; Medicine; Nursing; Pharmacy; and Social Work.

All of these units applauded the move to restructure the undergraduate curriculum, though, as you may imagine, they all had plenty of constructive advice about how to do it, and about the recommendations of the McHale Committee. The Arts and Sciences colleges provided the most in-depth responses. The Colleges of the Arts, Biological Sciences, Humanities, Mathematical and Physical Sciences, and Social and Behavioral Sciences, currently provide 90% of the courses in the current GEC. As a result, the oversight of basic education for all undergraduates has historically rested with the Arts and Sciences. Given their centrality to the GEC, the feed-back from these five colleges has had special resonance. The Council on Academic Affairs is now refining the McHale Committee's

recommendations, in light of their suggestions and those of the other undergraduate colleges.

Although there is not uniform support for the proposal to reduce the credit hours for graduation from 191 to 181, this recommendation was supported last spring by the Arts and Sciences' Committee on Curriculum and Instruction. Randy Smith and I met with the Committee on Curriculum and Instruction in the spring, and went back last week to thank them for their support and leadership in this process. We go in two weeks to the Arts and Sciences' Faculty Senate, to try to persuade those senators to support the 181 proposal for the basic Bachelor of Arts degree.

Moving from 191 to 181 credit hours will reduce the financial resources required for undergraduate students and their families to succeed in getting an undergraduate degree. It will also help our students advance more quickly into their chosen career paths and position Ohio State competitively among other major public universities throughout the nation.

As I noted earlier, our current credit hour requirement for graduation is heavy in comparison to our peers. Let me show you what that looks like. This slide shows the number of credits needed for graduation at benchmark institutions and other Big Ten universities.

You should know that 120 semester hours is the equivalent of 180 quarter hours. As you can see, Ohio State is somewhat out of step with those other institutions. Our students must either complete an extra quarter of academic work or take overloads throughout the course of their undergraduate educations, in order to get through in the same amount of time as students get through at our benchmarks.

Reducing our graduation requirement to 181 hours will mean that our students, too, can get through in four years, carrying 15 credit hours for 12 quarters. While some have worried that this reduction will work against academic excellence, I believe Ohio State can deliver a bachelor's degree of high quality in four years. Our benchmarks do it in that timeframe, and there is no reason that we can't do it, too. With the better-prepared students we are now enrolling, and our outstanding faculty members, and programs that locate Ohio State among the nation's best public universities, this is a change that we should make. Accordingly, I've asked the Council on Academic Affairs to forward to the University Senate by Autumn Quarter those recommendations that need its approval. This will mean that the new curricular requirements could begin with the class entering in the fall of 2007.

That is my overview of our two-year effort by many, many people to make this all work. Everyone was intent on inventing the best curriculum possible for today's and tomorrow's better-prepared students.

Many people from my office were involved in this effort, but two were especially instrumental to the outcome. Martha Garland, vice provost for Enrollment Services and dean of Undergraduate Education, and Randy Smith, vice provost for Academic Programs, have both been intensely involved in this effort to reform the undergraduate curriculum, beginning with their services as ex officio members on the committee.

Thanks to her work on the Enrollment Management Committee and her leadership of the Office of Enrollment Services and Undergraduate Education, Martha bears the ultimate responsibility for bringing us the increasingly well-prepared classes, for whom we had to rethink our

undergraduate curriculum. And it was because of Randy's brilliantly conceived and executed plan to move this through all of the appropriate groups that the administrative process so far has gone smoothly, steadily, and collegially. I am also grateful to the commitment of Allan Silverman, chair of Faculty Council, to help this move efficiently through the University Senate.

If that process hasn't gone at warp speed, I guess we can say, at least I hope, it's moved at the right speed to get the job done well for our University. What has emerged is an engaging, effective, and comprehensive liberal education program, one that we think will promote the academic excellence of our University. Our new curricular model may have seemed slow in coming -- glacial even -- but if it's taken some time, I hope you think that the change that we are going to produce is worth waiting for.

Your support will be critical to its success. For as we all know, academic excellence is the surest route, indeed the only route, to the reputational goal articulated in the Academic Plan -- "that Ohio State be nothing less than the finest public land-grant research university in the nation."

I would now be happy to answer any questions you have. Randy and Martha are here in case you have questions about the process, and I'm delighted that Kate Christobek, vice president of USG, is here as well and would be happy to answer questions from the student perspective.

Mr. Borrer:

Provost Snyder, as chair of the Academic and Student Affairs Committee the last three years, I want to commend you on this process. We have had numerous presentations by Provost Snyder, and her team, and I want to tell you this has been a well thought out, detailed, and well done process. As a graduate of this University in four years -- 12 quarters, with 181 hours -- I fully and completely support -- and I know that the members of the Committee over time, including Mr. McFerson, support -- these efforts. I think that this is long overdue and we want to do whatever we can do to continue this process going forward to see its implementation. When would we expect to see implementation, Provost Snyder?

Provost Snyder:

We are hoping that we will have this ready to start for the students entering the fall of 2007.

Mr. Borrer:

Thank you. Keep up the good work.

Provost Snyder:

Thank you.

Mr. Wexner:

In looking at the benchmark slide, what struck me was that we are on the quarter system, and I hadn't thought about that in about a decade. Is that part of the thinking of the evolution, to get to the benchmarks and their system?

Provost Snyder:

As you can see from the slide, it is fair to say and is certainly true that nationally most four-year institutions are on semesters and that's the proportion you see represented. It is also fair to say that there is no compelling evidence that either quarters or semesters are pedagogically better. There are certain advantages of the semester system in terms of the calendar being comparable to other institutions for faculty who are visiting, or for students who are spending time at other institutions. So there are advantages to being in sync with everyone else.

On the other hand, I should tell you that there are some great schools that are still on quarters, including Northwestern, UCLA, the University of Washington, Stanford, and the University of Chicago. So it is clear that we have some good company, although the number of institutions in the quarter group has shrunk over the years and is now very small.

What I would say about the semester transition is that it still has to go through the governance process. We started that under President Kirwan and Provost Ray some years ago, and then dramatic budget cuts had them pull that. So this would have to go back through the governance process.

One of the things that we know is that our current Student Information System cannot support a transition to semesters. We are in the process of putting in a new Student Information System that will be able to do that. That will be fully operational in the fall of 2009, so the first opportunity to switch to semesters would not be until fall of 2010 because we would want that new system up and operating for a year before we made yet another move. That will be a process that we will think and talk about, and probably get going sometime in the future with the faculty in the governance process.

Ms. Hendricks:

Provost Snyder, I wanted to reinforce what Doug has said. When we focus on our students, there is really no rationale that says for some reason, Ohio State alone needs 191 hours, where all of these other very distinguished universities are doing it at 180 hours. To me, when you're looking at the cost of an education now and the debt that our students have, I just would not see the rationale for an added 10 hours. It seems to me that it doesn't pass the smell test, if you will, and that's more of a pragmatic issue. I feel like the goal is a right one. I can only speak for myself, but would really reinforce my support for pushing this through. I just think it seems to be the right thing to do for our students.

Mr. Alvarez- Breckenridge:

Provost Snyder, I would like to congratulate you as well on this. It is very exciting especially as a recent graduate of OSU. Drawing from my experiences, I was curious about implementation of this in terms of the academic advisors and the freshman survey course, because it sounds like there is going to be a lot of flexibility and interdisciplinary work, and things along those lines. I know that one of the common concerns that students raise is academic advising. I wonder if you could shed some light on how you're going to bring the advisors into the fold and publicize this to the students so they know what's going on.

September 22, 2006 meeting, Board of Trustees

Provost Snyder:

We had already brought the academic advisors into this discussion at an earlier stage. Randy and Martha met with them, and I'll ask them if they want to chime in and say anything about their work with the advisors.

Dr. W. Randy Smith:

... (inaudible)

Provost Snyder:

Let me say that congratulations are premature because we still have some work to do. The Arts and Sciences' Faculty Senate coming up soon will be a very important meeting, and we are going to be working very hard to try to make the case for 181 credit hours in four years.

Mr. Alvarez-Breckenridge:

Thank you.

Mrs. Davidson:

I just wanted to say how important I think the appointment of Tally Hart is, and congratulate you on that. I think the economic access statewide will bring: 1) diversity; and 2) the ability to finish in four years certainly gives a heads up to those students that they can make it here in sure order.

Provost Snyder:

Thank you. We are lucky that Tally has agreed to move into this new role. I know that you are going to see great things out of our economic access initiative under her leadership. Thank you all very much for your support.

Judge Duncan:

Thank you, Provost Snyder, for your presentation and good luck with the 181 hours.

(See Appendix XVI for background material, page 445.)

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Judge Duncan:

If there is no other old business, I'll call for new business. Any new business?

Mr. Alvarez-Breckenridge:

I was hoping to open up a discussion on the State Issue 3 legislation -- the "Learn and Earn" constitutional amendment -- and get the Board's and University's opinions on this amendment.

Judge Duncan:

Do we have anybody who can give us some information about that valid issue? Mr. Steiner --

Mr. W. Curt Steiner:

Thank you, Judge Duncan, Dr. Holbrook, and members of the Board, for the opportunity to put this issue into context.

The state of Ohio has an issue on the November 7 statewide ballot in the form of Issue 3. If it passes, that would be the first major change in the state gambling statutes or constitutional authorities since the early '70s. The last major change in the Constitution, as it relates to gambling in Ohio, was in 1974, when the first tickets were sold after a statewide vote in 1973 for what is now known as the state lottery. And today in Ohio we still have only two major forms of legalized gambling, which is betting at horse race tracks and the Ohio Lottery.

There have been numerous attempts over the years to approve in Ohio -- as there have been a growing number of facilities in neighboring states -- the providing of slot machine parlors or casino gambling parlors. In 1996, the statewide issue was on the ballot, which was widely defeated, to approve casino gambling in Ohio, and in 1990 a similar issue was also defeated.

This is the third attempt on the ballot since that time. There have been numerous attempts in the past few years in the General Assembly, for the Assembly to pass an issue that would be placed on the ballot. It has never received enough support in the House and Senate to be placed on the ballot in that way. So this year a group of proponents, made up principally of owners of race tracks and developers interested in making and developing casinos, have put together a statewide issue known as "Learn and Earn," a portion of which proceeds, if passed by the voters, will go to college scholarships.

I've asked Assistant Vice President Jack Hershey to walk through that proposal for you, at least in terms of its highlights, and we've also distributed to you an amendment that's going to be on the ballot.

Mr. John W. Hershey:

Thank you. I think when you look at this constitutional amendment, to many Ohioans this might actually seem to be two separate issues: 1) to legalize casino-style gambling in the state of Ohio; and 2) to fund a scholarship program for Ohio students. I think this perception will be heightened by the fact that proponents of the issue are expected to focus almost exclusively on the college scholarship portion of it, while opponents to it will focus predominately on the casino gambling side of it. So I thought I would start and run through quickly the gambling side, and then finish up with the scholarship side.

If approved, the amendment would allow legalized gambling in the state of Ohio. It would allow for the operation of slot machines at the seven commercial horse racing tracks in the state, and at two additional locations in the city of Cleveland. The amendment would prohibit the operation of other types of casino gambling, with the exception of those locations in Cuyahoga County. In those cases, four years after the passage of this amendment, if it is passed, a separate vote could be taken among the voters in Cuyahoga County, authorizing those facilities to expand into full-fledged casino gambling if the voters choose.

Next let me talk about the flow of money. The amendment lays out very clearly how the proceeds from the newly legalized gambling are to be distributed. Those gross slot machine revenues would be dispersed as

follows: 55% of the money would remain with the owners of the facilities themselves; 30% would go to the Ohio Board of Regents for the Ohio "Learn and Earn" Scholarship Program; 6% to be used by the owners of those facilities with race tracks as purse money for those races; 5% would go to counties, cities, and townships where the actual gaming facilities are located to be used for local economic development projects; 2.4% would be distributed to all the other counties in Ohio, that don't have a facility located in them, to be used for either capital improvement or local economic development projects; 1% would stay with the state of Ohio to be used for gambling addiction services; and finally 0.6% would be distributed directly to either the municipality or township where that facility is located to be used in whatever fashion they deem appropriate. That is the gambling side.

Let me move on and tell you about the scholarship side. The actual "Learn and Earn" scholarship idea first surfaced several years ago when the legislature tried unsuccessfully to place an issue very similar to the one we see before us this fall on the ballot. Between that legislation, which was Senate Bill 99, and the amendment that is on the ballot this fall, we can give you a fairly good idea of how we think the program might work.

The amendment requires the Board of Regents to award "Earn and Learn" scholarships to current and future Ohio high school graduates, beginning with the first high school class that graduates two years after the amendment is approved. So what that means for our current students is that none of them would be eligible to receive this scholarship. Students who are sophomores in high school today, would be the first eligible recipients of this award.

The scholarship program primarily is based upon a point system. Under this system, students would receive points for reaching achievements at various points along the way, from kindergarten through twelfth grade, in specified categories, including: academics, college preparedness, extracurricular activities, work experience, and community and volunteer service. Once those students would reach a certain level of points, they would then be guaranteed the scholarship award.

In addition to that program, there is another provision in the amendment that for the first twelve eligible high school graduating classes it would require the awarding of merit-based tuition grants to the top 5% of students at each public and non-public high school in the state. So that is the crux of it.

I'll say some important areas of the program are not known at this time and are left to the discretion of the Board of Regents. When we talk about that point system, what level you have to reach has yet to be decided. What you'll actually get points for is left to the Board of Regents' discretion. Perhaps, more importantly, the amount of the scholarship award is not known and is left to the discretion of the Board of Regents.

Perhaps this is a good time to switch to the idea of how much money this will actually bring in if passed. I will say it is difficult to tell you exactly how much money will be available to fund the scholarship program if this passes in November. The proponents of the amendment are saying that they expect to see nearly \$1 billion a year from the proceeds of the slot machines going toward the program. However, if you look back through history at the several times that the issue has been debated, either in the legislature or in the public policy arena, in general the revenue estimates have varied greatly depending on the sources.

Making any estimate in this area is fairly unreliable until operations are actually up and running, and we have some history to show for it. That is mainly because there are so many floating variables in this equation, including what the actions of our neighboring states are doing. There are some human behavior actions. For instance, if you're used to going to a riverboat in Indiana, and you live in Cincinnati, would you necessarily keep going to a place you've always gone to or would you come and stay in Cincinnati and go do this? In addition, some of those revenue actions in the past included up-front licensing fees that the owners would pay to obtain a gaming license. This amendment contains no such provision. So you can see there is a lot of ambiguity surrounding how much money will actually be given here.

I think the drafters of Senate Bill 99, which was the last effort by the legislature to do this, seemed to recognize that volatility. They had included a provision in their bill that would require the Regents to proportionally scale back the scholarship award amount for any year where the revenue from those slot machines were not sufficient to meet the number of scholarship awards.

Finally, I want to talk about a section of this that is perhaps the most important for us in higher education to concern ourselves with, and that is the "hold harmless" guarantee concerning higher education funding. The amendment contains this provision that prohibits the General Assembly from cutting or supplanting state support for higher education as a result of the influx of new monies derived from the proceeds of slot machines. The language presumably was inserted to negate what some have called the "lottery confusion effect" that has been in place in this state for many years, concerning how proceeds from the Ohio Lottery have actually been used in regards to primary and secondary education.

I've always talked about there being two tracks to that confusion. One is that there is a general perception that when the lottery was approved, it "fixed" primary and secondary education funding. While most people in primary and secondary education disagreed with that from the beginning day to this day, I think we would be at risk in higher education of facing a similar phenomenon in that at least the general populous of the state might consider that higher education funding is now fixed if this amendment were passed.

Secondly -- for lack of a more professional term -- the folks in primary and secondary education have always referred to it as "the shell game" effect. The Constitution requires that all net proceeds of the lottery be used specifically for primary and secondary education. However, the supporters over the years have argued that the General Assembly has always recognized that large influx of lottery dollars into the system and, as a result, have provided smaller increases in funding from the state general revenue fund than they otherwise would have if the state lottery did not exist.

The components of the "Learn and Earn" amendment say that the "hold harmless" language in their amendment prevents the same argument from being made about proceeds from slot machines, and its relation to state support of higher education. I will say that while it does seem true that the total amount of state GRF funding we are currently getting could not be cut below those levels if the amendment passed, I can't say for certain it provides the same protections for future funding.

For example, the amendment provides no benchmarks for future funding increases. Under the provisions of this amendment, the legislature could

freeze state funding at its current level, theoretically, and never provide additional funding through the state budget and still meet the requirements of this Constitutional amendment. This is possible because the amendment contains no provision requiring a minimum level of increase to higher education and their state support. In fact, the amendment doesn't even require inflationary adjustments for current dollars provided. Without such provisions, there really is little difference between the protections offered primary and secondary education regarding lottery proceeds, and the protections offered to higher education with proceeds of the slot machines.

That concludes the brief overview of the amendment.

Mr. Wexner:

When I heard about the "Earn and Learn," I was quite intrigued with it. I've come around in my thinking, and I think it is very clever. I'm critical of it, not because it relates to gambling, which is an issue in itself, but as I played this around in my mind I thought this is clever and bad public policy. For my own selfish interests, I'd like to see such an amendment that limits the purchase of lingerie only at Victoria's Secret shops in Ohio, and prohibits it at all other places. I'd be very happy to share the revenue on more generous terms with the students in Ohio.

I think that this is bad public policy because it is a Constitutional amendment that gives a monopoly to nine entities. I think it is appropriate for the Board and the University to express itself because if we are silent, it implies that we believe it is good public policy because we are the beneficiaries. Both Ohio senators, and, interestingly, Senator Voinovich, who is from Cleveland, oppose this, as does the governor and the Ohio Business Round Table. All on the basis that it is defective public policy.

Mrs. Davidson:

I would like to thank Mr. Hershey in giving us the explanation. This is probably going back to the days he spent in doing budgets in the Ohio General Assembly. It very correctly relates to one of my key concerns about the issue. That is we do establish -- out there in the minds of the citizens of Ohio -- that higher education needs are being taken care of because of additional revenue that would be coming in for scholarships and no other additional attention needs to be paid to it.

In the years in which I was a legislator, I never went out to speak on an education issue in which the first question that came from the people in the audience was: What ever happened to the lottery dollars? I think this has been an albatross around the neck of our primary and secondary schools for a long period of time in trying to explain that particular issue. People believed, rightfully or wrongfully, in the way the issue was sold that it was going solve all of our problems in funding primary and secondary education. I am concerned that if this passes, you're going to have the same problems in future years for higher education.

Mr. Schottenstein:

To reinforce what has been said, while I don't profess to be an expert on the legislation, I've had the opportunity to talk to both opponents and proponents. It sort of calls to mind the line "the deal is too good to be true," it generally isn't, and the ends don't always justify the means.

September 22, 2006 meeting, Board of Trustees

From my standpoint, even if so many of the ambiguities or funding irregularities or possibilities of confusion were all artfully and perfectly drafted, the fact that this is Constitutional is monopolistic. As Mr. Wexner pointed out, it is very bad for the state of Ohio. While it would be tempting and easy for any educational institution to go out and campaign on behalf of this, even if those ills were fixed, I think that without seeming holier than thou, it just doesn't smell right, feel right, or feel fair. For that reason, I think that being in an institution like Ohio State, I would hope that we would all feel that it is appropriate not to be silent, and thereby implicitly aqueous, but to be vocal and say we do not think this is good policy for the state of Ohio.

Again, my comments have nothing to do with gambling, which is an issue in itself, it is more about the way in which this Constitutional amendment seeks to provide funding.

Judge Duncan:

Very well, any further comments? Any suggested recommended action?

Mrs. Davidson:

If there is a consensus among the Board, we should make some statement on behalf of our interest as trustees of the Board. Perhaps you could appoint a subcommittee to draft some type of a statement that might express those sentiments on behalf of the Board, if there is consensus among the Board members to go in that particular direction.

Judge Duncan:

Does anybody object to doing that? Hearing none, I will do it. In the short term, I will appoint a committee and begin our job. Thank you for the discussion, it has been helpful.

(See Appendix XVII for background material, page 447.)

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Judge Duncan:

In a moment I will be taking a role call vote to go into Executive Session. For those who may not wish to stay until the Board completes its Executive Session, I remind you that the next meeting of the Board will be November 3, 2006. I now move that the Board recess into Executive Session, for the purpose of considering personnel matters regarding employment and compensation, and certain legal matters, all such matters being entitled to confidentiality according to the law. May I have a second?

Upon motion of Judge Duncan, seconded by Mr. Hicks, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by Trustees Duncan, Hendricks, Cloyd, Davidson, Borror, Wexner, O'Dell, Shumate, Hicks, Fisher, Schottenstein, Brass, and Shackelford.

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Judge Duncan:

The Board of Trustees will now reconvene the Board meeting.

September 22, 2006 meeting, Board of Trustees

The Governance Committee of the Board has conducted its annual evaluation of the President in accordance with Resolution #2004-115. The Governance Committee met with the full Board this afternoon in Executive Session. As a result of that session, and in accordance with the terms outlined in President Holbrook's initial letter of offer, a 25% bonus will be paid to her, and at this time a recommendation of a salary increase of 3.5%, effective October 1, 2006, is hereby proposed.

Upon motion of Judge Duncan, seconded by Mr. Schottenstein, the Board of Trustees adopted the foregoing motion with nine affirmative votes, cast by Trustees Duncan, Hendricks, Davidson, O'Dell, Shumate, Hicks, Fisher, Schottenstein, and Shackelford.

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Judge Duncan:

I now move that the Board recess into Executive Session, again, for the purpose of considering personnel matters regarding employment.

Upon motion of Judge Duncan, seconded by Mr. Schottenstein, the Board of Trustees adopted the foregoing motion with eight affirmative votes, cast by Trustees Duncan, Hendricks, Davidson, O'Dell, Hicks, Fisher, Schottenstein, and Shackelford.

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Judge Duncan:

The Board will now reconvene the Board meeting.

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Thereupon the Board adjourned to meet Friday, November 3, 2006, at The Ohio State University, Longaberger Alumni House, Columbus, Ohio.

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Attest:

Robert M. Duncan
Chairman

David O. Frantz
Secretary

(APPENDIX XII)

**Waivers of Competitive Bidding Requirements
April - June 2006**

<u>Category</u>	<u>Total</u>		<u>Sole Source</u>		<u>Emergency</u>		<u>Sufficient Economic Reason</u>	
Health Sys– Prof Hlth Care Serv	\$7,279,999	7	\$7,230,000	6	\$0	0	\$49,999	1
Health Sys– Admin Equip & Serv	\$4,805,024	22	\$3,621,774	15	\$0	0	\$1,183,250	7
Utilities for All Campuses Of OSU	\$20,000,000	1	\$0	0	\$0	0	\$20,000,000	1
Merchan. & Serv for Resale	\$8,426,002	1	\$0	0	\$0	0	\$8,426,002	1
Instruc & Research Equip and Services	\$4,650,588	54	\$1,631,164	21	\$0	0	\$3,019,424	33
Admin Suprt Equip and Services	\$5,068,339	30	\$3,771,450	12	\$306,036	6	\$990,853	12
TOTAL	\$50,229,952	115	\$16,254,388	54	\$306,036	6	\$33,669,528	55



Mershon Auditorium - Feasibility Study

315-2006-924

Requesting Agency(s): WEXNER CTR-ADMINISTRATION

Location(s): Mershon Auditorium, Col. Ralph D.

52,271 ASF/121,226 GSF Age: 1957

Description:

This project will conduct a feasibility study for improvements to Mershon Auditorium.

Project Information:

The study involves several elements - assessment of the building and its infrastructure; outline of items/systems that should be renovated or replaced; cost estimates for upgrade, renewal or replacement of the facility; options to improve the aesthetics of the building's interior and exterior.

Issues:

How does this project advance the Academic Plan? This project advances the Academic Plan by evaluating the feasibility and seeking options to enhance the teaching and learning experience in Mershon Auditorium through improved HVAC, lighting, accessibility and image.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Arts	\$5,000.00					
Development-Academic Affairs	\$55,000.00					
Central Funding	\$15,000.00					
Total:	\$75,000.00					

Schedule:

PLANNING	BoT Approved Amt.	Projected	Revised	Actual
Feasibility Study Start		12/01/2006		
Feasibility Study Completion		06/30/2007		

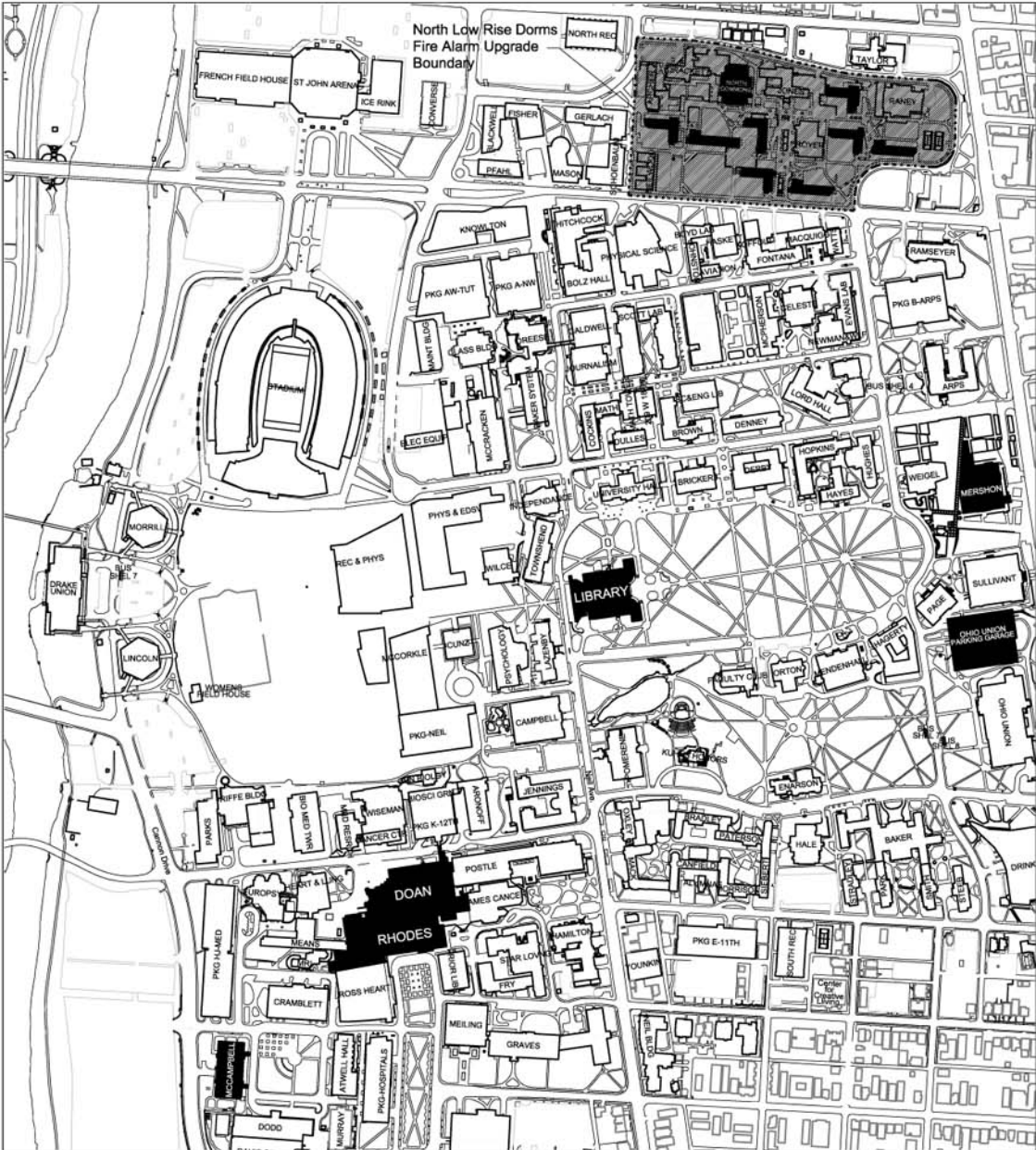
Project Team:

Project Manager: Corey Morris (morris.716@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

Core and Medical Campus Projects

- | | |
|--|---|
| <ul style="list-style-type: none"> • Doan Hall - 9 West Burn Unit Renovation • Doan Hall - Operating Rooms 9 and 10 • McCampbell Hall - Chiller Replacement • Mershon Auditorium - Feasibility Study • MRI Relocation (MCFP) • New Electrical Substation - Engineering Study • North Commons Fresh Express • North Low Rise Dorms - Fire Alarm Upgrade | <ul style="list-style-type: none"> • Thompson Library Renovation • Ohio Union Garage - Renovation and Expansion |
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Office of Business and Finance / Board of Trustees Meeting

September 22, 2006





New Electrical Substation - Engineering Study

5062-PF07943

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Various Locations, Franklin County

ASF/0 GSF Age:

Description:

This project will conduct an engineering study to determine the configuration and identify a site for a second electrical substation.

Project Information:

Issues:

How does this project advance the Academic Plan? This project will improve the reliability of electric service to the University.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Business & Finance	\$500,000.00					
Total:	\$500,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT		09/22/2006		
Arch/Engr Advertisement		11/01/2006	10/01/2006	
Feasibility Study Start		02/01/2007	01/02/2007	
Feasibility Study Completion		10/01/2007		

Project Team:

Project Manager: Ramesh Bahl (bahl.12@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)



Airport Pavement - Taxiway A-East Rehabilitation and B Removal

315-2002-931-4

Requesting Agency(s): ENGINEERING ADMINISTRATION

Location(s): Don Scott Airport

ASF/0 GSF Age:

Description:

This project will reconstruct the east portion of Taxiway A per FAA recommendations. It also includes the replacement of taxiway edge light fixtures and guidance signs. Taxiway B will also be removed as a part of the project.

Project Information:

Airport Rehabilitation Total Project Budget has increased from \$8,400,000 to \$9,492,000 to accommodate the Taxiway A-East reconstruction work that can be completed earlier due to newly identified FAA grant funding that has been awarded to the airport.

Issues:

How does this project advance the Academic Plan? This project will enhance operational safety at the airport.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Engineering	\$248,511.00					
Grant-Federal Aviation Association	\$2,551,489.00					
Total:	\$2,800,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT (Total Project Increase)	\$9,492,000.00	09/22/2006		
BIDDING				
Bidding Approved BoT (Phase IV)	\$2,800,000.00	09/22/2006		
Bid Opening		10/17/2006		
CONSTRUCTION				
Award of Contracts		11/22/2006	12/17/2006	
Construction Start		11/27/2006	04/30/2007	
Completion		08/17/2007	09/30/2007	

Project Team:

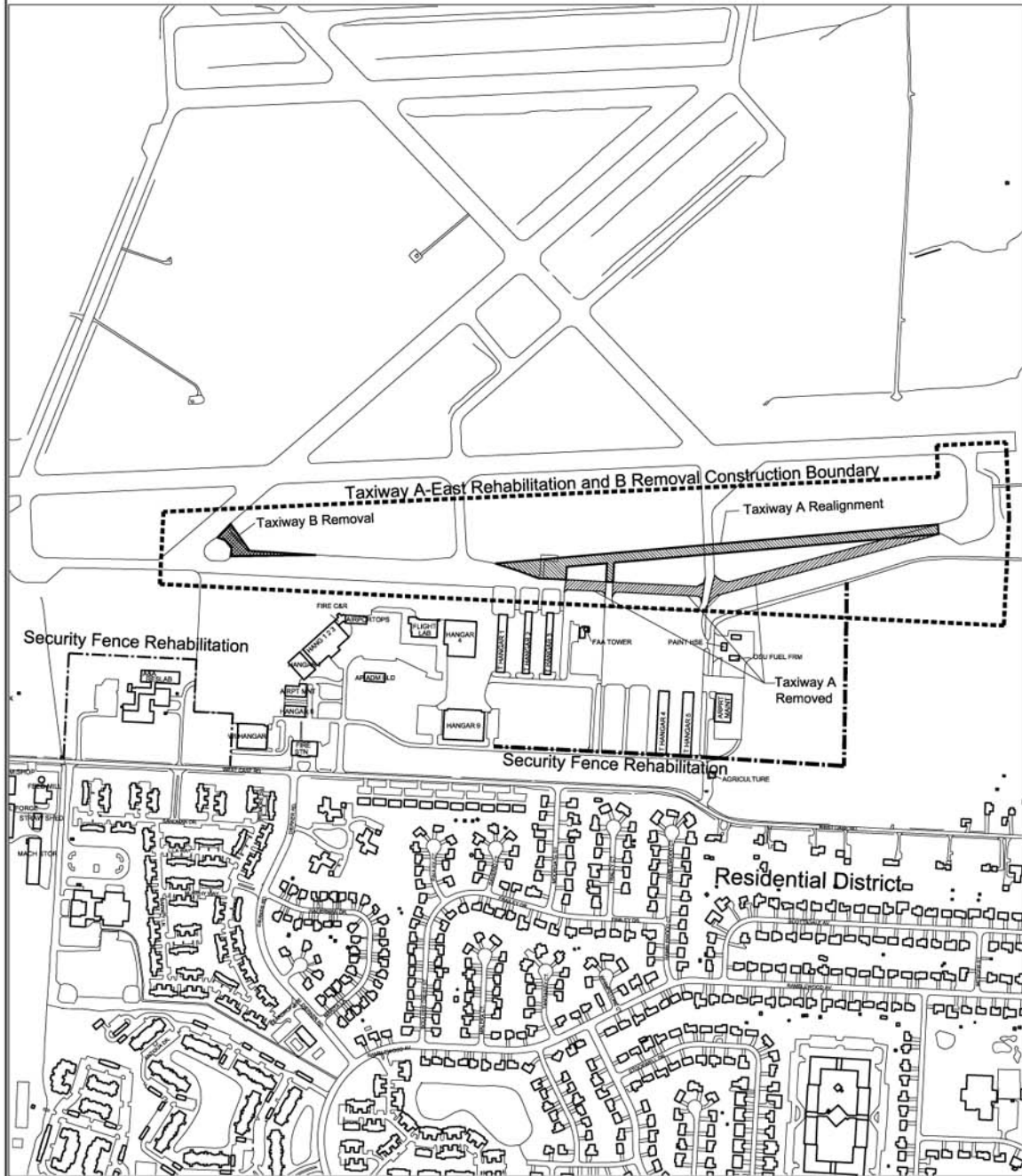
Project Manager: Bo Zhang (zhang.403@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

ZANDE AND ASSOCIATES - Design

OSU Airport Projects

- Airport Rehabilitation - Security Fence
- Airport Pavement - Taxiway A-East Rehabilitation and B Removal



Office of Business and Finance / Board of Trustees Meeting

September 22, 2006





Airport Rehabilitation - Security Fence

315-2006-929

Requesting Agency(s): ENGINEERING ADMINISTRATION

Location(s): Don Scott Airport

ASF/0 GSF Age:

Description:

This project will install a security fence along the airport perimeter to increase airport safety.

Project Information:

To be designed by University personnel.

Issues:

How does this project advance the Academic Plan? This project will enhance operational safety and security at the airport.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Engineering	\$17,288.00					
Grant-Federal Aviation Association	\$207,712.00					
Total:	\$225,000.00					

Schedule:

	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$225,000.00	09/22/2006		
BIDDING				
Bidding Approved BoT	\$225,000.00	09/22/2006		
CONSTRUCTION				
Award of Contracts		11/22/2006	12/17/2006	
Construction Start		11/27/2006	12/17/2006	
Completion		02/28/2007	05/25/2007	

Project Team:

Project Manager: Bo Zhang (zhang.403@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)



Doan Hall - 9 West Burn Unit Renovation

50700-R064409

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Doan Hall, Charles Austin

363,616 ASF/669,869 GSF Age: 1951

Description:

This project will relocate and create a new treatment unit for acute burn patients. The room will be complete with specialized equipment, whirlpool, roll-in shower, floor sinks, water resistant finishes, medical gas, fire suppression and increased ventilation systems.

Project Information:

Issues:

How does this project advance the Academic Plan? OSU provides the only Level 1 burn unit in the Columbus area. This project will improve the treatment for burn patients and training for future staff.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$240,000.00					
Total:	\$240,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$240,000.00	09/22/2006		
CONSTRUCTION				
Construction Start		06/29/2007		
Completion		09/21/2007		

Project Team:

Project Manager: Lance Timmons (timmons.19@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)



Doan Hall - Operating Rooms 9 and 10

315-07-0079

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Doan Hall, Charles Austin

363,616 ASF/669,869 GSF Age: 1951

Description:

This project will renovate two operating rooms in Doan Hall to bring them up to current code and add infrastructure for robotics.

Project Information:

Issues:

How does this project advance the Academic Plan? This project will support continued clinical, teaching and research missions at Ohio State.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: This project is the second of three operating room projects that need to occur. Only a limited number of operating rooms can be shut down at one time so this project needs to be completed before starting the last project.

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-University Hospitals	\$544,274.00					
Total:	\$544,274.00					
Schedule:			BoT Approved Amt.	Projected	Revised	Actual
PLANNING						
Arch/Engr Approved by BoT			\$544,274.00	09/22/2006		
CONSTRUCTION						
Construction Start				12/26/2006		
Completion				04/24/2007		

Project Team:

Project Manager: Pat Cuthbert (cuthbert.8@osu.edu)

Project Coordinator: Curt Handschug (handschug.1@osu.edu)



Ohio Union Garage Renovation and Expansion

315-2005-997

Requesting Agency(s): TRANSPORTATION & PARKING SERVICES

Location(s): Parking Garage C (Union)

371,641 ASF/387,952 GSF Age: 1969

Description:

This project will demolish a portion of the parking garage and renovate the remaining spaces, including upgrades to the elevator, electrical and lighting systems. Additionally, the project will add three bays to accommodate additional parking on campus.

Project Information:

The original scope would have renovated 1,064 spaces to last only 15 more years and provided 400 new spaces. The revised scope will demolish the portion of the garage built in 1986 (400 spaces) and replace it with a new 3-bay garage adjacent to the Ohio Union that will provide 840 new spaces (440 net new spaces) that will last 60+ years.

Issues:

How does this project advance the Academic Plan? Renovation and repairs to the Ohio Union Garage will improve its condition and function. The garage provides access to various academic interests in the surrounding area.

Outstanding Funding Issues:

Timing Issues: The construction of this project will be coordinated with the Ohio Union Replacement project construction.

"Ripple effects" of the project: The garage will be out of service during construction, and therefore, parking will be displaced for this area of campus; a mitigation plan is in process.

Special limitations/risks: Space for the garage expansion is limited by the Ohio Union Replacement project and an existing city sewer below the existing garage will be relocated.

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$17,000,000.00	\$20,500,000.00				
Auxiliaries-Trans. & Parking	\$0.00	\$400,000.00				
Total:	\$17,000,000.00	\$20,900,000.00				

Schedule:

	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Capital Project Approved by BoT	\$17,000,000.00			09/23/2005
Arch/Engr Approved by BoT	\$17,000,000.00	11/04/2005		11/04/2005
Constr Mgr Approved by BoT	\$17,000,000.00	11/04/2005		11/04/2005
Arch/Engr Approved by BoT (Project Increase)	\$20,900,000.00	09/22/2006		
DESIGN				
Arch/Engr Contract		05/05/2006		05/05/2006
Schematic Design Approval		08/15/2006		08/15/2006
Design Dev Document Approval		10/30/2006		
Construction Document Approval		02/15/2007		
BIDDING				
Bidding Approved BoT		03/04/2007		
Bid Opening		04/25/2007		
CONSTRUCTION				
Award of Contracts		06/25/2007		
Construction Start		05/01/2007	07/01/2007	
Completion		05/01/2009	05/01/2009	

Project Team:

Project Manager: Ruth Miller (miller.2495@osu.edu)

Project Coordinator: Faye Bodyke (bodyke.3@osu.edu)

MOODY NOLAN LTD INC - Design

SMOOT CONSTRUCTION COMPANY - Construction Management - No CBD

VENDOR TO BE DETERMINED - Design - No CBD



OSU Golf Course - Kepler Club House Bag Room Renovation

50700-R060048

Requesting Agency(s): ATHLETICS

Location(s): Kepler Club House, Robert H. 16,120 ASF/24,073 GSF Age: 1938

Description:
This project will renovate an existing storage room into office space.

Project Information:

Issues:
How does this project advance the Academic Plan? This renovation will provide much-needed office space for the Men's and Women's Head and Assistant Golf Coaches.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

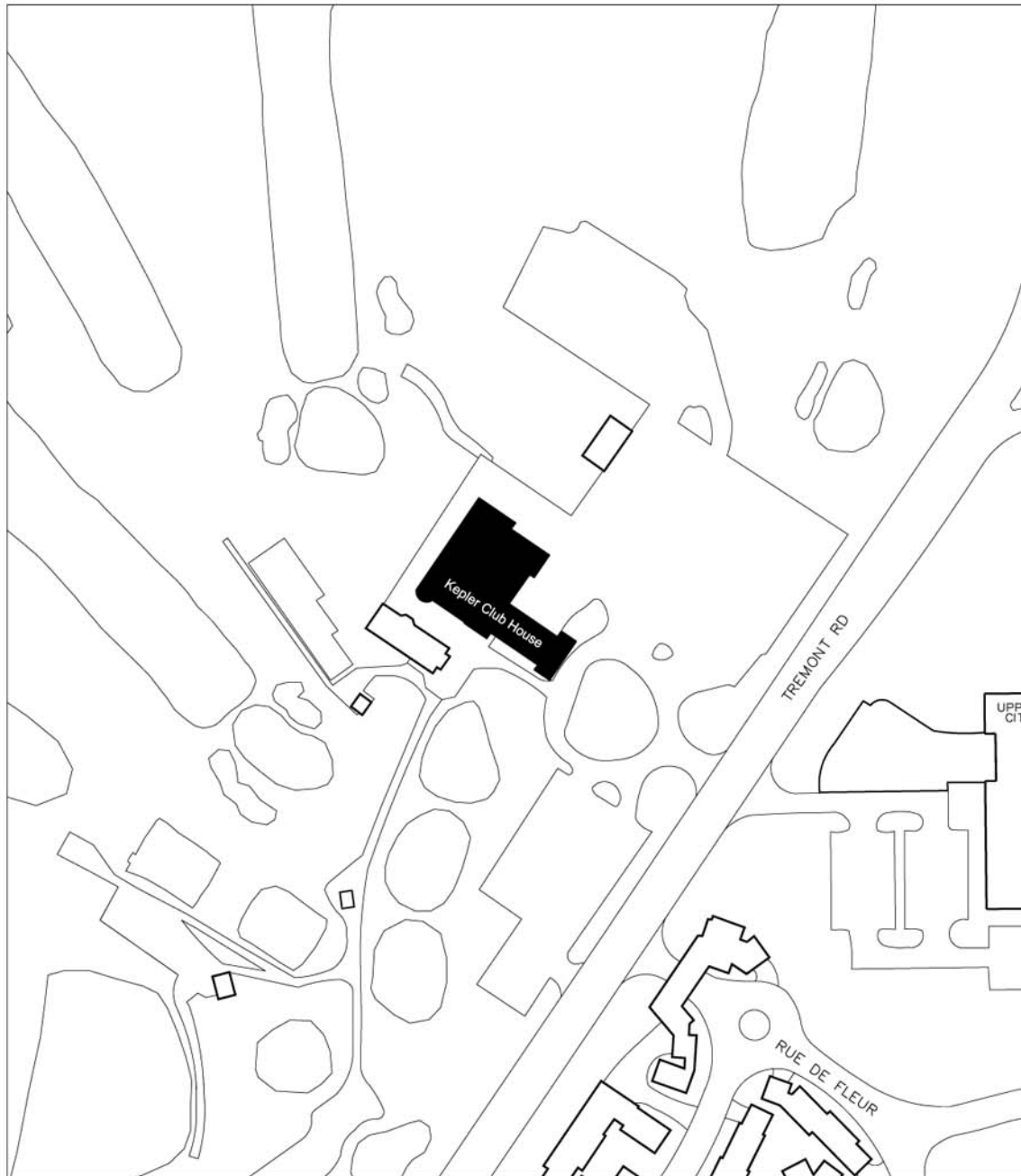
Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Athletics	\$180,000.00					
Total:	\$180,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$180,000.00	09/22/2006		
CONSTRUCTION				
Construction Start		02/15/2007		
Completion		07/01/2007		

Project Team:
Project Manager: Thomas Heretta (heretta.1@osu.edu) Project Coordinator: Curt Handschug (handschug.1@osu.edu)

OSU Golf Course

- Kepler Club House Bag Room Renovation



Office of Business and Finance / Board of Trustees Meeting

September 22, 2006





Veterinary Medicine - Basement Shell Space

315-07-0010

Requesting Agency(s): VETERINARY MEDICINE ADMINISTRATION

Location(s): Veterinary Medicine Academic Bldg

63,780 ASF/113,602 GSF Age: 2002

Description:

This project will finish approximately 10,400 square feet of shell space in the basement of the Veterinary Medicine Academic Building to create research labs and offices.

Project Information:

The goal is to provide lab and office spaces very similar to the existing 3rd and 4th floor design within the building to accommodate revised size and configuration needs. HVAC and drainage requirements have already been provided in the existing shell space.

Issues:

How does this project advance the Academic Plan? This project supports the Academic Plan by creating additional state of the art research facilities, allowing the college to recruit and retain high-quality faculty.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Plant Renewal/Replacement Vet Medicine	\$2,965,000.00					
Total:	\$2,965,000.00					

Schedule:

PLANNING

Arch/Engr Approved by BoT

CONSTRUCTION

Construction Start

Completion

BoT Approved Amt.

\$2,965,126.00

Projected

09/22/2006

Revised

12/01/2007

Actual

08/01/2008

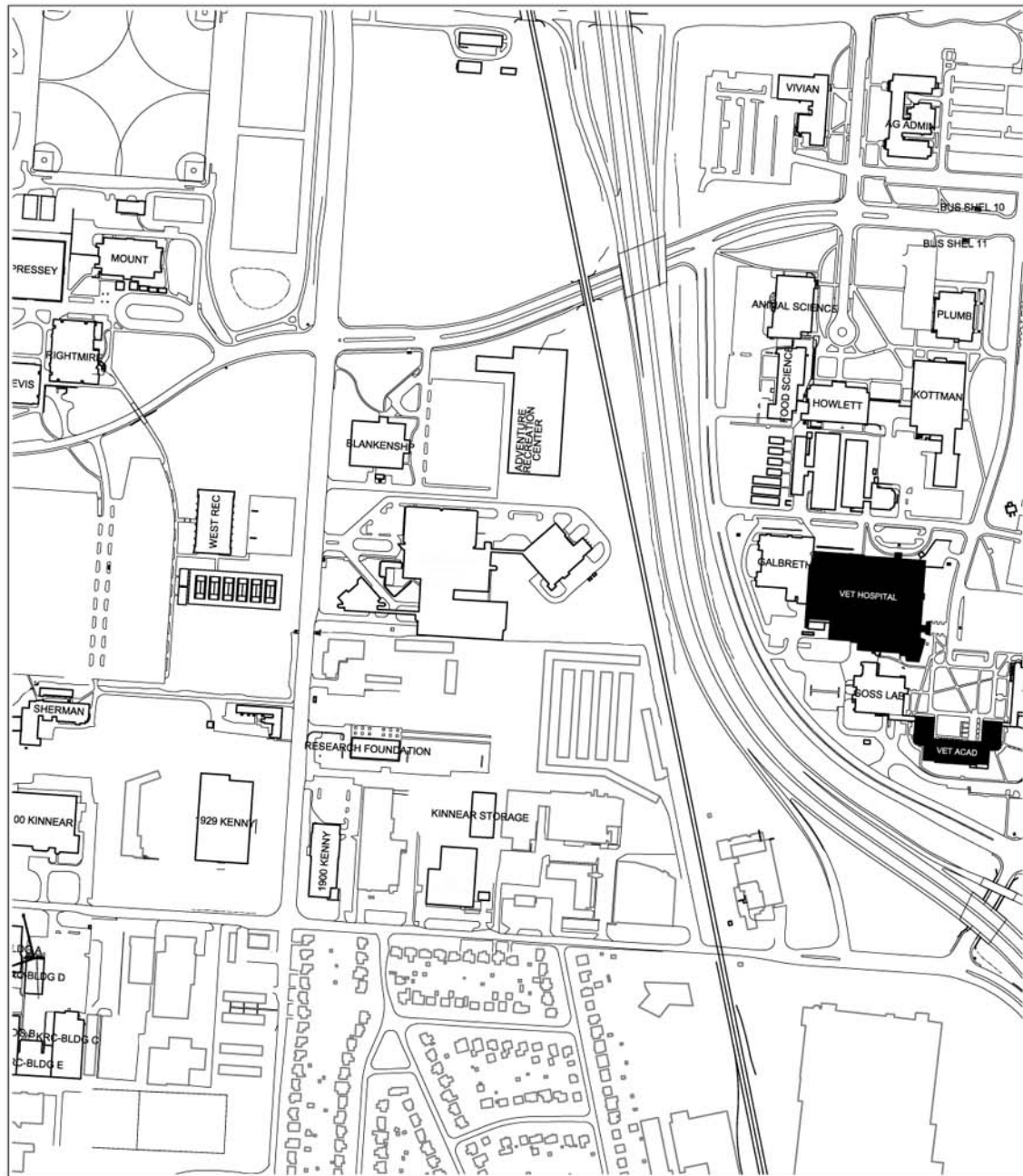
Project Team:

Project Manager: John Frazier (frazier.2@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

Midwest Campus Projects

- Veterinary Medicine - Basement Shell Space
- Veterinary Hospital - Air Handling Unit Replacement



Office of Business and Finance / Board of Trustees Meeting

September 22, 2006





McC Campbell Hall Chiller Replacement

315-2005-941

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): McC Campbell Hall, Eugene F

Gross Sq. Ft.107,587 Age: 1972

Description:

This project will replace the existing chiller and pumps with new equipment to increase the systems capacity.

Project Information:

Issues:

How does this project advance the Academic Plan? Proper chiller operation is essential for appropriate environmental conditions for learning and research.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: Access to the building may be temporarily closed for short periods of time for lifting and placement of equipment.

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB16 Columbus Basic Renovation	\$340,000.00					
Total:	\$340,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$340,000.00	06/07/2005		06/07/2005
DESIGN				
Arch/Engr Contract		10/31/2005	05/01/2006	04/27/2006
Schematic Design Approval		12/08/2005	06/13/2006	06/27/2006
Design Dev Document Approval		02/06/2006	06/13/2006	06/27/2006
Construction Document Approval		04/22/2006	08/08/2006	
BIDDING				
Bidding Approved BoT	\$340,000.00	06/02/2006	09/22/2006	
Bid Opening		07/14/2006	12/16/2006	
CONSTRUCTION				
Construction Start		10/26/2006	04/30/2007	
Completion		03/15/2007	07/28/2007	

Project Team:

Project Manager: Barry Mazik (mazik.6@osu.edu)
HAWA INCORPORATED - Design

Project Coordinator: Karen Cogley (cogley.1@osu.edu)



MRI Relocation (MCFP)

315-2005-993-1

Requesting Agency(s): UNIVERSITY HOSPITALS

Location(s): Rhodes Hall-University Hospital

234,178 ASF/480,976 GSF Age: 1979

Description:

This project will remove four magnet systems currently housed in the Magnetic Resonance Imaging (MRI) Facility. Three magnets will be moved to a new building and placed adjacent to the second floor of Rhodes Hall, where the Imaging Services Department is currently located. The fourth magnet, a research magnet, will be moved to a yet to be determined location.

Project Information:

This project is part of the Medical Center Facilities Plan approved on November 4, 2005 by the Board of Trustees as Medical Center Facility Master Plan - Clinical Expansion Projects.

Issues:

How does this project advance the Academic Plan? Enables the Medical Center Facilities Plan, which will support continued clinical, teaching and research missions at Ohio State.

Outstanding Funding Issues: None

Timing Issues: This project will be completed prior to the demolition of the existing Magnetic Resonance Imaging Facility and Means Hall in order to proceed according to the critical path schedule of the Medical Center Facilities Plan - South Campus Implementation.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$7,000,000.00					
Total:	\$7,000,000.00					

Schedule:

	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$7,000,000.00	05/05/2006		05/05/2006
Arch/Engr Advertisement		05/15/2006		05/15/2006
DESIGN				
Arch/Engr Contract		07/21/2006		07/21/2006
Schematic Design Approval		07/17/2006	07/25/2006	08/17/2006
Design Dev Document Approval		09/08/2006		
Construction Document Approval		10/06/2006		
BIDDING				
Bidding Approved BoT	\$7,000,000.00	09/22/2006		
Bid Opening		10/23/2006		
CONSTRUCTION				
Award of Contracts		12/15/2006		
Construction Start		01/15/2007		
Completion		09/15/2007		

Project Team:

Project Manager: Richard Morse (morse.68@osu.edu)

Project Coordinator: Curtiss Ashley (ashley.6@osu.edu)

HASENSTAB ARCHITECTS INC - Design

OHIO GEOMATICS LTD - Site Work

HELLMUTH OBATA AND KASSABAUM, INC. - Executive Design

JACOBS FACILITIES, INC., - Executive Construction Manager



Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): North Commons, William A.

23,491 ASF/36,915 GSF Age: 1966

Description:
This project will renovate the North Commons dining hall to the newly developed "Fresh Express" service concept.

Project Information:
Improvements include new interior and exterior signage; new lighting; and new kitchen layout and equipment. Project did not meet criteria for Board of Trustees approval at the time the A/E was selected but the project scope has since increased, requiring Board of Trustees approval.

Issues:
How does this project advance the Academic Plan? This renovation supports the Academic Plan by enhancing the quality of residence hall life by providing a healthy alternative dining option.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Student Affairs	\$362,052.00					
Total:	\$362,052.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
BIDDING				
Bidding Approved BoT	\$369,052.00	09/22/2006		
CONSTRUCTION				
Construction Start		11/15/2006		
Completion		03/31/2007		

Project Team:
Project Manager: Nikolina Sevis (sevis.2@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



North Low Rise Dorms - Fire Alarm Upgrade

315-2005-984

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Blackburn House, John T.	19,307 ASF/35,832 GSF Age: 1963
Location(s): Haverfield House, James W.	16,702 ASF/30,267 GSF Age: 1963
Location(s): Barrett House, Thomas W.	18,966 ASF/35,829 GSF Age: 1963
Location(s): Halloran House, William I.	16,336 ASF/30,269 GSF Age: 1963
Location(s): Norton House, Fred W Jr.	19,573 ASF/35,789 GSF Age: 1963
Location(s): Scott House, Robert R.	17,211 ASF/36,251 GSF Age: 1963
Location(s): Houck House, E.R. & E.C	21,420 ASF/37,790 GSF Age: 1966
Location(s): Nosker House, William C.	21,501 ASF/38,715 GSF Age: 1966

Description:

This project will upgrade existing fire alarm systems in residence halls Blackburn, Haverfield, Barrett, Halloran, Houck, Nosker, Norton and Scott House to meet or exceed current codes.

Project Information:

The existing fire alarm systems do not meet the NFPA decibel standards.

The wiring has been upgraded to provide additional reliability and reporting, resulting in a budget increase.

Issues:

How does this project advance the Academic Plan? Upgrade of the fire alarm system will improve the life safety in the residence halls to better serve faculty, staff, and students.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$2,002,590.00	\$2,986,752.00				
Total:	\$2,002,590.00	\$2,986,752.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$2,002,590.00	09/23/2005		09/23/2005
DESIGN				
Arch/Engr Contract		02/08/2006		02/08/2006
BIDDING				
Bidding Approved BoT	\$2,986,752.00	09/22/2006		
CONSTRUCTION				
Construction Start		03/21/2007	12/04/2006	
Completion		08/19/2008	08/19/2007	

Project Team:

Project Manager: Mark Stelzer

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

ADVANCED ENGINEERING CONSULTANTS - Design



Thompson Library Renovation

315-2002-046

Requesting Agency(s): UNIVERSITY LIBRARIES

Location(s): Library, Wm Oxley Thompson Memorial

213,830 ASF/299,695 GSF Age: 1912

Description:

This project will renovate the Thompson Library, construct an addition to the facility, re-landscape a portion of the Oval, and provide swing space during construction. The swing space will include: Phase 1 - Sullivant Hall Learning Commons; Phase 2 - Sullivant Hall Interim Main Library Swing Space; Phase 3 - 650 Ackerman Road Library; lounge/reader spaces in the former Long's Bookstore.

Project Information:

Project budget was increased to \$99,762,500 due to users need for additional swing space. Subsequently, the project budget has increased to \$108,362,500 due to one year postponement of construction, maintenance enhancements to the building at the Ackerman Road facility, and construction cost escalation in copper, steel and petroleum-based products.

Issues:

How does this project advance the Academic Plan? This project advances the Academic Plan by enhancing the quality of the teaching and learning environment by providing state-of-the-art facilities.

Outstanding Funding Issues: University Libraries has raised 70% of their development funds.

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-University Libraries	\$30,000,000.00					
General Funds-University Libraries	\$0.00	\$612,500.00				
Future Capital Appropriations	\$69,000,000.00	\$56,800,000.00				
HB748 Columbus Basic Renovation	\$0.00	\$150,000.00				
HB675 Line Item	\$0.00	\$4,200,000.00				
HB16 Line Item Appropriation	\$0.00	\$8,000,000.00				
Univ. Bond Proceeds Central	\$0.00	\$8,600,000.00				
Total:	\$99,000,000.00	\$108,362,500.00				

Schedule:

	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$99,000,000.00	09/13/2002		09/06/2002
Constr Mgr Approved by BoT	\$99,000,000.00	09/13/2002		09/06/2002
DESIGN				
Schematic Design Approval		03/30/2004	11/01/2004	10/07/2004
Design Dev Document Approval		11/16/2004	06/24/2005	07/07/2005
Construction Document Approval		09/27/2005	05/01/2006	05/01/2006
BIDDING				
Bidding Approved BoT (swing space phases only)	\$99,762,500.00	07/08/2005		07/08/2005
Bid Opening (swing space phases)		09/14/2005	09/22/2005	09/22/2005
Bidding Approved BoT (Library)	\$108,362,500.00	09/22/2006		
Bid Opening		03/07/2006	11/15/2006	
CONSTRUCTION				
Construction Start (swing space phases)		09/01/2005	11/23/2005	11/28/2005
Completion (swing space phases)		06/01/2006	08/31/2006	
Construction Start (Library)		07/03/2008	01/17/2007	
Completion (Library)		07/03/2009	07/03/2009	
FF&E				
FF&E Installation		09/05/2008	09/05/2009	

Project Team:

Project Manager: Scott Conlon (conlon.1@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)

ACOCK ASSOCIATES INC. ARCHITECTS - Design

TURNER-SMOOT, A JOINT VENTURE - Construction Management



OARDC - Muck Crops Branch Office and Shop Building Replacement

315-2000-907

Requesting Agency(s): OHIO AGRIC RESEARCH AND DEVELOPMENT CTR

Location(s): Shop Bldg-Horticulture Unit 1

1,855 ASF/2,016 GSF Age: 1940

Description:

This project will replace the existing office/shop building. The new building will include an office, restrooms, shop area, storage area, pesticide handling needs, and work space.

Project Information:

Issues:

How does this project advance the Academic Plan? This project will improve the research facility greenhouse operation.

Outstanding Funding Issues: None

Timing Issues: The construction will be coordinated to minimize the impact to the facility during the planting, growing and harvesting season.

"Ripple effects" of the project: None

Special limitations/risks: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB675 Line Item	\$825,000.00					
Total:	\$825,000.00					

Schedule:

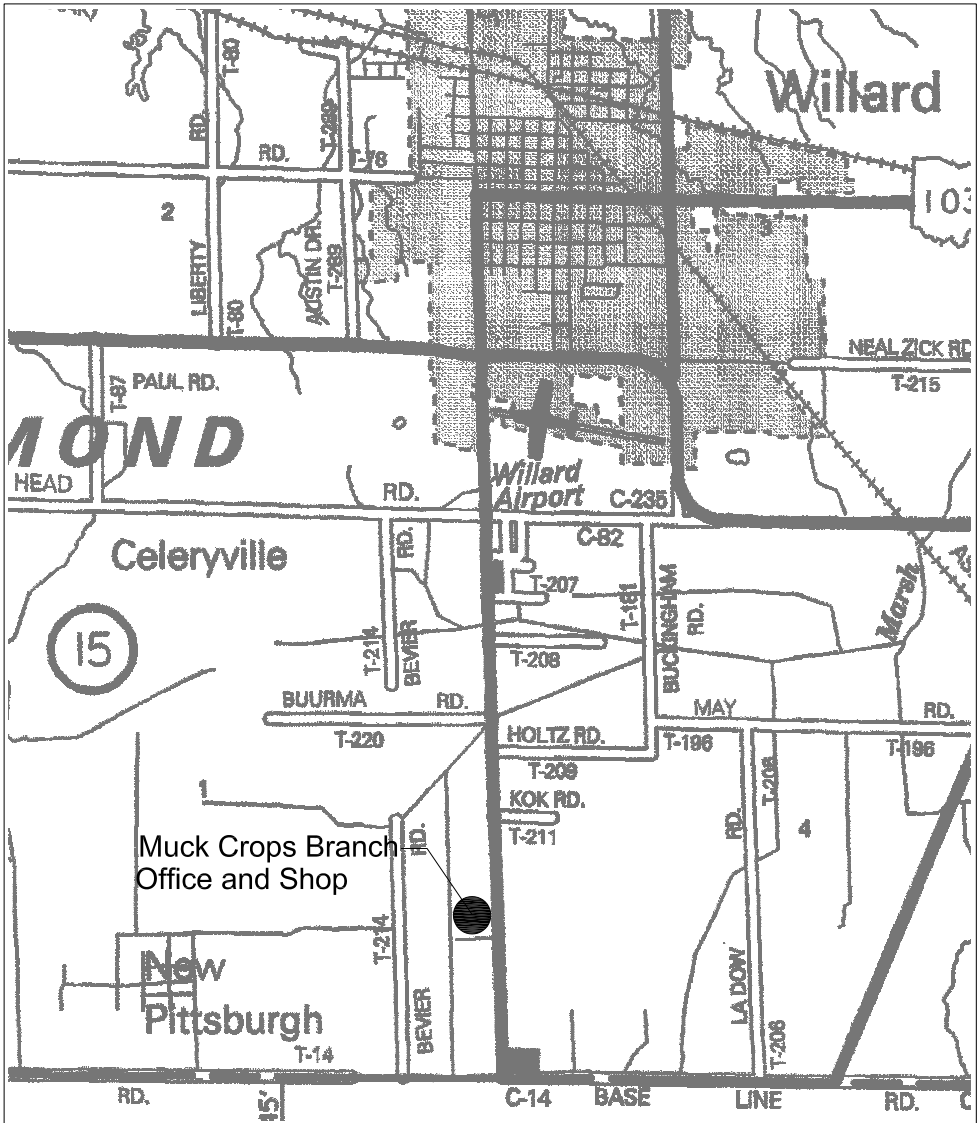
	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$825,000.00	12/05/2003		12/05/2003
DESIGN				
Arch/Engr Contract		05/15/2004	02/10/2005	02/07/2005
Schematic Design Approval		02/10/2005	04/15/2005	04/15/2005
Design Dev Document Approval		03/10/2005	03/29/2006	03/28/2006
Construction Document Approval		04/15/2005	08/31/2006	
BIDDING				
Bidding Approved BoT	\$825,000.00	09/22/2006		
Bid Opening		05/30/2005	10/30/2006	
CONSTRUCTION				
Award of Contracts		07/30/2005	01/15/2007	
Construction Start		08/01/2005	01/22/2007	
Completion		03/31/2006	10/04/2007	

Project Team:

Project Manager: Marjory Trishman (trishman.2@osu.edu)
POGEMEYER DESIGN GROUP INC - Design

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

OARDC - Muck Crops Branch Office and Shop Building Replacement





Veterinary Hospital Air Handling Unit Replacement

315-2005-925

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Veterinary Hospital

140,213 ASF/222,496 GSF Age: 1973

Description:

The air handling equipment in the Veterinary Hospital is over 30 years old and is beyond its useful life. The air handling units will be replaced to meet the current building load.

Project Information:

Rather than the selective repair/replacement of the existing equipment, it has been determined that the replacement of the five existing units with two new, larger air handlers is the most cost effective over the long term.

Issues:

How does this project advance the Academic Plan? Proper air handling operation is essential for appropriate environmental conditions for learning and animal care.

Outstanding Funding Issues: None

Timing Issues:

"Ripple effects" of the project: These repairs could cause some areas of the building to be temporarily closed during renovation.

Special limitations/risks:

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB16 Columbus Basic Renovation	\$1,200,000.00	\$1,962,000.00				
HB16 ATI Basic Renovation		\$0.00				
Total:	\$1,200,000.00	\$1,962,000.00				

Schedule:

	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,200,000.00	06/07/2005		06/07/2005
DESIGN				
Arch/Engr Contract		04/28/2006	05/05/2006	05/16/2006
Schematic Design Approval		03/08/2006	05/15/2006	05/16/2006
Design Dev Document Approval		05/22/2006	08/25/2006	
Construction Document Approval		08/05/2006	09/26/2006	
BIDDING				
Bidding Approved BoT	\$1,962,000.00	09/22/2006	09/22/2006	
Bid Opening		11/03/2006	12/25/2006	
CONSTRUCTION				
Construction Start		02/16/2007		
Completion		03/19/2008	10/25/2007	

Project Team:

Project Manager: Alexander Lentsner (lentsner.2@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

FOSDICK & HILMER, INC - Design

LONG-TERM LEASE OF REAL PROPERTY

JAMESCARE COMPREHENSIVE BREAST HEALTH CENTER
4019 WEST DUBLIN-GRANVILLE ROAD
DUBLIN, OHIO

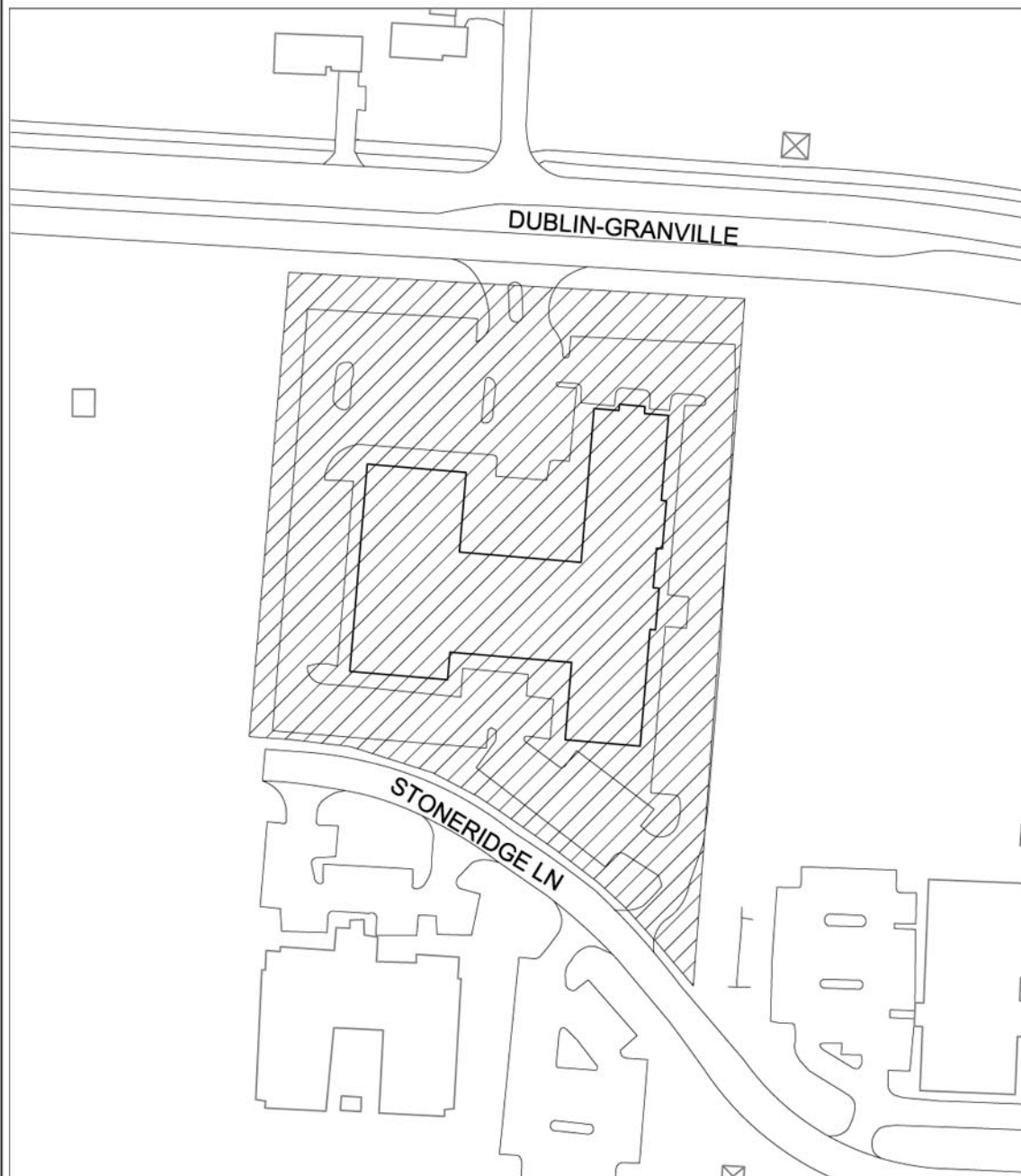
Background

The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute desires to renew a lease for the James Care Comprehensive Breast Health Center, approximately 30,903 square feet of medical office and clinical space located at 4019 West Dublin-Granville Road in Dublin, Ohio. The facility is owned by Phele Investment Properties. The JamesCare Center offers the following services: chemotherapy, imaging, exam rooms, physician offices and Hope's Boutique. These services will continue in this location until the completion of the new JamesCare facility at the Health and Innovation Park, located at the intersection of US Route 33 and Post Road in Dublin, Ohio.

The proposed lease renews, consolidates, and extends the current leasing arrangements for this space. The extended term commences June 1, 2006 and expires April 30, 2015. Base rent would be \$540,803 annually through April 2012 and \$610,334 annually thereafter. JamesCare also would be responsible for the cost of utilities, real estate taxes, insurance, janitorial and maintenance service. The lease contains provisions regarding subleasing and a lease termination payment with respect to the expected move of the JamesCare program to the Health and Innovation Park, located in Dublin, Ohio, in 2008.

Renewal of Existing Lease -JamesCare at Stoneridge Plaza

- Renewal of Existing Lease - JamesCare at Stoneridge Plaza, 4019 West Dublin-Granville Road



Office of Business and Finance / Board of Trustees Meeting

July 7, 2006



(APPENDIX XV)

The Ohio State University Board of Trustees
Fiscal Affairs Committee

September 22, 2006

TOPIC:

FY 2007 Current Funds Budget

CONTEXT:

This is the final step in approval of the FY 2007 operating budget. There are no major surprises, but there are loose ends to tie down.

SUMMARY:

Included are the following:

- A summary list of late breaking developments in the budget process (Attachment 1).
- A summary of what is included in the budget red book (Attachment 2).
- A work list of emerging issues for FY 2008 (Attachment 3).

CONSIDERATIONS:

- What happens next?
- What are the emerging issues for FY 2008?
- Is there any additional information the Fiscal Affairs Committee would like?

REQUESTED OF THE FISCAL AFFAIRS COMMITTEE:

Approval of the attached recommendations to approve the FY 2007 current funds budget and to delay the phase down of the endowment earnings support of University Development operations.

**FY 2007 Operating Budget
Summary of Final Adjustments**

- Final spending total for all campuses is \$3.7 billion. This is less than the sum of the individual fund groups due to inter-fund transfers.
- The single largest fund group is now self-supporting auxiliaries, which accounts for 50.8% of the total budget for all campuses. The Health System alone accounts for 36% of the total budget.
- This budget includes \$9 million in one-time funds and \$1 million in continuing funds to support Phase I of V of Targeted Investments in Excellence. The total commitment is \$50 million over the next five years. This means discretionary funds for other investments will be limited.
- State Share of Instruction (SSI) is scheduled to increase by 2.4% across all campuses. This is the second year in a row that SSI has increased, but the state share of revenues continues to decline, and still remains below FY 2001 levels.
- This budget requires an average reallocation of .5% for Academic Support units to accomplish their compensation goals. Colleges on average will reach compensation goals without reallocations. Actual reallocations will vary significantly by unit based on workload, reserves, and revenues generated.
- \$9.4 million in one-time funds is set aside to support detailed planning for a new Student Information System in FY 2007 and \$2.5 million in one-time funds is set aside as the third of four planned installments to pay off the costs of implementing the Grants Management System.
- Almost \$1.8 million of the Ph.D. level subsidy is set aside to match an equal amount of state funds as part of the State's Innovation Incentive to support quality Ph.D. programs in science, technology, engineering, mathematics and medicine. The Provost has asked the Graduate School to develop a plan to allocate the Innovation Incentive dollars to support students in quality doctoral programs.
- \$600,000 in one-time funds are set aside to support Gateway as a result of slower than anticipated growth in rental revenues. Rental revenue is anticipated to be on target by 2008. Funding to move Legal Affairs to Gateway is included in this budget.
- The Health System budget includes \$64 million in cash for Medical Center investments and \$16 million to increase short-term cash reserves.
- \$1 million is included to help pay additional operating costs for the Biomedical Research Tower in FY 2007. The increased operating cost for research facilities is an emerging issue that will be addressed over the coming year.
- The Board is requested to delay the phase down of University Development's support from endowment earnings. The support was to be reduced from 1.11% to 1% of the endowment earnings in 2007. The attached resolution would authorize the support of University Development's continued operations at the 1.10% level in 2007. Development has been requested to submit a plan, including funding sources and levels needed to support future development efforts so the phase down can be achieved.

Summary of Information In Budget Red Book

Priorities

- Academic Plan
- Budget Context
- FY 2007 Priorities

Revenue Summary

Expenditure Summary

- Base Budgets
- Changes by Category
- Changes in Colleges and Support Units

Multi-Year Commitments

Tables and Appendices

Financial Issues for FY 2007

1. Preparing for FY 2008 – FY 2009 State of Ohio Biennial Budget process.
2. Funding graduate education based on program quality.
3. Funding the next development campaign.
4. Development of an enterprise-wide risk management structure.
5. Completion of the five-year financial plan.
6. Capital funding, including additional funding for deferred maintenance.
7. Implementation and tracking of key cost reduction initiatives.
8. Evaluation of sources, uses and cost allocation processes regarding support of research.
9. Financial implications of proposals to restructure the undergraduate curriculum.
10. Follow-up on internal controls.
11. Financial performance of affiliated entities.
12. Oversight of SIS installation.

FY 2007 COLUMBUS CAMPUS TUITION AND OTHER FEES AND CHARGES

Synopsis: Instructional, general and non-resident fees at the Columbus Campus and other fees and charges for Fiscal Year 2007 are proposed, effective Autumn Quarter 2006.

WHEREAS the Board of Trustees of The Ohio State University is committed to the University's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS undergraduate instructional and general fees are subject to a State mandated 6% tuition cap; and

WHEREAS consultations have taken place within the University to determine the appropriate instructional fee increases for Columbus Campus undergraduate, graduate and professional programs; and

WHEREAS consultations continue to take place with regard to the regional campuses and the Agricultural Technical Institute, and those fees will be presented at the July meeting of the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for all tiers of undergraduates enrolled at the Columbus Campus be increased by 6.0%; and

BE IT FURTHER RESOLVED, That instructional and general fees for most graduate students be increased by 6% and that the instructional fees for the professional colleges and selected graduate programs be increased by between 6% and 10%; and

BE IT FURTHER RESOLVED, That the nonresident surcharge for all programs be increased by 6%; and

BE IT FURTHER RESOLVED, That a \$50 undergraduate program fee be established for students admitted to the School of Allied Medical Professions and that the existing undergraduate program fees for declared majors in the School of Music and in the Fisher College of Business be increased from \$100 to \$150, and the undergraduate program fee for rank 3 and 4 students in the College of Biological Sciences be increases from \$50 to \$60

BE IT FURTHER RESOLVED, That the undergraduate learning technology fee in the College of the Arts be increased from \$50 to \$53 and the application fees for the Colleges of Dentistry and Business be increased by \$20 for both US and international applicants; and

BE IT FURTHER RESOLVED, That all of these increases, detailed in the accompanying materials shall be effective Autumn Quarter 2006, except that fees and charges for the Health System shall be effective July 1, 2006.

INTERIM BUDGET FOR FISCAL YEAR 2007

Synopsis: Authorization to make expenditures in FY 2007 is proposed.

WHEREAS the University has not yet finalized its operating budget for FY 2007; and

WHEREAS it is necessary to continue University expenditures, including payment of faculty and staff, prior to the time that the Current Funds Budget for FY 2007 is developed and adopted:

NOW THEREFORE

BE IT RESOLVED, That the University be authorized to make expenditures consistent with the level of resources approved for Fiscal Year 2006, pending the adoption of the University Budget for FY 2007.

**FY 2007 UNDERGRADUATE TUITION
REGIONAL CAMPUSES AND THE AGRICULTURAL TECHNICAL INSTITUTE**

Synopsis: Instructional and general fees for undergraduate students enrolled at ATI and the Regional Campuses for Fiscal Year 2007 are proposed, effective Autumn Quarter 2006.

WHEREAS consultations have taken place at the Agricultural Technical Institute and the Regional Campuses to determine the appropriate instructional and general fee increases for those students for Fiscal Year 2007; and

WHEREAS undergraduate instructional and general fees are subject to a State mandated 6% fee cap before adjustments for the Access Challenge offset; and

WHEREAS the Regional Campus Boards of Trustees have approved a 6% increase in undergraduate instructional and general fees, before adjustments for Access Challenge offsets, at those boards' respective June meetings; and

WHEREAS the Executive Dean of the College of Food, Agricultural, and Environmental Sciences has recommended a 6% increase in resident undergraduate tuition and a 6% increase in the non-resident surcharge for students attending ATI:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees and the non-resident surcharge for both upper and lower division undergraduates enrolled at ATI and the Regional Campuses be increased 6%; and

BE IT FURTHER RESOLVED, That all of these increases shall be effective Autumn Quarter 2006.

APPROVAL OF FISCAL YEAR 2007 OPERATING BUDGET

Synopsis: Approval of the Operating Budget for Fiscal Year 2007 is proposed.

WHEREAS the State budget for Fiscal Year 2007, including funding levels for State institutions of higher education, is set; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2007 have been approved by the Board of Trustees and other levels of general funds and earnings resources have been estimated and restricted fund resources have been projected based on historical trends; and

WHEREAS expenditures, including compensation, have been estimated for FY 2007; and

WHEREAS appropriate planning and consultation within the University has been accomplished with regard to the Columbus Campus, Regional Campuses and ATI budgets, and the President now recommends approval of the FY 2007 Operating Budgets with a final Total University Current Funds Budget to be submitted at the September meeting of the Board of Trustees: and

WHEREAS this FY 2007 budget includes the following one-time funds for Campus Partners: 1) \$650,000 for support of Campus Partners operations during FY07 to carry out its initiatives, subject to the provisions of the 1997 memorandum of understanding, as amended from time to time, and 2) \$600,000 to address timing issues related to the renting of Gateway commercial/retail spaces:

NOW THEREFORE

BE IT RESOLVED, That the Operating Budget for the Columbus, ATI, Lima, Mansfield, Marion, and Newark Campuses for Fiscal Year 2007, as described in the accompanying text and tables, be approved, with authorization for the President to make general fund and earnings fund expenditures within the estimated income levels and to authorize the expenditure of all restricted funds earned with quarterly revenue updates to the Board.

APPROVAL OF FISCAL YEAR 2007 TOTAL UNIVERSITY CURRENT FUNDS BUDGET

Synopsis: Approval of the total University Current Funds Budget for FY 2007 is proposed.

WHEREAS the State budget for FY 2006 and 2007, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2007 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures for the Columbus Campus and the Regional Campuses and ATI have been approved at previous meetings of the Board; and

WHEREAS adjustments have been made to eliminate double counting of transfers among the various University units; and

WHEREAS the President now recommends approval of the Current Funds Budget for the total University for FY 2007:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for FY 2007, as described in the accompanying FY 2007 Current Funds Budget Book, be approved, with authorization for the President to make expenditures within the projected income.

I. Setting Budget Priorities

Academic Plan 2000-2007

In 2000 the Board of Trustees first approved the Academic Plan. This document defines six strategies and related initiatives that are necessary for The Ohio State University to become a truly great teaching and research university.

The Six Core Strategies

- Build a World-Class Faculty.
- Develop Academic Programs that Define Ohio State as the Nation's Leading Public Land-Grant University.
- Enhance the Quality of the Teaching and Learning Environment.
- Enhance and Better Serve the Student Body.
- Create a Diverse University Community.
- Help Build Ohio's Future.

These strategies and their related initiatives serve as a roadmap for the university. However, almost since its inception, the Academic Plan had to be adapted to fiscal constraints brought about by reductions in state funding.

Between spring 2001 and spring 2005, the university addressed a series of state budget cuts totaling over \$50 million. These cuts constrained progress on the Academic Plan and led the university to focus on only the most critical elements of the plan.

FY 2006 revenues were more stable and expenditures were focused on selected academic priorities. Faculty and staff compensation was increased on average by almost 3.5%. In addition, Ohio State made substantial progress in a number of targeted areas, including:

- 4-year graduation rates increased dramatically to nearly 40 percent, more than 10% over the past 5 years. Ohio State's freshman-sophomore retention rate has also risen to 89.7 percent, exceeding the national mean of 77.5 percent.
- Ohio State was ranked by the National Science Foundation among the Top 10 public research universities for sponsored research and was named among the Top 25 public research universities by U.S. News & World Report; ranking 19th in 2007 among all public universities.
- For the 11th consecutive year, the incoming first-year class was the best-prepared in history. The average ACT score was 25.8; 39 percent of freshmen were in the top 10 percent of their high school class, and 77 percent were in the top 25 percent, compared to 32 percent and 66 percent in Autumn 2001.

- Continued diversification of the student population. On the Columbus campus, enrollment of first-year African-American and Hispanic students was up from a year ago, 6 and nearly 7 percent respectively, and 16 percent of the incoming class were students of color.
- Six core administrative process reviews were undertaken: capital project approval, design, and construction; purchasing goods and services; energy cost management; health care benefits management; minimizing university borrowing costs; and maximizing the university's return on its investment in major systems projects. These reviews have resulted in an estimated \$37.2 million benefit to the University.

In June 2006, undergraduate student tuition increases, effective Autumn Quarter, were set at the state cap of 6.0% (excluding the \$78 mandatory recreation fee). However, over 55% of undergraduate resident students receive some form of university financial aid. For them, the effective increase is less, and in some cases zero, as financial aid will be increased to offset tuition increases. These increases will permit continued progress in meeting the goals of the Academic Plan, economic diversity will be maintained through offsetting increases in financial aid, and efforts will persist in order to reduce cost pressures on students.

In FY 2007, the University will continue to provide a lower tuition level for students who enrolled prior to summer 2002. It is anticipated that as most of these students graduate the lower tiers will be phased out in FYs 2008 and 2009.

Budget Context

At the beginning of the FY 2007 budget planning process, the university presented a financial benchmark report to its Board of Trustees. The report compares OSU's financial trends with eight benchmark institutions in a number of key areas.

The eight benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Illinois-Urbana/Champaign, Washington, Texas-Austin, Minnesota-Twin Cities, and Arizona. This year, Penn State again was excluded from current and historical analysis due to vast differences in reporting on the Integrated Post Secondary Education Data System (IPEDS) as compared to the other benchmark institutions.

The eight institutions represent public higher education institutions of the highest quality that most closely resemble Ohio State in organization and missions. The institutions represent Ohio State's peers and aspirational peers. Using the most recent audited financial data available, FY 2004, the financial comparisons follow.

Current funds revenues per FTE student at Ohio State are significantly (17%) below the average of our benchmark institutions. However, this represents nearly a 9% improvement over FY 1996, when revenues were 25.9% below the average benchmark. This improvement is due to revenue sources, such as state support, tuition and fees, and government grants and contracts, growing at a faster pace for OSU when compared with the benchmark average during this same period. Thus, despite Ohio

State's revenue sources continuing to lag behind this average (except tuition and fees), the difference between OSU and the benchmark average has decreased dramatically since FY 1996.

State support per student FTE at Ohio State (\$8,684) continues to be lower than the benchmark average (11.1% less in FY 2004). State appropriations are the slowest growing revenue source: the average annual growth rate from 1994 to 2004 is 1.0% in 2004 constant dollars.

While instructional expenditures per student FTE at Ohio State are slightly below (-2.6%) the benchmark average, expenditures on academic support outside the classroom are 30% below the benchmark average.

The comparison of fees and tuition based on FY 2006 data shows:

- Because of reductions in state support and an increased reliance on tuition and fees, average resident undergraduate tuition and fees at Ohio State are 10.3% above the benchmark average in FY 2006.
- Ohio State is ranked higher than any other Ohio public university in academic reputation. The newest student fees are the second lowest among Ohio's six public 4-year universities with selective admissions. This makes Ohio State an excellent value for students and taxpayers, but it also means Ohio State does not have the resources to match our competition in key academic and support areas.

The university will continue its initiative to develop a five-year financial plan benchmarking key academic, demographic and administrative data to inform decisions on budget prioritization.

In FY 2007, total core state support (State Share of Instruction, Research Incentive, Innovation Incentive and Success Challenge) to the Columbus campus will grow by about 2.9%. In FY 2007 the state is providing the Columbus campus with \$334 million in total core state support.. Although this increase is the largest since FY 2001, total state support still remains 1.2% lower than FY 2001 levels. Adjusting for inflation, core state support to the Columbus campus is 14% below FY 2001 levels. In FY 2007, the university will continue to diversify its revenue sources through increased private fund raising and sponsored research.

As a result of the modest increase in state funding and the other cost pressures felt by the university, undergraduate tuition and fees were again increased by 6% for FY 2007, as they were in FY 2006. However, the increases for these two years are the smallest percentage increases since 2001. Need-based financial aid was once more increased proportionately to offset the tuition increase and maintain access for lower income students.

Revised Budget Process

Over the past decade the university has moved toward a decentralized budget process that more directly aligns financial incentives for the colleges with the academic goals of the university. The revised budget process aligns the allocation of new revenues with the Academic Plan and reconciles college base budgets with academic goals. Beginning in FY 2003, annual increases in revenues and expenditures were shared with the colleges based on the following principles of the new budget system:

- The allocation of resources should be mission driven.
- In a large, complex organization, decentralized decision-making works best.
- Any budget system, but particularly one that is decentralized, depends on the creation and maintenance of a timely and user-friendly information system.
- A significant portion of revenues should be explicitly linked to the generating units, specifically the colleges.
- A portion of all revenues should be dedicated to the support of university-wide goals.
- Costs should also be explicitly linked to the generating college or vice presidential area.
- Although the system should be mission driven, predictability and stability are also important characteristics.
- Appropriate oversight and accountability should be provided by the university's governance and administrative structure.
- A carefully thought out transition is essential to the ultimate success of any changes in the budget system.

In FY 2007, a comprehensive review of the shifts in resources among the colleges and academic support units since the inception of the revised budget process in FY 2003 will be undertaken to determine if the budget process is providing greater incentives for units to generate and use resources consistent with the goals of the Academic Plan.

FY 2007 Priorities

Increased revenue generated from the tuition and state funding increases is allocated according to the following strategic principles:

- Support the Academic Plan/Leadership Agenda.
- Support the flow of resources to the colleges to carry out their missions.
- Ensure continued operating efficiencies and high quality support services.
- Advance effective financial stewardship.

The colleges are the key focal points to advance the objectives of the Academic Plan. Consequently, the university's decentralized budget system is designed to ensure that at least 75% of increased annual revenues from tuition and fees and State Share of Instruction as well as that 100% of increased indirect cost recoveries are distributed back to the generating colleges.

Consistent with the Academic Plan, the FY 2007 budget is structured to support the following key University-wide initiatives:

- **Competitive Compensation**
- **Targeted Investments in Excellence**
- **Reform of the Undergraduate Curriculum**
- **Quality Graduate Programs**
- **2008 Enrollment Plan**
- **Enterprise-Wide Systems**

These initiatives are discussed in greater detail in Chapter III, FY 2007 Expenditure Summary.

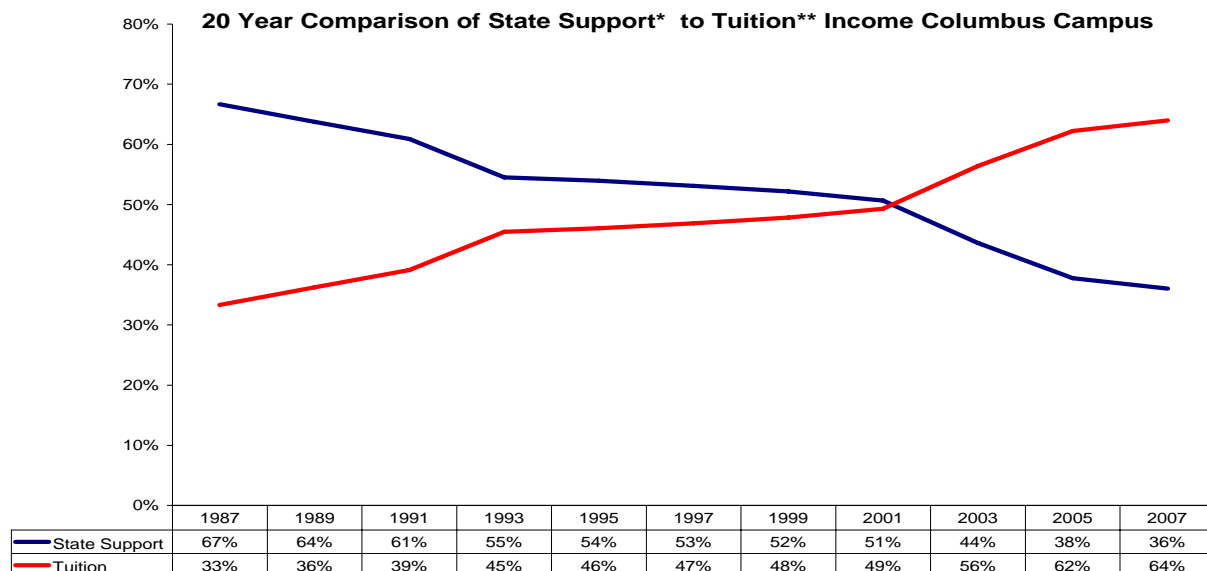
II. FY 2007 Revenue Summary

Overview

The university's total current funds revenues (including general funds, earnings and restricted revenue) are projected to increase by 8.1% from \$3.48 billion to \$3.76 billion between FY 2006 and FY 2007.

General fund revenues for the Columbus campus consist primarily of state support (State Share of Instruction, challenge funding and state line-item appropriations) and student tuition and fees. General fund revenues are projected to increase by \$58.8 million. Of that amount, \$5.3 million is earmarked for increased undergraduate financial aid. That equates to an 8.2% increase above FY 2006 in funds available for undergraduate financial aid and a 6% increase in graduate financial aid.

The FY 2002 current funds budget marked the first time revenue from student tuition exceeded state instructional support at the Columbus campus. Ohio has gone from a position nineteen years ago, when Ohio State's share of instructional support was nearly double its student fee income, to a position today where the state provides a minority share of the instructional funding for Ohio State. The forecast for the foreseeable future is that state support will continue to fall further behind student fee income, putting an even greater financial burden on students and the university.



* As used here, "State Support" includes State Share of Instruction, Success Challenge, Research Incentive (formerly known as Research Challenge), and Innovation Incentive funding.

** "Tuition Income" includes instructional, general, student activity, and recreation fees, and non-resident surcharge.

State Support

Core funding for the university includes State Share of Instruction and five other line-item appropriations, which are known as challenge funding. The amount of challenge funding received is dependent upon the university's performance in meeting the following statewide goals:

Success Challenge – rewards successful completion of baccalaureate programs by at-risk students (OIG-eligible undergraduates) and successful, timely completion of baccalaureate degrees by state residents. In FY 2007, OSU is projected to receive \$11,030,000 in Success Challenge funding.

Research Incentive – rewards success in securing sponsored research from external sources. (Formerly known as Research Challenge.) In FY 2007, OSU is projected to receive \$7,638,000 in Research Incentive funding.

Innovation Incentive – allows institutions to match a portion of their doctoral allocation from the State Share of Instruction allocation with state funds to improve doctoral programs and enhance research in strategic science and technology areas. In FY 2007, OSU is projected to receive \$1,897,000 in Innovation Incentive funding.

Access Challenge – reduces financial barriers to entry-level higher education (two-year campuses only). Reductions in Access Challenge make it more difficult for the regional campuses to keep their tuition low. OSU's regional campuses are projected to receive \$2,868,000 in Access Challenge funding in FY 2007.

Jobs Challenge – rewards successful efforts in meeting community needs for non-credit job training (two-year campuses only). OSU's regional campuses are projected to receive \$413,000 in Jobs Challenge funding in FY 2007.

Priorities in Graduate Education – supports improvements in graduate programs in computer science. In FY 2007 OSU is projected to receive \$572,000 in Priorities in Graduate Education funding.

Student Fees

Student Enrollments

Total undergraduate, graduate and professional Columbus campus enrollments for Autumn Quarter 2006 are projected to be 50,626, up 122 enrollments over Autumn 2005. Due to financial aid incentives and a growing interest in high caliber public education, Ohio State is expecting a slightly larger Autumn Quarter freshman class of 6000. With the expected larger freshmen class as well as continuing improvement in the number of students who stay enrolled (retention), both of which are anticipated to counteract decreasing enrollments due to an increasing number of students who graduate in four years, the estimated undergraduate enrollment level for FY 2007 is projected to increase by 0.4% or be 449 above FY 2006 enrollments.

Graduate enrollments decreased slightly in FY 2006 after several years of a general upswing and are projected to continue a small decline in FY 2007, due to fewer expected non-resident students. Professional student enrollments are projected to decrease slightly as well.

Undergraduate Instructional, General, Student Activity and Recreation Fees

In accord with the tuition caps established in the FY 2006 - 2007 biennial state budget, undergraduate general and instructional fees were increased by 6% for FY 2007.

The 6% increase translates into the following fee tier levels for full-time students. In addition to the undergraduate instructional fee and the general fee (consisting of the \$126 per quarter basic general fee plus the \$15 undergraduate student activity fee), the annual amounts shown include the \$9-per-quarter Central Ohio Transportation Authority (COTA) fee. Also included is the mandatory student recreation fee of \$78 per quarter paid by students enrolled for four or more credit hours.

- Tier 1 - \$7,860 annually (\$2,620 per quarter) for full-time continuing undergraduates who were first enrolled at Ohio State prior to Summer Quarter 2002. This tier will phase out in FY 2008.
- Tier 2 - \$8,559 annually (\$2,853 per quarter) for full-time continuing undergraduates who were first enrolled at Ohio State between Summer Quarter 2002 and Spring Quarter 2003. This tier is expected to phase out in FY 2009.
- Tier 3 - \$8,667 annually (\$2,889 per quarter) for full-time students who first enrolled at Ohio State for Summer Quarter 2003 or later.

These tuition increases will provide the university with resources to continue to make progress toward the goals of the Academic Plan and meet the needs of our students as well as continue to be a high value investment to the citizens of Ohio. In FY 2007, new students at the Columbus campus will pay less in tuition and fees than new students at four other Ohio public universities.

Ohio Public 4-Year Universities

Undergraduate Resident Tuition and Fees Rates (new students)

Universities	Credit Hours (Range)	Academic Year 2006-07 Fees ¹
Miami ^{2,3}	12+	\$10,042
Cincinnati ³	12-19	9,381
Bowling Green ³	12+	9,060
Ohio University ³	11-20	8,727
Ohio State-Columbus Campus³	12+	8,667
Kent State ³	11+	8,430
Akron	12-15	8,383
Cleveland State	12-16	7,970
Toledo	12-16	7,940
Wright State	12-18	7,278
Youngstown State	12-16	6,697
Shawnee State	12-18	5,832
Central State	12-18	5,294
Ohio Average		\$7,977

¹ Fees for full-time resident students entering in Autumn 2006. Data Source: Institutional campus representative.

² Miami's annualized fall tuition and fees rate is \$22,619. However, Ohio residents receive an Ohio Resident Scholarship ranging from \$4,705 to \$5,000 and an Ohio Leadership Scholarship ranging from \$6,080 to \$9,125 per year. These scholarships reduce Miami's resident undergraduate tuition to an average of \$10,042 per year.

³ Institutions with selective admissions.

Graduate and Professional Fees

Masters and PhD instructional, general, and student activity fees will increase \$498 per year (6%), or \$166 per quarter. The graduate student activity fee (\$15 per quarter for full-time students) supports graduate and professional student organizations and programs.

Some graduate and professional students pay a higher, or differential, fee. Revenue generated from FY 2007 differential fee increases is earmarked to support the graduate and professional programs that generate the fee income.

Eighteen graduate and professional programs will have differential fees in FY 2007. Twelve of these programs will have differential fee increases in excess of a base fee increase of 6.0%. The following table specifies the various graduate and professional programs' differential fee increases for full-time graduate and professional students effective in Autumn 2006.

FY 2007 Increase for Graduate and Professional Program Differential Fees

College	FY 2007			
	Instructional		Non-Resident Surcharge ⁴	
	Annual Fees	% Incr	Annual Fees	% Incr
Business EMBA	\$34,956	5.1%	N/A	N/A
Business MAcc	20,493	6.0%	\$13,353	6.0%
Business MBA	18,318	9.0%	13,353	6.0%
Business MBA-Part-time	18,282	9.0%	13,353	6.0%
Business MBLE	18,318	9.0%	13,353	6.0%
Business MLHR	9,093	6.0%	13,353	6.0%
Dentistry	22,002	9.5%	27,417	6.0%
Law (semester)	16,868	10.0%	14,418	6.0%
Medicine ⁵	24,609	7.8%	13,602	6.0%
Allied Med. Occ. Therapy	9,366	9.4%	13,353	6.0%
Allied Med. Phy. Therapy	9,585	6.0%	13,353	6.0%
Sch of Public Health MPH/PEP	9,270	6.0%	13,353	6.0%
Sch of Public Health MHA	10,461	6.0%	13,353	6.0%
Optometry	15,273	9.0%	27,417	6.0%
Pharmacy	12,693	9.5%	14,205	6.0%
SBS ⁶ Audiology	9,084	10.0%	13,353	6.0%
SBS ⁶ Masters in Speech and Language Pathology	9,084	10.0%	13,353	6.0%
Vet Medicine	\$18,945	9.0%	\$28,341	6.0%

⁴ Non-resident students pay the non-resident surcharge in addition to the instructional fee. All students pay a general fee, student activity fee and recreation fee not shown in this table.

⁵ Represents gross instructional fees for all ranks (1-4). Rank 4 students receive medicine tuition credits that lower their effective fee rates.

⁶ This is the first year of differential fees for two graduate professional programs (audiology and speech-language pathology) in the College of Social and Behavioral Sciences.

Non-Resident Surcharges

Effective Autumn Quarter 2006, the undergraduate non-resident surcharge at all campuses is to increase 6% to \$3,965 per quarter for a full-time student. The non-resident surcharge is also to increase by 6% for all graduate and professional programs at each campus.

Regional Campuses and ATI

The 2007 instructional and general fees for undergraduates attending the regional campuses and ATI, before applying Access Challenge credits, are increasing 6.0% above the 2006 academic year fees.

Resident Undergraduate Annual FY 2007 Tuition Regional Campuses and ATI			
	Tuition	Access Credit	Student Share
Regional Lower Division	\$6,240	(\$576)	\$5,664
Regional Upper Division	6,240	0	6,240
ATI	6,216	(357)	5,859

Undergraduate Program and Technology Fees

The following five programs will have undergraduate program fees in FY 2007:

College/Program	FY 2007 Annual Fees
School of Music	\$450
College of Business	450
College of Nursing	150
College of Biological Sciences	180
School of Allied Med	150

For the College of Business and the School of Music, the FY 2007 program fees represent a \$50 increase over the FY 2006 fees. The undergraduate program fee for the College of Biological Sciences, which is increasing by \$10 over last year's fee, is charged to students in ranks 3 and 4. The undergraduate program fee for the School of Allied Medical Professions is a new fee, to be implemented in Autumn 2006.

Several colleges and programs have established learning technology fees in prior years to fund enhanced technology needed to remain competitive within their fields. The technology fees in the Colleges of Business, Engineering, and Nursing and in the Schools of Music and Public Policy are not increasing this year. The technology fee for the College of the Arts is increasing by \$3 over the FY 2006 fee.

All technology and program fees are prorated by credit hours.

Residence Halls and Other Charges

Housing fees (the second highest expense after tuition) for a resident undergraduate student at Ohio State's Columbus campus are set to increase by 0.3%, or \$21, for FY 2007.

The total cost for a resident undergraduate student to attend Ohio State's Columbus campus and live in university housing will increase approximately 4.3% for a three quarter academic year for a new student. However, for resident undergraduate students who receive some form of university financial aid the increase will be less and in some cases, zero.

Fees and Charges (Annual)	2006 Annual Rate	2007 Annual Rate	Percent Increase Over FY 2006
Tuition ⁷	\$7,929	\$8,406	6.0%
Room & Board ⁸	7,215	7,236	0.3%
Health Insurance ⁹	1,260	1,365	8.3%
Parking ¹⁰	68.40	72	5.3%
COTA Bus Pass	27	27	0.0%
Football Tickets ¹¹	112	116	3.6%
Basketball Tickets ¹²	100	110	10.0%
Recreation Fee ¹³	126	234	85.7%
Total	\$16,837.40	\$17,566.00	4.3%

Sponsored Research

Indirect cost recoveries grow as a function of the level of external research grants awarded to the university. The negotiated indirect cost recovery reimbursement rate is a function of the university's actual expenditures (subject to certain established ceilings) for general administrative and library support services, and research facility and equipment costs. The OSU indirect costs recovery rate on most federal research grants has been approved to increase from 49.5 to 50.0% on grants awarded after July 1, 2006. This will remain the indirect cost recovery rate until July 2009. By FY 2009 it is estimated the additional .5% will generate an additional \$1 million in cost recoveries annually.

The university is currently projecting that growth in the external research grants awarded to the university will level off for FY 2007. This is following four years of unprecedented growth. Colleges and Research Centers are projecting indirect cost recoveries growing at only 2.2% and generating increased revenues of about \$1.6 million over FY 2006 levels.

⁷ Instructional and general (includes student activity) fee for students first enrolled after Spring Quarter 2003.

⁸ Weighted average undergraduate room rates plus the base meal plan.

⁹ Based on the single comprehensive rate.

¹⁰ Rates are for the Columbus west campus.

¹¹ Student package is 4 games.

¹² Season package is 10 games.

¹³ Increase in recreation fee reflects opening of the final phase of Recreation and Physical Activity Center.

Sponsored Research¹⁴	Actual FY 2004	Actual FY 2005	Actual FY 2006	Budget FY 2007¹⁵
Total Direct Costs	244.2	276.8	290.9	305.4
Percent Change		13.3%	5.1%	5.0%
Indirect Costs	63.9	67.8	72.4	72.0
Percent Change		6.2%	6.7%	-0.4%

Internal Overhead and Service Charges

The regional campus service charge is projected to increase by 7%, resulting in increased revenues of \$294,000 in FY 2007. University overhead paid by earnings units and auxiliaries is increasing by over \$6.1 million in FY 2007. \$4.3 million of the increase will be generated primarily as a result of the growth in hospital revenues and will be used to fund university commitments to the Medical Center and increased costs of supporting the Medical Center.

Internal Overhead	Actual FY 2004	Actual FY 2005	Actual FY 2006	Budget FY 2007
Cost Containment	4.8	4.6	4.6	4.5
Regional Campus Serv Chg	4.8	5.2	4.2	4.5
Earnings Overhead & PP	37.8	39.8	44.2	48.4
Total Internal Overhead	47.4	49.6	53.0	57.4
Percent Change		4.6%	6.9%	8.3%

Endowment Disbursements

In addition to their general funds support, colleges and academic support units receive revenues generated through the investment of endowment assets. In FY 06 approximately 49% of disbursements from endowments were used to support college activities. 95% of this amount is restricted by the donor.

	FY04	FY05	FY06	FY07 (est.)
Amount in Millions	\$63.5	\$77.6	\$84.1	\$89.0
% Change	-1.7%	+22.2%	+8.4%	+5.8%

Note: The figures above were provided by university Treasurer's Office and include all endowment income disbursements.

¹⁴ Figures for FY04 to FY06 provided by OSURF.

¹⁵ Total Direct Costs are estimated at 5% over FY06. Indirect Costs are per the General Funds Budget.

Other Income

Additional sources of general funds income include interest income and miscellaneous administrative fees and charges income. As a category, budgeted revenue from other income is projected to increase 8.2% over FY 2006 budgeted levels. Because of the volatility of the return on investments, the annual budget does not assume the actual increase earned in the prior year will be realized in its entirety. The interest earned above the budgeted annual rate is used to fund one time needs in the following year.

Other Income	Actual FY 2004	Actual FY 2005	Actual FY 2006	Budget FY 2007
Investment Income	10.1	14.1	24.8	12.6
Miscellaneous Income	1.4	1.0	1.0	0.6
Total	11.5	15.1	25.8	13.2

III. FY 2007 Expenditure Summary

Resource Allocation

FY 2007 is the fifth year of Ohio State's restructured budget process. This budget process aligns the allocation of resources with the Academic Plan and decentralizes much of the decision making about expenditure priorities to the college and vice-presidential level.

In FY 2007, Ohio State's current funds budgeted expenditures for all campuses total \$3.72 billion including \$1.12 billion in general funds, \$1.87 billion in funds generated from earnings and auxiliary operations and \$724 million in estimated restricted expenditures.

At the Columbus campus, general funds support of a continuing level of services for FY 2007 requires \$1.09 billion, including \$607 million to support existing faculty and staff levels and \$84.2 million to support existing facilities operations costs. The total FY 2007 financial aid budget including graduate fee authorizations is \$182 million or 16.2% of the university's general funds budget.

The FY 2007 budget is structured to support key University-wide initiatives, consistent with the Academic Plan:

- **Competitive Compensation**
- **Targeted Investments in Excellence**
- **Reform of the Undergraduate Curriculum**
- **Quality Graduate Programs**
- **2008 Enrollment Plan**
- **Enterprise-Wide Systems**

Funding for these initiatives is described below:

- A. **Competitive Compensation** – will be funded from college and support unit operating budgets. Most units are able to fund a raise pool of 3.0% for faculty, staff and student employees without a reallocation. The Provost is selectively supporting high quality academic units that lag behind their benchmark faculty salary levels with re-basing transfers.
- B. **Targeted Investments in Excellence** – 2007 is the first year of a planned five year funding cycle for 10 programs selected as Targeted Investments in Excellence. In total, over \$50 million will be invested in these initiatives over the next five years. In 2007, \$9 million one-time and \$1 million continuing

funds are provided to strengthen high quality, high impact research initiatives. Those initiatives chosen to receive funds include:

- Climate, Water and Carbon Program,
- Public Health Preparedness Program for Emerging Infectious Disease Threats,
- Mathematical Biosciences,
- Center for Cosmology and Astro-Particle Physics,
- Center for Clean and Sustainable Energy,
- Advanced Materials Initiative,
- Multidisciplinary Initiative in Population and Health,
- Translational Plant Sciences,
- Music Industry Program,
- MicroRNA Genes in Diagnosis, Prognosis, Prevention, and Therapy of Cancer.

In addition, the Logistics Management Program will be funded internally by the College of Business.

- C. **Reform of the Undergraduate Curriculum** – analysis of financial impact is continuing. Some funds to support this initiative are reserved in the Provost's base budget.
- D. **Quality Graduate Programs** - these funds will come from a \$3.6 million pool set aside from state Innovation Incentive funds and university funds to be distributed by the Graduate School. Funds will be targeted to Science, Technology and Engineering programs as provided for in the Economic Growth Challenge.
- E. **2008 Enrollment Plan** – the recruitment effort will be successfully completed one year early, in FY 2007. \$1.4 million for additional financial aid and \$500,000 to support recruiting are funded through the student services assessment in FY 2007 to raise academic qualifications of the FY 2008 incoming freshman class to targeted levels. This includes an average ACT score of 27. Financial aid funding for this initiative will carry through FY 2008.
- F. **Enterprise-wide Systems** – are funded through multi-year cash assessments. Funded in FY 2007 is \$9.4M cash for the Student Information System (SIS) (Phase II of V) and \$2.5M cash for the Grants Management System (Phase III of IV). Total cost of the Grants Management System is \$13 million. The expected cost of the SIS installation is between \$40 and \$50 million.

In addition, the FY 2007 budget continues to support the review of core academic support processes in order to reduce expenses and improve services. Three new or

enhanced cost reduction initiatives are being funded in 2007. They are summarized below:

Plan for Health – an investment of \$2.0 million in wellness programs and coordinated care management. This is expected to save \$3 to \$6 million each year in years one to three, and \$5 to \$8 million each year thereafter, by improving the health of University faculty and staff and improving the effectiveness of care of chronic conditions.

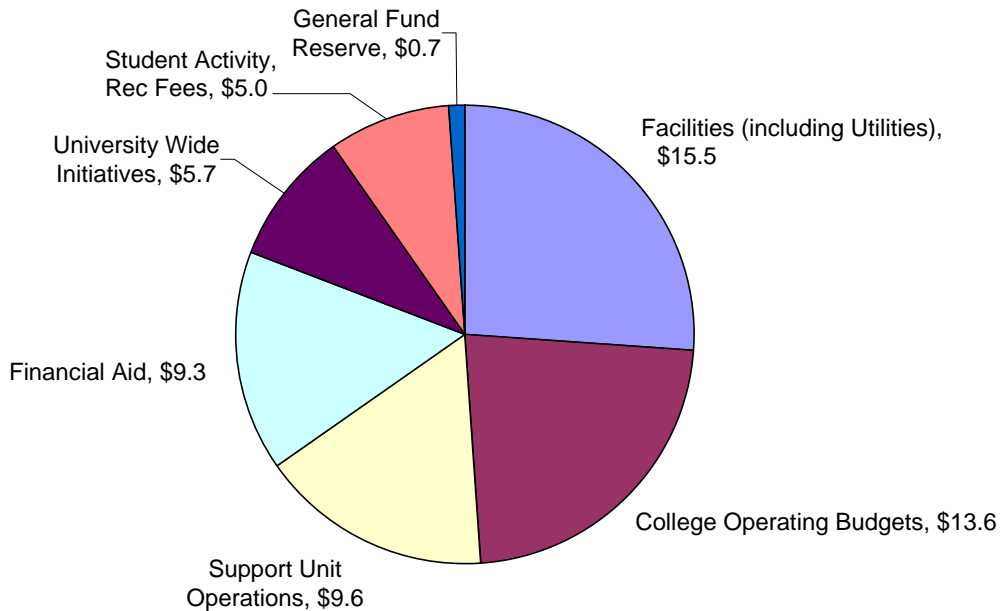
Transition to Work – this plan is modeled after successful efforts elsewhere that demonstrate savings to employers in Worker's Compensation costs and significant benefits to employees by returning employees with temporary medical or psychological restrictions to work sooner. An up front investment of \$289,000 is expected to save at least \$2.8 million annually.

Energy Management and Sustainability – the rising cost of energy has made it cost effective to enhance conservation efforts and explore alternative energy sources across campus. This request includes \$236,000 in continuing funds and \$200,000 in one-time funds to improve metering and building designs. Additional internal funds will be used to fund building energy audits as part of this program. Based on current energy costs, long term savings are expected to be over \$2.0 million annually.

Changes by Category

Expenditures and planned reserves for FY 2007 are increasing by \$59.4 million allocated as shown below; \$58.7 is from FY 2007 marginal increase in revenues and the general fund reserve remains at \$0.7.

**FY07 Continuing Funds Increase by Category
Columbus Campus
(In millions)**



**FY07 Continuing Funds Increase by Category
Columbus Campus
(In Millions)**

Category	FY 07 Increase	Percent of Growth
Facilities (including Utilities)	\$15.5	26.1%
College Operating Budgets	13.6	22.9%
Support Unit Operating Budgets	9.6	16.2%
Financial Aid (Graduate and Undergraduate)	9.3	15.7%
University Wide Initiatives	5.7	9.6%
Student Activity, Recreation Fees	5.0	8.4%
General Fund Reserve	0.7	1.2%

**General Funds Expense Summary FY 2007 – Columbus Campus,
Continuing Funds (In Millions)**

Category	FY 2006	FY 2007	Difference	% Change
Facilities (including Utilities)	\$68.7	\$84.2	\$15.5	22.6%
College Operating Budgets	525.4	539.0	13.6	2.6%
Support Unit Operating Budgets	266.5	272.1	5.6	2.1%
Student Financial Aid				
Undergraduate	63.7	69.0	5.3	8.3%
Graduate and Professional ¹⁶	66.7	70.7	4.0	6.0%
University Wide Initiatives ¹⁷	29.0	34.7	5.7	19.7%
Service Improvements and Mandates ¹⁸		4.0	4.0	NA
Student Activity and Rec Fees	8.1	13.1	5.0	61.7%
Sub Total	\$1028.1	\$1,086.8	\$58.7	5.7%
Minus Undergrad Student Financial Aid	(63.7)	(69.0)	(5.3)	8.3%
Revised Total	\$964.7	\$1,017.8	\$53.4	5.5%

Areas of emphasis for FY 2007 include:

Support of Facilities – The major challenge in allocating limited growth in revenues to the university's areas of strategic emphasis was funding the huge increase in the cost of heating, lighting and cooling of buildings. In FY 2006, utility costs exceeded budget by almost \$9 million, primarily due to increased fuel oil and natural gas prices. A \$10 million cash utility reserve allowed the university to pay its bills without reallocating in the middle of FY 2006. Reflected in the \$15.5M growth in facilities cost is the 2006 utility rate increase, compounded by the additional 2007 utility rate increase, normal inflation in building operations and maintenance expense and a 3.8% expansion in assigned square footage due primarily to the scheduled opening of the Recreation and Physical Activity Center and the Psychology Building.

For the third year of the phased-in maintenance and renovation endowment, the university met its commitment and continued to grow the annual contributions to this important fund established to address long-term maintenance and renovation needs of general fund space added since FY 2000.

The major auxiliary operations, regional campuses, the Ohio Agricultural Research and Development Center, and the Agricultural Technical Institute are also funding maintenance and renewal endowments for their own facilities.

In FY 2007, \$1M was set aside to cover the anticipated initial support expenses for new and highly complex research facilities scheduled to open during the year.

¹⁶ Does not include \$2.4M increase in Graduate Fee Authorizations in College and Support Unit Operating budgets.

¹⁷ Was originally budgeted at \$36M in FY 06. \$7M has been transferred to fund strategic investments in college departmental budgets. This category includes the Provost's and President's Strategic Investment funds and the University Reserves.

¹⁸ Service Improvements and Mandates will be transferred into support unit budgets in FY 2007.

Competitive faculty and staff salaries – Salaries and benefits are budgeted for in the college and support unit operating budgets and comprise 76.6% of the college budgets and 71.4% of the support unit budgets.

In FY 2007, pay increases for faculty (effective October 2006) are projected to average nearly 4%. Pay increases for staff, also effective in October, will average 3.5%. The variance among colleges in the average faculty pay increase is a reflection of the competitiveness of the faculty salaries with each department's benchmark institutions. However, the primary criterion for an individual's compensation is performance. Pay increases in many units will be partially funded by a reallocation of existing budget. In some instances, this will be accomplished by not filling vacant positions; in other cases, by using internal funding sources or by reducing operating costs. Some rebasing funds were allocated by the provost to high performing departments with average salary levels below their benchmarks.

Student Financial Aid – Financial aid funds are increased at a rate that ensures students who are otherwise qualified will not be denied admission for financial reasons. For FY 2007, about 27% of the university's growth in tuition was allocated to student financial aid. This increases the amount budgeted for undergraduate student financial aid in FY 2007 by \$5.3 million over FY 2006 levels and includes the \$1.4 million for additional financial aid to support recruiting for the 2008 Enrollment Plan, the university's initiative to raise academic qualifications of the incoming freshman class to targeted levels, including an average ACT score of 27.

An additional \$4.0 million is budgeted to support the growth in graduate fee authorizations.

University-Wide Initiatives – The \$5.7M in annual rate set aside for university-wide initiatives is primarily made up of the President's and Provost's Strategic Investment funds and the \$700,000 in the University's general reserve.

There is \$19.5M in one-time cash targeted for university-wide initiatives in FY 2007, as shown on Table II.4 in the back of this book. These funds come from three primary sources: the President's Strategic Investment Fund, \$7.5M; the President's Strategic Endowment Fund, \$4M; cash carry-forward in the President's Operating Reserve, \$500,000; and cash in central university accounts targeted for university-wide initiatives, \$9.5M. In addition, \$1M has been set aside in the operating reserve, as well as \$1M in the rainy day fund.

Provost's Annual Rate to Invest in University-wide Initiatives – The Provost has \$4.8M to support university-wide initiatives. This includes the \$2M in the Provost's Strategic Investment Fund and an additional \$2M generated from the 5% of marginal increases in tuition and state support. Other funds available to the Provost to invest in areas of strategic importance as identified in the Academic Plan include the \$650,000 available for faculty market adjustments and the Success Challenge Funds received from the state.

Since 2002, the funds generated from the 5% set aside have been used to align colleges' base budgets with the goals of the Academic Plan. This includes moving funds into college base budgets as well as recovering funds from college base budgets. The table below documents the rebasing transfers to and from colleges over a five-year period beginning in FY 2002. As of FY 2006, six of the seven colleges entitled to rebasing transfers have access to funds equal to the five-year rebasing goal. The final part of the original rebasing transfer of \$1.4M to the College of Medicine will be made in FY 2007.

Additional FY 2007 rebasing funds have been allocated by the Provost to colleges on the basis of two considerations: 1) the colleges' need to achieve compensation increase pools competitive with their benchmark programs, and 2) to offset the unusually high increases in utility costs for those colleges whose programs require a significant investment in facilities.

College Rebasing History
(Columbus Campus General Funds Budget – In Millions)

College	FY 02 - FY06	FY07	5 Year Total
Humanities	\$2.70		\$2.70
Social & Behavioral Sciences	1.10		1.10
Biological Sciences	0.60	\$0.04	0.64
MAPS		0.28	0.28
Arts & Sciences Subtotal	\$4.40	\$0.32	\$4.72
Business		0.07	0.07
Engineering		0.48	0.48
FAES		0.20	0.20
Education		0.06	0.06
Social Work		0.02	0.02
Law		0.05	0.05
Public Health	1.00	0.03	1.03
Medicine	5.30	1.40	6.70
Optometry	0.50		0.50
Social Work	0.30		0.30
Human Ecology	0.20		0.20
Subtotal	\$7.30	\$2.31	\$9.61
Nursing	(0.04)		(0.04)
Pharmacy	(0.04)		(0.04)
Dentistry	(0.49)	(0.36)	(0.85)
Subtotal	(0.57)	(0.36)	(0.93)
Net Transfers	\$11.13	\$2.24	\$13.40

The Provost has committed \$1M of the \$2M Strategic Investment Fund for the next five years to support the Targeted Investments in Excellence Initiative.

Remaining Strategic Investment Funds are set aside to fund initiatives like the Reform of the Undergraduate Curriculum which are anticipated to need funding later this year or in future years.

President's Operating Reserve – Recognizing the importance of the University's compliance with federal mandates in the areas of human and animal research, the President has dedicated the \$500,000 in annual rate in FY 2007 to the Office of Research's compliance initiative.

In addition, \$100,000 in one-time funding has been set aside for the new College of the Arts facility in the Lazarus building and \$100,000 to support a competitive process to allow Regional Campuses to fund innovative research initiatives.

President's Strategic Investment – In October 2000, the University Board of Trustees approved the creation of the President's Strategic Investment Fund. The purpose of this fund is to allow the President to direct one-time resources into areas of strategic investment supportive of the Academic Plan.

The Board resolution prohibits use of these resources for continuing commitments. Use of these funds is reported annually to the Board of Trustees. Committed funds are identified in the following table.

**President's Strategic Investment Fund
Planned Resources and Commitments
(In Millions)**

Resources		FY 2006	FY 2007	FY 2008	Total
Research Challenge A/R ¹⁹		\$2.71	\$2.71	\$2.71	
Central A/R		5.40	5.40	5.40	
Cash Carried Forward		8.43	1.71	1.32	
Total Available		\$16.54	\$9.82	\$9.43	
Commitments:	Previous Expenditures				
COMPH Pharmacology	\$0.93	\$0.93			\$1.85
Main Library Renovation ²⁰		0.30			0.30
Biomedical Research Tower	4.20	0.80			5.00
Technology Transfer	2.40	0.65			3.05
School of Public Health		1.50	0.50 ²¹		2.00
Humanities & Arts Seed Grants	0.80	0.30			1.10
Campus Beautification	0.25	0.25			0.50
Weinland Park Child Care		1.00			1.00
Interdisciplinary Research	1.00	1.25			2.25
Additional Medical Center Support	2.00	2.00	2.00	3.00	9.00
Math & Biosciences Institute		0.75	0.50		1.25
Development Central Transition		1.00			1.00
Community Safety Center		2.00			2.00
Promotional Spots		0.10			0.10
Student Information System		2.00	0.50 ²¹	0.50	3.00
Targeted Investments in Excellence			5.00	5.00	10.00
Total Commitments	\$11.58	\$14.83	\$8.50²¹	\$8.50	\$43.41
Uncommitted Funds		\$1.71	\$1.32	\$0.93	

¹⁹ Represents 35.5% of Research Challenge budget.

²⁰ No interest loan pending Capital Bill.

²¹ New FY 2007 commitments total \$7.5M. School of Public Health and Math & Biosciences Institute are continuing commitments made in FY 2006.

President's Strategic Endowment – The President's Strategic Endowment income is derived from the investments made possible by a change in Ohio law that allows the University Treasurer to invest University revenues with the University's Endowment Fund to earn a higher rate of return. The FY 2007 allocation consists of \$3.95 million split among the seven commitments shown on the following table.

**The President's Strategic Investment Endowment
Planned Resources and Commitments (in millions)**

Resources:	FY06	FY07	FY08
Interest Income	\$2.28	3.20	TBD
Cash Carried Forward	0.95	2.03	1.28
Total Available	3.23	5.23	1.28
Commitments:			
Development Support	1.20	1.00	1.00
Campus Beautification		0.25	
Ohio Union LEEDS		1.00	
Technology Licensing		0.80	
Arts & Humanities Grants		0.10	
Outreach Office Support		0.30	
Urban Education		0.50	
Total Commitments	1.20	3.95	1.00
Uncommitted Funds	\$2.03	\$1.28	0.28

Central University Cash for University Wide Initiatives – this cash is generated from annual rate held centrally and not needed in its entirety in the prior fiscal years. This cash is reinvested in the following initiatives in FY 2007:

- \$2 million of this cash is being set aside in University reserves to deal with unanticipated needs or opportunities during the fiscal year;
- \$ 1.8 million to support various public safety and security mandates and service improvements;
- \$1 million is set aside to support the Targeted Investment in Excellence initiative;
- \$1 million to support the Medical Center's research initiatives²²;
- \$1 million to meet the University's commitment to fund the development of a new Student Information System;

²² This is a \$5M commitment. In addition to \$1M central university cash, \$2M is from the President's Strategic Investments and \$2M from the Provost.

- \$0.8 million for new software and data management tools including \$500,000 to support a continuing study to identify the best software to support the compliance of the University's researchers with federal reporting requirements;
- \$0.5 million to ensure the design for the new Ohio Union building is a model of energy efficiency (LEEDS compliant);
- \$1.5 million in various other service improvements and prior funding commitments. All University wide initiatives funded in FY 2007 are listed in Table II-4 in the back of this book.

Service Improvements and Mandates – An additional \$4M in continuing funds is allocated primarily for health and safety, research support, support of university development, library support and student services related initiatives.

University Enterprise System Initiatives Funded Through Reallocations – In addition to the university-wide initiatives funded with marginal increases in resources, over \$11.9M is reallocated from college, support unit and auxiliary unit budgets through multi-year cash assessments. Funded in FY 2007 is \$9.4M cash for the Student Information System (SIS) (Phase II of V) and \$2.5M cash for the Grants Management System (Phase III of IV). Total cost of the Grants Management System is \$13 million. The expected cost of the SIS installation is between \$40 and \$50 million.

Changes by College and Academic Support Unit

Another way to look at the allocation of University resources is by sorting the budget by unit responsible for administering the funds. The following tables show the relative size of the college and academic support unit budgets and the growth in their budgets of FY 2007 over 2006.

The budget allocation methodology directs 76% of state support and tuition and almost 100% of indirect cost recoveries to the colleges. This has, as anticipated, resulted in differential net marginal revenue growth among the colleges. The colleges teaching a growing number of credit hours or expanding their research are realizing more growth in general funds revenues than the colleges with flat or declining credit hours or research initiatives. In addition, some professional colleges and programs charge differential fees. Differential tuition revenues are earmarked for the support of the programs in which the students paying the tuition are enrolled.

It is important to recognize that some colleges rely more heavily on general fund revenue than others and therefore are more directly affected by the allocation methodologies. The following table shows fund proportions for FY 2005.

Sources of Funds by College - FY 2005
(In Order of Percent of General Funds)

College	Total Funds (in Millions)	General Funds % of Total ²³	Earnings % of Total ²⁴	Restricted % of Total ²⁵
Humanities	\$58.5	92.8%	0.2%	7.0%
Arts	\$25.9	89.8%	2.7%	7.5%
Social Work	\$5.3	81.9%	1.2%	16.9%
Law	\$20.7	73.7%	0.7%	25.6%
Nursing	\$9.8	69.7%	0.4%	29.9%
Fisher College of Business	\$50.8	67.7%	8.2%	24.1%
Human Ecology	\$13.5	65.8%	3.5%	30.7%
Math & Physical Sciences	\$95.2	65.6%	0.4%	34.0%
Social & Behavioral Sci	\$84.8	64.2%	4.1%	31.7%
Pharmacy	\$20.4	58.3%	0.9%	40.9%
Public Health	\$7.5	56.1%	0.2%	43.7%
Education	\$55.6	55.1%	11.0%	33.8%
Biological Sciences	\$40.7	54.7%	1.3%	43.9%
Dentistry	\$42.8	48.7%	25.1%	26.2%
Engineering	\$161.0	43.6%	9.1%	47.3%
Veterinary Medicine	\$52.4	40.0%	30.3%	29.8%
Optometry	\$15.3	33.3%	17.6%	49.0%
Medicine	\$221.2	28.5%	0.5%	71.0%
Food, Agric & Env Sci ²⁶	\$186.5	15.6%	5.4%	78.9%
Total	\$1,167.8	46.5%	6.1%	47.4%

Note: Due to Interfund transfers, sources may be slightly overstated in certain situations.

²³ General Funds are unrestricted resources available for allocation in support of core instruction, instructional support, and related general administrative and physical plant expenditures. Also include fee authorizations.

²⁴ Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are Veterinary Hospital, University Airport, Reading Recovery, Engineering Experiment Station, Dental Clinic, Optometry Clinic, University Press, The Lantern, etc.

²⁵ Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Sponsored Programs are included.

²⁶ Includes the College of Food, Agricultural & Environmental Science, as well as ATI, OSUE and OARDC.

**Summary of Annual Funds Budget Changes
Available for College Salary and Benefit Increases and Program Expansion
(Columbus Campus General Funds Budget – In Millions)**

	Final 2006 PBA	Incr. 2006 to 2007 - NMR²⁷	Non-GFSA Marginal Program Fees²⁸	Budget Rebasing	Total Incr. from FY 2006 to FY 2007	Percent Incr. with Program Fee
Arts	23.96	1.03	0.06		1.09	4.5%
Biological Sciences	22.69	0.57	0.05	0.04	0.66	2.9%
Humanities	55.16	2.21			2.21	4.0%
MAPS	63.70	0.72		0.28	1.00	1.6%
SBS	54.91	2.33			2.33	4.2%
Exec Dean Arts & Sciences	7.21	0.29			0.29	4.0%
Total Arts and Sciences	227.63	7.15	0.11	0.32	7.58	3.3%
Business	33.78	0.33	0.47	0.07	0.87	2.6%
FAES	17.82	-0.09		0.20	0.11	0.6%
Education	28.71	-0.70		0.05	-0.65	-2.3%
Engineering	60.63	-1.72		0.48	-1.24	-2.0%
Human Ecology	9.32	0.52			0.52	5.6%
Nursing	6.72	0.29			0.29	4.3%
Pharmacy	13.07	-0.35			-0.35	-2.7%
Social Work	4.77	0.12		0.02	0.14	2.9%
John Glenn School ²⁹	1.83	0.13			0.13	7.1%
Dentistry ³⁰	19.50	0.86		0.36	1.22	6.3%
Law (excluding Law Library)	12.93	0.50	0.01	0.03	0.54	4.2%
Medicine ³¹	54.81	2.51	0.09		2.60	4.7%
Public Health	5.29	0.02		0.03	0.05	0.9%
Optometry ³⁰	4.68	0.37			0.37	7.9%
Veterinary Medicine ³⁰	21.41	0.80			0.80	3.7%
Interdisp Grad Programs	0.00	0.11			0.11	NA
Innovation Incentive ³²		3.60			3.60	NA
Total Colleges	\$522.90	\$14.45	\$0.68	\$1.56	\$16.69	3.2%

The additional \$3.6 million set aside from state Innovation Incentive funds and University funds is to be distributed to quality Doctoral programs in FY 2007 by the

²⁷ "NMR" is net marginal revenue. Includes the total marginal allocation minus marginal assessments for space, research administration, student services, and the central tax. Figures also include faculty promotions and differential fees as well as the increase for Graduate Fee Authorizations.

²⁸ Estimated revenue based on applying % increase for FY 07 to actual revenue posted through May 2006. Changes in revenue due to enrollment changes are not reflected here.

²⁹ John Glenn School of Public Affairs was established by resolution of the Board of Trustees on April 7, 2006.

³⁰ Includes Med I SSI allocation based on preliminary data. Will be updated once end-of-year data are available.

³¹ Includes Med II SSI allocation based on preliminary data. Will be updated once end-of-year data are available.

³² To be allocated among the colleges to quality doctoral programs in science, technology, engineering, mathematics, and medicine.

Graduate School. Funds will be targeted to Science, Technology and Engineering programs as provided for in the Economic Growth Challenge.

**Summary of Annual Funds Budget Changes
Available for Support Unit Salary and Benefit Increases and Program Expansion³³
(Columbus Campus General Funds Budget – In Millions)**

Support Units	Final 2006 PBA	Incr. 2006 to 2007 - NMR	University Initiatives, Service Imp. & Mandates³⁴	Total Incr. from FY 2006 to FY 2007	Percent Increase	One Time Funds³⁵
Academic Affairs						
OAA ³⁶	55.45	1.32	0.56	1.88	3.4%	8.39
Graduate School ³⁷	16.97	0.27		0.27	4.7%	
University Libraries	27.82	0.09	0.25	0.34	1.2%	0.10
Undergraduate Studies ³⁸	28.33	0.44	0.58	1.02	3.6%	
Academic Affairs Total	128.57	2.12	1.39	3.51	2.7%	8.49
Ag Admin, OSUE, OARDC	2.78	-0.04		-0.04	-1.4%	0.30
Board of Trustees	0.62	0.01	0.06	0.07	11.3%	0.25
Business and Finance ³⁹	73.61	1.56	0.51	2.07	4.2%	3.20
Legal Affairs	1.59	0.03	0.18	0.21	13.2%	
President	2.21	0.03		0.03	1.4%	
Student Affairs	25.62	0.26	0.10	0.37	1.4%	0.06
University Relations	6.06	0.12		0.12	2.0%	0.10
Exec Dean Arts & Sciences ⁴⁰	0.54	0.01		0.01	1.9%	
Development	NA	NA		0.00	NA	1.90
Support Unit Total	241.60	4.10	2.24	6.34	2.6%	14.30
Research ⁴¹	17.99	0.34	1.00	1.34	7.5%	1.40
Health Sciences	16.39	-0.03	0.40	0.37	2.3%	3.00
Research Supp. Unit Total	34.38	0.31	1.40	1.71	5.0%	4.40

In this chapter, the various categories of university expenditures were summarized. The next chapter examines the university's multi-year commitments and trends over time in expenditures.

³³ Program expansions are reviewed and selected for funding through a process which includes consultation with faculty, deans, and the president's cabinet.

³⁴ Initiatives, Service Improvements, and Mandated funding: \$3.9M funded centrally, \$0.5M Research Administration Assessment, \$1.9 M Student Services Assessment.

³⁵ In addition to this table, one time funds also include \$100,000 regional campuses incentives, \$500,000 for Urban Education to the College of Education, and \$200,000 for the Lazarus project to the College of the Arts.

³⁶ Includes OIT, Human Resources, Provost Office Administration, and Office of Minority Affairs.

³⁷ Does not include \$534,000 increased funding for graduate fellows.

³⁸ Does not include Student Financial Aid.

³⁹ Does not include transitional funding for Biomedical Research Tower, which will be included in FY 2008.

⁴⁰ This funding covers the portion of the Executive Dean's Office that advises non-Arts and Sciences students. The remainder of the office is shown on the preceding page under colleges.

⁴¹ \$1.0M service improvements earmarked for research: \$500,000 compliance to be matched by \$500,000 of reallocated funds from the current research budget.

IV. Multi-Year Commitments

The documentation of multi-year commitments has been part of the annual budget report every year since FY 1996. The purpose of this review is to share with the campus community the nature of these commitments and how they change from year to year. In order to plan effectively, the university needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility and maximizes the opportunities for implementation of the Academic Plan.

Continuing General Funds

The following table lists the explicit multi-year commitments against continuing general funds. The Provost's Strategic Investment Fund is a continuation of existing commitments. GA benefits are contributions made by the university toward the costs of graduate assistant health care benefits in order to keep the student's cost at \$150 per quarter. Cancer Center Match is to fund 10% of the marginal increase in indirect cost recoveries on cancer research over a five year period. Enrollment Plan 2008 is to enhance the quality of undergraduate recruitment efforts. All amounts are additional funds required in that year.

**Multi-Year Continuing General Funds Commitments
(Columbus Campus General Funds Budget – In Millions)**

Commitment	FY07	FY08 Est.	FY09 Est.
Provost Strategic Investment Fund	\$2.0	\$2.0	\$2.0
GA Benefits	0.9	0.0	0.0
Cancer Center Match	0.4	0.4	0.4
Enrollment Plan 2008	1.4	1.5	0.0
Development Support	0.9	TBD	TBD
Total	\$5.6	\$3.9	\$2.4

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. This guideline was established in the mid-1990s in order to preserve future financial flexibility. One percent of the FY 2007 Columbus campus general funds budget is \$10.6 million. The total of the succeeding three years multi-year commitments (FY 2007 thru FY 2009) equals \$11.9 million, slightly over the stated goal. Therefore, caution must be exercised in making additional future multi-year commitments.

In addition to the specific multi-year commitments listed above, the university will continue to need to fund increases in a number of areas of the general funds budget.

These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs
- Student financial aid
- Unfunded legal mandates
- Diversity and outreach
- Other Academic Plan initiatives

One-Time General Funds

The table below identifies a number of multi-year operating budget cash commitments. The only new commitment made in 2008 is the University's commitment to reallocate resources to support the Targeted Investment in Excellence initiatives selected in a University wide competition in 2007.

The remaining commitments include financing plans for the Student Information System and the Grants Management System installations. Planning for the Student Information System began in 2006, with funding of \$5 million from central resources. Beginning in 2007 and continuing through 2012 a 0.5% cash assessment will be charged against all college, support unit and auxiliary unit operating budgets to help offset the costs of installing the new Student Information System. The additional costs up to an estimated \$50M total project cost will be covered with central resources. The targeted completion date of the Student Information System is September 2009.

The Grants Management System is entering the third of a four year financing plan which assesses colleges and research centers cash to cover the \$8M cost of bringing the Grants Management System on-line.

Multi-Year One-Time General Funds Commitments (Columbus Campus General Funds Budget – In Millions)

Commitment	Initial FY	FY 07	FY 08 (est)	FY 09 (est)	FY 10 (est)
Targeted Investments in Excellence (5 yrs) ⁴²	2007	\$9.00	\$9.00	\$9.00	\$9.00
Student Information System (5 yrs)	2006	9.40	10.00	10.00	10.00
Grants Management System (4 yrs)	2005	2.50	2.00	0.00	0.00
Campus Security System (5 yrs)	2004	1.30	2.10	2.10	0.00
Medical Center Support (5 yrs)	2005	5.00	5.00	5.00	0.00
Human Subjects Research System (TBD)	2006	0.50	TBD	0.00	0.00
OSU Pro (TBD)	2007	0.30	TBD	0.00	0.00

⁴² Also invested is \$1 million annual rate each year.

The figures in the Multi-Year Commitment table above do not include capital commitments, which are addressed as part of the biennial capital planning process.

Conclusions

Financial projections indicate that if present trends continue, the university will have sufficient funds to cover its commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the university needs to reduce other commitments or secure additional funds.

Selected Definitions

Current Funds are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

General Funds are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

Auxiliaries are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Transportation & Parking, Fawcett Center, University Airport and Property Management.

Unrestricted refers to the sum of general funds plus earnings operations.

Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

Instruction and Departmental Research includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

Academic Support includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices.

Student Services includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well being, as well as their cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

Institutional Support contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

Plant, Operations and Maintenance includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

Separately Budgeted Research includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

Public Service includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

Scholarships and Fellowships include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

One-Time Funds are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

**SUMMARY OF BUDGETED
RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY
(IN THOUSANDS)**

2005-06			
Revised	2006-07	Dollar	Percent
Budget	Budget	Change	Change

RESOURCES

Government Support					
State	(1)	487,877	509,903	22,025	4.5%
Federal		339,049	339,151	102	0.0%
Local		35,486	35,049	(437)	-1.2%
Subtotal Government Support		862,412	884,102	21,690	2.5%
Student Fees					
Instructional, General & Tuition		595,337	632,780	37,443	6.3%
Other		32,281	38,614	6,333	19.6%
Subtotal Student Fees		627,618	671,394	43,776	7.0%
Other Resources					
Health System	(2)	1,199,632	1,335,108	135,476	11.3%
Auxiliaries		232,828	250,034	17,206	7.4%
OSU - Physicians		184,000	230,000	46,000	25.0%
Departmental Sales & Services		73,718	78,209	4,491	6.1%
Private Grants & Contracts		243,779	250,683	6,904	2.8%
Other		55,191	60,749	5,558	10.1%
Subtotal Other Resources		1,989,148	2,204,783	215,635	10.8%
Total Resources		3,479,178	3,760,279	281,101	8.1%

EXPENDITURES

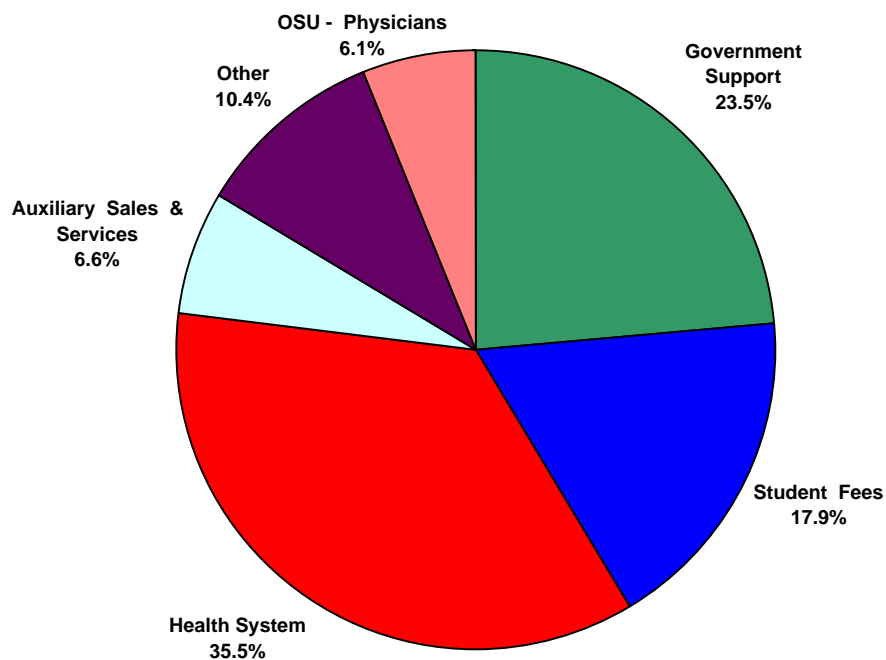
Instructional & General	(1)	1,152,441	1,211,576	59,135	5.1%
Separately Budgeted Research		383,234	385,739	2,505	0.7%
Public Service		122,204	128,727	6,523	5.3%
Scholarships & Fellowships		171,926	182,120	10,194	5.9%
Auxiliaries		244,463	261,001	16,538	6.8%
Health System	(2)	1,192,823	1,326,528	133,705	11.2%
OSU - Physicians		168,010	223,000	54,990	32.7%
Total Expenditures		3,435,101	3,718,691	283,590	8.3%

(1) Priorities in Graduate Education was inadvertently omitted from totals for Appropriations (Resources) and Instructional & General (Expenditures) in the FY 2006 Current Funds Budget. Its inclusion here added \$572K to 2005-06 State Government Support.

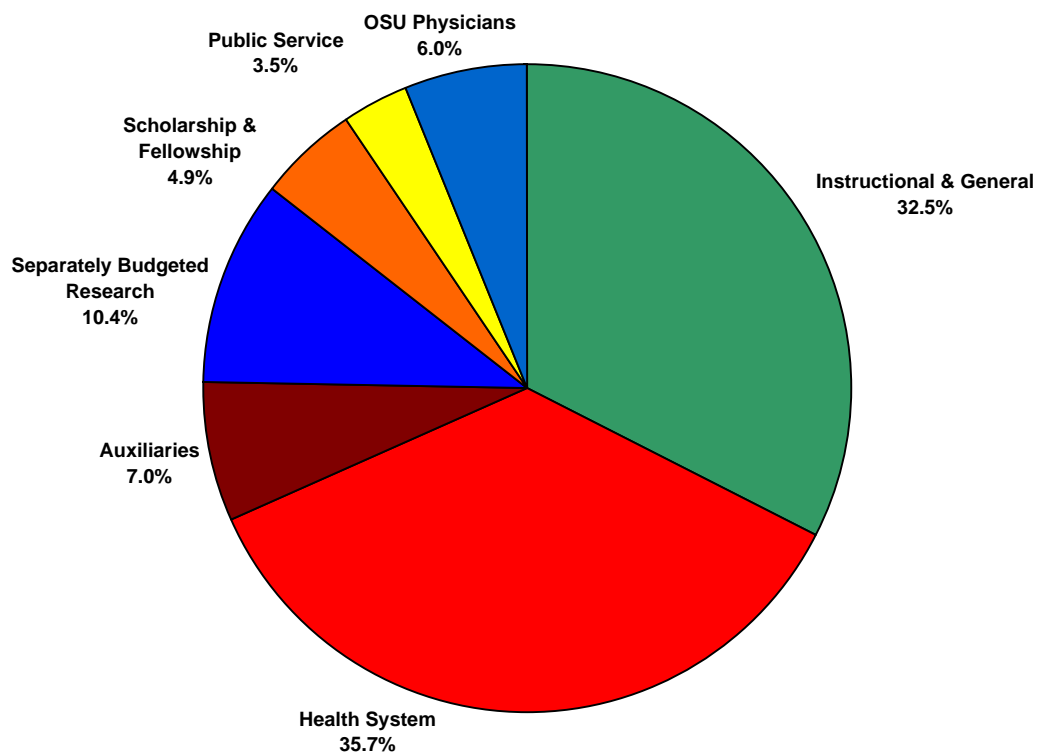
(2) Health Systems budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY - FY 2007

RESOURCES BY SOURCE



EXPENDITURES BY FUNCTION



SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

INSTRUCTIONAL & GENERAL FEES				NONRESIDENT SURCHARGE			
FY 2006 Fees	FY 2007 Fees	Dollar Change	Percent Change	FY 2006 Fees	FY 2007 Fees	Dollar Change	Percent Change
7,170	7,599	429	6.0%	11,223	11,895	672	6.0%
7,827	8,298	471	6.0%	11,223	11,895	672	6.0%
7,929	8,406	477	6.0%	11,223	11,895	672	6.0%
8,634	9,132	498	5.8%	12,597	13,353	756	6.0%
8,952	9,471	519	5.8%	12,597	13,353	756	6.0%
17,181	18,696	1,515	8.8%	12,597	13,353	756	6.0%
17,148	18,660	1,512	8.8%	12,597	13,353	756	6.0%
33,651	35,334	1,683	5.0%	12,597	13,353	756	6.0%
19,707	20,871	1,164	5.9%	12,597	13,353	756	6.0%
8,634	9,462	828	9.6%	12,597	13,353	NA	NA
10,245	10,839	594	5.8%	12,597	13,353	756	6.0%
9,120	9,648	528	5.8%	12,597	13,353	756	6.0%
9,120	9,648	528	5.8%	12,597	13,353	756	6.0%
9,417	9,963	546	5.8%	12,597	13,353	756	6.0%
8,940	9,744	804	9.0%	12,597	13,353	756	6.0%
11,967	13,071	1,104	9.2%	13,401	14,205	804	6.0%
20,469	22,380	1,911	9.3%	25,866	27,417	1,551	6.0%
14,388	15,651	1,263	8.8%	25,866	27,417	1,551	6.0%
17,757	19,323	1,566	8.8%	26,736	28,341	1,605	6.0%
15,710	17,246	1,536	9.8%	13,602	14,418	816	6.0%
23,208	24,987	1,779	7.7%	12,831	13,602	771	6.0%

Notes:

Full time fees for Undergraduate Students are for 12+ credit hours.

Full time fees for Graduate and Professional Students are for 10+ credit hours

- (1) Tier 1 are students who were first enrolled prior to Summer 2002.
Tier 2 are students who were first enrolled between Summer 2002 and Spring 2003.
Tier 3 are students who were first enrolled after Spring 2003.
- (2) This is a new differential fee. This program previously charged the regular Graduate fee.
- (3) Medicine Level 1, 2, and 3 fees. Medicine will offer tuition credits to students in Level 4 bringing their effective instructional fee lower than Levels 1, 2, and 3 by 1.7%.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY BY FUND
(IN THOUSANDS)

2005-06 Revised Budget	2006-2007			Total Budget	Percent Change
	General	Earnings	Restricted		

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

OSU - Physicians

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

(1)

(2)

(3)

326,103	333,801	0	0	333,801	2.4%
116,392	24,332	0	93,996	118,328	1.7%
45,382	7,071	0	50,703	57,774	27.3%
487,877	365,204	0	144,699	509,903	4.5%
339,049	48,051	0	291,100	339,151	0.0%
35,486	5,848	0	29,201	35,049	-1.2%
862,412	419,102	0	465,000	884,102	2.5%
595,337	632,780	0	0	632,780	6.3%
32,281	32,114	6,500	0	38,614	19.6%
627,618	664,894	6,500	0	671,394	7.0%
1,199,632	0	1,335,108	0	1,335,108	11.3%
232,828	0	249,284	750	250,034	7.4%
184,000	0	230,000	0	230,000	25.0%
73,718	0	78,209	0	78,209	6.1%
243,779	12,087	0	238,596	250,683	2.8%
22,900	2,800	9	19,700	22,509	-1.7%
15,758	14,085	3,309	0	17,394	10.4%
16,533	9,954	10,892	0	20,846	26.1%
1,989,148	38,926	1,906,811	259,046	2,204,783	10.8%
3,479,178	1,122,923	1,913,311	724,046	3,760,279	8.1%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

OSU - Physicians

Total Expenditures

(4)

(1)

(2)

711,913	608,956	37,212	100,283	746,451	4.9%
142,970	133,144	1,911	18,725	153,780	7.6%
82,589	75,692	8,978	2,518	87,188	5.6%
125,224	67,915	7,383	45,115	120,414	-3.8%
89,746	88,476	7,235	8,032	103,743	15.6%
1,152,441	974,184	62,719	174,673	1,211,576	5.1%
383,234	33,602	7,810	344,327	385,739	0.7%
122,204	9,186	15,256	104,285	128,727	5.3%
171,926	99,411	48	82,661	182,120	5.9%
244,463	5,226	246,675	9,100	261,001	6.8%
1,192,823	0	1,317,528	9,000	1,326,528	11.2%
168,010	0	223,000	0	223,000	32.7%
3,435,101	1,121,609	1,873,035	724,046	3,718,691	8.3%

- (1) Priorities in Graduate Education was inadvertently omitted from totals for Appropriations (Resources) and Instructional & Departmental Research (Expenditures) in the FY 2006 Current Funds Budget. Its inclusion here added \$572K to 2005-06 Appropriations and Instruction & Departmental Research.
- (2) Health Systems budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.
- (3) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.
- (4) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
COLUMBUS CAMPUS BY FUND
(IN THOUSANDS)**

2005-06 Revised Budget	2006-2007				Percent Change
	General	Earnings	Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

(1)

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

OSU - Physicians

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

(2)

(3)

Total Resources

305,588	313,186			313,186	2.5%
76,259	21,021	57,089		78,110	2.4%
44,655	7,071	50,000		57,071	27.8%
426,502	341,278	0	107,089	448,367	5.1%
323,444	48,051	275,000		323,051	-0.1%
34,709	5,848	29,000		34,848	0.4%
784,655	395,177	0	411,089	806,266	2.8%
557,834	592,809			592,809	6.3%
31,520	31,428	6,500		37,928	20.3%
589,354	624,237	6,500	0	630,737	7.0%
1,199,632	1,335,108			1,335,108	11.3%
232,700	249,134			249,134	7.1%
184,000	230,000			230,000	25.0%
70,000	75,000			75,000	7.1%
241,259	12,087	235,000		247,087	2.4%
22,300	2,800	19,000		21,800	-2.2%
14,900	12,800	3,300		16,100	8.1%
14,760	8,385	10,200		18,585	25.9%
1,979,551	36,072	1,902,742	254,000	2,192,814	10.8%
3,353,560	1,055,486	1,909,242	665,089	3,629,817	8.2%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

(4)

(1)

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

OSU - Physicians

(2)

Total Expenditures

675,430	574,543	35,735	98,572	708,849	4.9%
133,217	125,475	1,894	18,000	145,369	9.1%
74,674	67,137	8,932	2,400	78,470	5.1%
112,919	59,323	7,290	40,000	106,613	-5.6%
78,936	81,856	6,011	2,500	90,368	14.5%
1,075,175	908,334	59,863	161,472	1,129,668	5.1%
347,439	33,602	7,810	308,617	350,029	0.7%
118,298	9,186	13,779	102,000	124,965	5.6%
162,972	98,291	48	74,900	173,240	6.3%
244,156	5,226	246,404	9,100	260,730	6.8%
1,192,823	0	1,317,528	9,000	1,326,528	11.2%
168,010	0	223,000	0	223,000	32.7%
3,308,873	1,054,639	1,868,432	665,089	3,588,160	8.4%

(1) Priorities in Graduate Education was inadvertently omitted from totals for Appropriations (Resources) and Instructional & Departmental Research (Expenditures) in the FY 2006 Current Funds Budget. Its inclusion here added \$572K to 2005-06 Appropriations and Instruction & Departmental Research.

(2) Health Systems budget includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

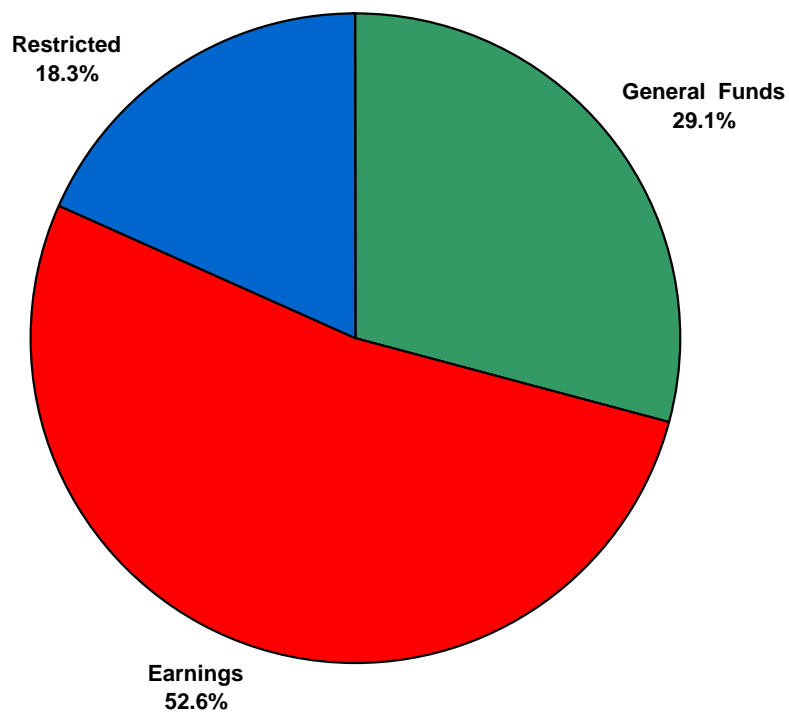
(3) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

(4) General funds expenditures reflect a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

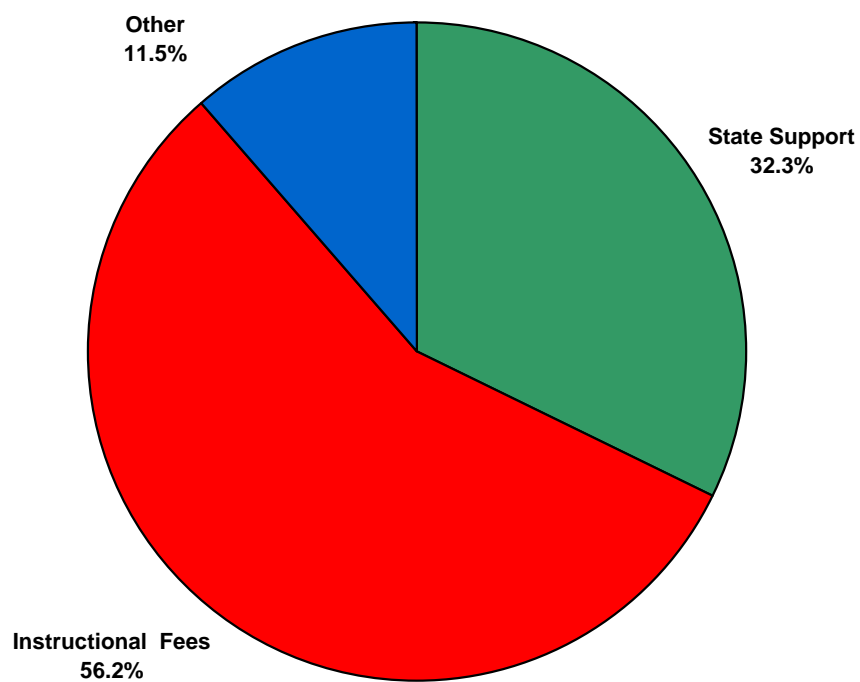
BUDGETED RESOURCES

Columbus Campus - FY 2007

RESOURCES BY FUND



GENERAL FUNDS BY SOURCE



**DETAILED BREAKOUT OF FY 2007 FINAL FUNDING
RECOMMENDATIONS BY PROGRAM CATEGORY
COLUMBUS CAMPUS**

	Continuing Funds	One-Time
Academic Programs		
TIE Support	0	6,000,000
Kirwan Institute	0	200,000
Total	0	6,200,000
Research Support		
Medical Center Support (Phase III of V)	0	3,000,000
Comp. Cancer Center Match	400,000	0
Technology Licensing	0	800,000
Arts & Humanities Grants	0	100,000
Human Subjects Research	0	500,000
(1) Research Compliance	1,000,000	0
Total	1,400,000	4,400,000
Development Support		
Central Support	0	1,900,000
Total	0	1,900,000
Facilities (2)		
Campus Beautification	0	250,000
Ohio Union LEEDS	0	1,500,000
Total	0	1,750,000
Student Services		
Recruitment	500,000	0
COAM Support	51,000	0
OMA Latino Recruitment	25,500	0
African-American Resource Center	97,000	0
Student Counseling	70,000	0
Freshman Seminar	82,000	0
Student Information System (Phase II of V)	0	1,500,000
Total	825,500	1,500,000
Safety and Security		
Avian Flu Prep	0	100,000
OIT Cyber Security	70,000	100,000
Campus Security System (Phase IV of VI)	0	1,300,000
Public Safety Dispatchers	132,600	0
Public Safety Community Services Officers	137,100	0
Business Continuity Implementation	0	250,000
Total	339,700	1,750,000
Mandates		
Office of the Registrar H.B. 95	39,000	0
Office of Minority Affairs FFP	42,000	0
Student Financial Aid Verification	38,500	0
Counseling and Consultation FLSA Compliance	30,000	0
ADA Accommodations	96,000	0
Fire Suppression (Phase I of II)	174,800	0
Disability Services	0	62,000
Public Safety/NIMS Training	0	54,000
Office of Human Resources Child Care	0	40,000
Total	420,300	156,000
Library Support	250,000	100,000
Outreach and Engagement		
Outreach Office Support	0	300,000
Regional Campuses Incentives	0	100,000
WOSU Digital Conversion	0	100,000
Urban Education		500,000
Lazarus Project College of Arts		200,000
Total	0	1,200,000
All Other		
OIT Data Warehouse Support	91,100	0
BOT Administrative Support	59,000	250,000
Legal Affairs General counsel	179,000	0
Additional Internal Auditor	60,000	0
OSU Pro	0	300,000
Total	389,100	550,000
Grand Total	3,624,600	19,506,000

(1) \$1.0M service improvements earmarked for research: \$500,000 compliance to be matched by \$500,000 of reallocated funds from the current research budget.

(2) Does not include transitional funding for Biomedical Research Tower, which will be included in FY 2008.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
LIMA CAMPUS BY FUND
(IN THOUSANDS)

2005-06 Revised Budget	2006-2007			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

OSU - Physicians

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

3,757	3,644			3,644	-3.0%
679	532	67		599	-11.7%
150		168		168	12.0%
4,586	4,176	0	235	4,411	-3.8%
1,633			1,500	1,500	-8.1%
27	0			0	-100.0%
6,246	4,176	0	1,735	5,911	-5.4%
6,804	6,688			6,688	-1.7%
154	145			145	-5.8%
6,958	6,833	0	0	6,833	-1.8%
0				0	
0				0	
0				0	
0				0	
0				0	
133	175			175	31.6%
1,167	764	475		1,239	6.2%
1,300	939	475	0	1,414	8.8%
14,504	11,949	475	1,735	14,159	-2.4%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

OSU - Physicians

Total Expenditures

7,450	6,875	395	160	7,430	-0.3%
1,719	1,698		45	1,743	1.4%
1,220	1,322		30	1,352	10.8%
1,193	1,211		25	1,236	3.6%
(1) 1,277	841		5	846	-33.8%
12,859	11,947	395	265	12,607	-2.0%
70			70	70	0.0%
75			75	75	0.0%
1,400			1,325	1,325	-5.4%
0				0	
0				0	
0				0	
14,404	11,947	395	1,735	14,077	-2.3%

¹Decrease is the result of redistribution of direct costs of POM to technical college

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MANSFIELD CAMPUS BY FUND
(IN THOUSANDS)**

2005-06 Revised Budget	2006-2007			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

OSU - Physicians

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

3,879	3,954			3,954	1.9%
1,146	691	467		1,158	1.0%
100		70		70	-30.0%
5,125	4,645	0	537	5,182	1.1%
1,268			1,700	1,700	34.1%
30				0	-100.0%
6,423	4,645	0	2,237	6,882	7.1%
7,500	8,343			8,343	11.2%
250	125			125	-50.0%
7,750	8,468	0	0	8,468	9.3%
0				0	
46	0	66		66	43.5%
0				0	
475		457		457	-3.8%
0				0	
0				0	
275	340			340	23.6%
205	201			201	-2.0%
1,001	541	523	0	1,064	6.3%
15,174	13,654	523	2,237	16,414	8.2%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

OSU - Physicians

Total Expenditures

5,980	6,016	145		6,161	3.0%
1,346	1,470	15	20	1,505	11.8%
1,485	1,843		25	1,868	25.8%
1,816	1,997	3	40	2,040	12.3%
1,152	1,337		20	1,357	17.8%
11,779	12,663	18	250	12,931	9.8%
135		100		100	-25.9%
573		520		520	-9.2%
2,282	730		1,887	2,617	14.7%
225		187		187	-16.9%
0				0	
0				0	
14,994	13,393	725	2,237	16,355	9.1%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MARION CAMPUS BY FUND
(IN THOUSANDS)

2005-06 Revised Budget	2006-2007			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

OSU - Physicians

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

3,700	3,831			3,831	3.5%
723	735	6		741	2.5%
77		50		50	-35.1%
4,500	4,566	0	56	4,622	2.7%
2,800			2,400	2,400	-14.3%
719				0	-100.0%
8,019	4,566	0	2,456	7,022	-12.4%
8,255	8,615			8,615	4.4%
135	134			134	-1.1%
8,390	8,748	0	0	8,748	4.3%
0				0	
1	0			0	-100.0%
0				0	
48		54		54	12.1%
0		876		876	
0				0	
180	320			320	77.8%
71	69			69	-3.2%
300	389	54	876	1,319	339.6%
16,709	13,703	54	3,332	17,090	2.3%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

OSU - Physicians

Total Expenditures

6,297	6,329	35	100	6,464	2.7%
1,983	1,925	2	40	1,967	-0.8%
2,017	2,054	45	38	2,137	6.0%
2,341	1,775		750	2,525	7.9%
1,112	1,272	6	4	1,282	15.3%
13,750	13,354	88	932	14,375	4.5%
0				0	
900		0	900	900	0.0%
2,055	288		1,500	1,788	-13.0%
1				0	-100.0%
0				0	
0				0	
16,706	13,642	88	3,332	17,063	2.1%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
NEWARK CAMPUS BY FUND
(IN THOUSANDS)**

2005-06 Revised Budget	2006-2007			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

OSU - Physicians

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

4,869	5,006			5,006	2.8%
1,026	993	74		1,067	4.0%
100		100		100	0.0%
5,995	5,999	0	174	6,173	3.0%
2,188		2,100		2,100	-4.0%
0				0	
8,183	5,999	0	2,274	8,273	1.1%
11,011	12,024			12,024	9.2%
222	282			282	27.0%
11,233	12,306	0	0	12,306	9.6%
0				0	
81	84			84	3.1%
0				0	
0				0	
0		20		20	
0				0	
245	400			400	63.3%
268	320			320	19.4%
594	720	84	20	824	38.6%
20,010	19,025	84	2,294	21,403	7.0%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

OSU - Physicians

Total Expenditures

9,994	10,636	65		10,701	7.1%
1,812	1,804			1,804	-0.4%
2,233	2,416			2,416	8.2%
2,218	2,300	100		2,400	8.2%
1,556	1,790			1,790	15.0%
17,813	18,946	0	165	19,111	7.3%
40		15		15	-62.5%
0		10		10	
2,097		2,104		2,104	0.3%
81	84			84	3.7%
0				0	
0				0	
20,031	18,946	84	2,294	21,324	6.5%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
AGRICULTURAL TECHNICAL INSTITUTE BY FUND
(IN THOUSANDS)**

2005-06 Revised Budget	2006-2007			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support

State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

Other Resources

Health System

Auxiliary Sales & Services

OSU - Physicians

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

Total Resources

4,310	4,180			4,180	-3.0%
729	359	338		697	-4.4%
300		200		200	-33.3%
5,339	4,539	0	538	5,077	-4.9%
1,216			1,600	1,600	31.6%
1			1	1	0.0%
6,556	4,539	0	2,139	6,678	1.9%
3,933	4,301			4,301	9.4%
0				0	
3,933	4,301	0	0	4,301	9.4%
0				0	
0				0	
0				0	
1,645		1,408		1,408	-14.4%
20				0	-100.0%
0		9		9	
25	50	9		59	136.0%
62	215	217		432	596.8%
1,752	265	1,643	0	1,908	8.9%
12,241	9,105	1,643	2,139	12,887	5.3%

EXPENDITURES

Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

OSU - Physicians

Total Expenditures

6,262	4,558	1,047	391	5,996	-4.2%
843	772		20	792	-6.0%
960	920		25	945	-1.6%
1,237	1,310		100	1,410	14.0%
1,213	1,380	18	3	1,401	15.5%
10,515	8,940	1,065	539	10,544	0.3%
320			400	400	25.0%
1,358		956	300	1,256	-7.5%
920	102		900	1,002	8.9%
0				0	
0				0	
0				0	
13,113	9,042	2,021	2,139	13,202	0.7%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND
(IN THOUSANDS)

2005-06 Revised Budget	2006-2007			Percent Change
	General	Earnings Restricted	Total Budget	

RESOURCES

Government Support
State Support
State Share of Instruction
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

0			0	
35,830		35,955	35,955	0.3%
0		115	115	
35,830	0	0	36,070	0.7%
6,500		6,800	6,800	4.6%
0		200	200	
42,330	0	0	43,070	1.7%

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

0			0	
0			0	
0	0	0	0	

Other Resources
Health System
Auxiliary Sales & Services
OSU - Physicians
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

0			0	
0		750	750	
0			0	
1,550		1,290	1,290	-16.8%
2,500		2,700	2,700	8.0%
600		700	700	16.7%
0			0	
0			0	
4,650	0	1,290	4,150	17.0%

Total Resources

46,980	0	1,290	47,220	48,510	3.3%
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EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

500		850	850	70.0%
2,050		600	600	-70.7%
0			0	
3,500		90	4,190	19.7%
4,500		1,200	5,500	48.9%
10,550	0	1,290	11,050	17.0%

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Health System
OSU - Physicians

35,230		35,125	35,125	-0.3%
1,000		1,000	1,000	0.0%
200		45	45	-77.5%
0			0	
0			0	
0			0	

Total Expenditures

46,980	0	1,290	47,220	48,510	3.3%
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**SUMMARY OF STATE SUPPORT
COLUMBUS CAMPUS
(IN THOUSANDS)**

2005-06 Revised Budget	2006-2007		Total Budget	Dollar Change	Percent Change
	General Funds	Restrct'd			

CORE FUNDING

Instructional Funding
Mission-Based Core Funding:
 Success Challenge
 Research Incentive (1)
 Innovation Incentive(2)
 Priorities in Graduate Education
Subtotal Core Funding

305,588	313,186		313,186	7,598	2.5%
11,052	11,030		11,030	(22)	-0.2%
7,638	7,638		7,638	0	0.0%
0	1,897		1,897	1,897	100.0%
572		572	572	0	0.0%
324,850	333,751	572	334,323	9,473	2.9%

APPROPRIATIONS

OSU-Specific Line Items:
 Cooperative Extension
 Clinical Teaching
 Sea Grants
 Dental/Veterinary Medicine
 Supercomputer
 OARNET
 Ohio Learning Network
 OSU Glenn Institute
 BioMEMS Program
 Subtotal OSU-Specific Line Items

General Line Items
 Teacher Improvement Initiatives (3)
 Urban Universities
 College Readiness and Access
 Library Book Depository
 Student Support Services
 Capital Component
Medical Items:
 Family Practice
 Primary Care
 Geriatric Medicine
 Area Health Education Center
Subtotal General Line Items

25,645	25,645		25,645	0	0.0%
13,566	13,566		13,566	0	0.0%
232	232		232	0	0.0%
1,277	1,277		1,277	0	0.0%
4,271	4,271		4,271	0	0.0%
3,727	3,727		3,727	0	0.0%
3,119	3,119		3,119	0	0.0%
286	286		286	0	0.0%
100	100		100	0	0.0%
52,223	286	51,937	52,223	0	0.0%
1,196	1,196		1,196	0	0.0%
140	140		140	0	0.0%
319	319		319	0	0.0%
397	397		397	0	0.0%
146	170		170	24	16.4%
1,237	1,237		1,237	0	0.0%
697	706		706	9	1.3%
355	297		297	(58)	-16.2%
107	107		107	0	0.0%
181	181		181	0	0.0%
4,774	170	4,580	4,750	(24)	-0.5%
56,997	456	56,517	56,973	(24)	0.0%

Total Appropriations

OHIO GRANTS & CONTRACTS

44,655	7,071	50,000	57,071	12,416	27.8%
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TOTAL STATE SUPPORT

426,502	341,278	107,089	448,367	21,865	5.1%
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(1) Formerly known as Research Challenge

(2) New line item first implemented in June of 06. The FY 06 amount allocated to OSU was \$948,000.

(3) Teacher Improvement Initiatives consolidates line items for Math/Science Teaching Improvement and the Resource Center for Math/Science/Reading.

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

2005-06 Total Budget	2006-07		Total Budget	Dollar Change	Percent Change
	General Funds	Restrct'd			

LIMA CAMPUS

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total Lima Campus

3,757	3,644		3,644	(113)	-3.0%
533	463		463	(70)	-13.1%
79	69		69	(10)	-12.7%
67		67	67	0	
679	532	67	599	(80)	-11.7%
150	0	168	168	18	12.0%
4,586	4,176	235	4,411	(175)	-3.8%

MANSFIELD CAMPUS

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total Mansfield Campus

3,879	3,954		3,954	75	1.9%
603	602		602	(1)	-0.2%
76	89		89	13	17.1%
467		467	467	0	0.0%
1,068	691	467	1,158	90	8.4%
250		70	70	(180)	-72.0%
5,197	4,645	537	5,182	(15)	-0.3%

MARION CAMPUS

State Share of Instruction
State Appropriations
 Access Challenge
 Jobs Challenge
 Capital Component
 Subtotal Appropriations

State Grants & Contracts

Total Marion Campus

3,700	3,831		3,831	131	3.5%
610	631		631	21	3.5%
107	104		104	(3)	-2.8%
6		6	6	0	0.0%
723	735	6	741	18	2.5%
77		50	50	(27)	-35.1%
4,500	4,566	56	4,622	122	2.7%

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

	2005-06	2006-07		Dollar Change	Percent Change	
	Total Budget	General Funds	Restrct'd			Total Budget
<u>NEWARK CAMPUS</u>						
State Share of Instruction	4,869	5,006		5,006	137	2.8%
State Appropriations						
Access Challenge	883	928		928	45	5.1%
Jobs Challenge	69	65		65		0.0%
Capital Component	74		74	74	0	0.0%
Subtotal Appropriations	1,026	993	74	1,067	41	4.0%
State Grants & Contracts	100		100	100	0	0.0%
Total Newark Campus	5,995	5,999	174	6,173	178	3.0%
<u>AGRICULTURAL TECH INSTITUTE</u>						
State Share of Instruction	4,310	4,180		4,180	(130)	-3.0%
State Appropriations						
Access Challenge	264	243		243	(21)	-8.0%
Jobs Challenge	102	86		86	(16)	-15.7%
Student Support Services	25	30		30		
Capital Component	338		338	338	0	0.0%
Subtotal Appropriations	729	359	338	697	(32)	-4.4%
State Grants & Contracts	300		200	200	(100)	-33.3%
Total ATI	5,339	4,539	538	5,077	(262)	-4.9%
<u>OARDC</u>						
Appropriations	35,830		35,955	35,955	125	0.3%
State Grants & Contracts			115	115	115	0.0%
Total OARDC	35,830	0	36,070	36,070	240	0.7%
<u>TOTAL EXTENDED CAMPUSES</u>						
State Share of Instruction	20,515	20,615	0	20,615	100	0.5%
Appropriations	40,055	3,311	36,907	40,218	163	0.4%
State Grants & Contracts	877	0	703	703	(174)	-19.8%
Total Extended Campuses	61,447	23,926	37,610	61,536	89	0.1%

SUMMARY OF STUDENT FEE INCOME COLUMBUS CAMPUS

(IN THOUSANDS)

FY 2006 Revised Budget	FY 2007 Budget	Dollar Change	Percent Change
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INSTRUCTIONAL FEES

Instructional Fees
General Fees
Non-Resident Surcharge

Subtotal Instructional Fees

OTHER FEES

Student Activity Fee
Application Fees
Acceptance Fees
Recreation Fee (1)
Technology Fees (2)
Program Fees (2)
Study Abroad
Continuing Education
Flight Instruction
Medical Instrument Fees
Other

Subtotal Other Fees

TOTAL STUDENT FEE INCOME

426,105	456,900	30,795	7.2%
18,985	19,234	249	1.3%
112,744	116,675	3,931	3.5%
557,834	592,809	34,975	6.3%
2,201	2,281	80	3.6%
1,840	1,865	25	1.4%
1,075	1,075	0	0.0%
5,896	11,243	5,347	90.7%
4,525	4,100	(425)	-9.4%
1,308	1,824	516	39.4%
3,500	3,700	200	5.7%
400	400	0	0.0%
900	900	0	0.0%
1,800	2,205	405	22.5%
1,775	1,835	60	3.4%
25,220	31,428	6,208	24.6%
583,054	624,237	41,183	7.1%

(1) The recreation fee is to increase from \$42 to \$78/quarter with the opening of the final phase of the Recreation and Physical Activity Center.

2006-2007 STUDENT FEE SCHEDULE FEES PER QUARTER

COLUMBUS CAMPUS

<u>Undergraduate Tier 1*</u>								
Undergraduate Tier 1: Students first enrolled before Summer Quarter 2002								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees COTA		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity					
(1) (2)								
0	198	11	2	9		220	165	385
1	398	21	3	9		431	330	761
2	499	26	3	9		537	661	1,198
3	597	32	4	9		642	991	1,633
4	797	42	5	78	9	931	1,322	2,253
5	996	53	6	78	9	1,142	1,652	2,794
6	1,196	63	8	78	9	1,354	1,983	3,337
7	1,395	74	9	78	9	1,565	2,313	3,878
8	1,595	84	10	78	9	1,776	2,643	4,419
9	1,794	95	11	78	9	1,987	2,974	4,961
10	1,993	105	13	78	9	2,198	3,304	5,502
11	2,192	116	14	78	9	2,409	3,635	6,044
12+	2,392	126	15	78	9	2,620	3,965	6,585

<u>Undergraduate Tier 2*</u>								
Students first enrolled Spring Quarter 2003 or earlier								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees COTA		Resident Total	Non- Resident Tuition	Non- Resident Total
		Basic	Student Activity					
(1) (2)								
0	218	11	2	9		240	165	405
1	437	21	3	9		470	330	800
2	547	26	3	9		585	661	1,246
3	656	32	4	9		701	991	1,692
4	875	42	5	78	9	1,009	1,322	2,331
5	1,094	53	6	78	9	1,240	1,652	2,892
6	1,312	63	8	78	9	1,470	1,983	3,453
7	1,531	74	9	78	9	1,701	2,313	4,014
8	1,750	84	10	78	9	1,931	2,643	4,574
9	1,969	95	11	78	9	2,162	2,974	5,136
10	2,187	105	13	78	9	2,392	3,304	5,696
11	2,406	116	14	78	9	2,623	3,635	6,258
12+	2,625	126	15	78	9	2,853	3,965	6,818

* See Detail of Selected Rates for clinic, technology, and program fees charged by certain programs.

(1) The Recreation Fee is a flat fee for four or more credit hours.

(2) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE

FEES PER QUARTER

COLUMBUS CAMPUS

Undergraduate Tier 3*								
Students first enrolled after Spring Quarter 2003								
Credit Hours	Instrn'l Fees	General Fees		Rec Fees COTA		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity					
(1) (2)								
0	221	11	2	9		243	165	408
1	443	21	3	9		476	330	806
2	555	26	3	9		593	661	1,254
3	665	32	4	9		710	991	1,701
4	887	42	5	78	9	1,021	1,322	2,343
5	1,109	53	6	78	9	1,255	1,652	2,907
6	1,330	63	8	78	9	1,488	1,983	3,471
7	1,552	74	9	78	9	1,722	2,313	4,035
8	1,774	84	10	78	9	1,955	2,643	4,598
9	1,996	95	11	78	9	2,189	2,974	5,163
10	2,217	105	13	78	9	2,422	3,304	5,726
11	2,439	116	14	78	9	2,656	3,635	6,291
12+	2,661	126	15	78	9	2,889	3,965	6,854

* See Detail of Selected Rates for clinic, technology, and program fees charged by certain programs.

(1) The Recreation Fee is a flat fee for four or more credit hours.

(2) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

<u>Masters & PhD (1)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2)	(3)		
0	183	8	1		9	201	223	424
1	365	16	2		9	392	445	837
2	584	25	3		9	621	890	1,511
3	875	38	5		9	927	1,335	2,262
4	1,167	50	6	78	9	1,310	1,780	3,090
5	1,459	63	8	78	9	1,617	2,226	3,843
6	1,751	76	9	78	9	1,923	2,671	4,594
7	2,043	88	11	78	9	2,229	3,116	5,345
8	2,334	101	12	78	9	2,534	3,561	6,095
9	2,626	113	14	78	9	2,840	4,006	6,846
10+	2,918	126	15	78	9	3,146	4,451	7,597

<u>MLHR (4)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2)	(3)		
0	190	8	1		9	208	223	431
1	379	16	2		9	406	445	851
2	606	25	3		9	643	890	1,533
3	909	38	5		9	961	1,335	2,296
4	1,212	50	6	78	9	1,355	1,780	3,135
5	1,516	63	8	78	9	1,674	2,226	3,900
6	1,819	76	9	78	9	1,991	2,671	4,662
7	2,122	88	11	78	9	2,308	3,116	5,424
8	2,425	101	12	78	9	2,625	3,561	6,186
9	2,728	113	14	78	9	2,942	4,006	6,948
10+	3,031	126	15	78	9	3,259	4,451	7,710

(1) See Detail of Selected Rates for clinic, technology, and program fees charged by certain programs.

(2) The Recreation Fee is a flat fee for four or more credit hours.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

(4) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

2006-2007 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

<u>MBA/MBLE (1)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2) (3)			
0	382	8	1		9	400	223	623
1	763	16	2		9	790	445	1,235
2	1,221	25	3		9	1,258	890	2,148
3	1,832	38	5		9	1,884	1,335	3,219
4	2,442	50	6	78	9	2,585	1,780	4,365
5	3,053	63	8	78	9	3,211	2,226	5,437
6	3,664	76	9	78	9	3,836	2,671	6,507
7	4,274	88	11	78	9	4,460	3,116	7,576
8	4,885	101	12	78	9	5,085	3,561	8,646
9	5,495	113	14	78	9	5,709	4,006	9,715
10+	6,106	126	15	78	9	6,334	4,451	10,785

<u>Part-Time MBA (1)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2) (3)			
0	381	8	1		9	399	223	622
1	762	16	2		9	789	445	1,234
2	1,219	25	3		9	1,256	890	2,146
3	1,828	38	5		9	1,880	1,335	3,215
4	2,438	50	6	78	9	2,581	1,780	4,361
5	3,047	63	8	78	9	3,205	2,226	5,431
6	3,656	76	9	78	9	3,828	2,671	6,499
7	4,266	88	11	78	9	4,452	3,116	7,568
8	4,875	101	12	78	9	5,075	3,561	8,636
9	5,485	113	14	78	9	5,699	4,006	9,705
10+	6,094	126	15	78	9	6,322	4,451	10,773

(1) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

(2) The Recreation Fee is a flat fee for four or more credit hours.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE

FEES PER QUARTER

COLUMBUS CAMPUS

EMBA (1)								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2)	(3)		
0	729	8	1		9	747		747
1	1,457	16	2		9	1,484		1,484
2	2,330	25	3		9	2,367		2,367
3	3,496	38	5		9	3,548		3,548
4	4,661	50	6	78	9	4,804		4,804
5	5,826	63	8	78	9	5,984		5,984
6	6,991	76	9	78	9	7,163		7,163
7	8,156	88	11	78	9	8,342		8,342
8	9,322	101	12	78	9	9,522		9,522
9	10,487	113	14	78	9	10,701		10,701
10+	11,652	126	15	78	9	11,880		11,880

Master of Accounting (1)								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2)	(3)		
0	427	8	1		9	445	223	668
1	854	16	2		9	881	445	1,326
2	1,366	25	3		9	1,403	890	2,293
3	2,049	38	5		9	2,101	1,335	3,436
4	2,732	50	6	78	9	2,875	1,780	4,655
5	3,416	63	8	78	9	3,574	2,226	5,800
6	4,099	76	9	78	9	4,271	2,671	6,942
7	4,782	88	11	78	9	4,968	3,116	8,084
8	5,465	101	12	78	9	5,665	3,561	9,226
9	6,148	113	14	78	9	6,362	4,006	10,368
10+	6,831	126	15	78	9	7,059	4,451	11,510

(1) Students in this program also pay a \$159 learning technology fee prorated by credit hour.

(2) The Recreation Fee is a flat fee for four or more credit hours.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

<u>AuD/MSLP</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
				(1)	(2)			
0	190	8	1		9	208	223	431
1	379	16	2		9	406	445	851
2	606	25	3		9	643	890	1,533
3	908	38	5		9	960	1,335	2,295
4	1,211	50	6	78	9	1,354	1,780	3,134
5	1,514	63	8	78	9	1,672	2,226	3,898
6	1,817	76	9	78	9	1,989	2,671	4,660
7	2,120	88	11	78	9	2,306	3,116	5,422
8	2,422	101	12	78	9	2,622	3,561	6,183
9	2,725	113	14	78	9	2,939	4,006	6,945
10+	3,028	126	15	78	9	3,256	4,451	7,707

<u>Health Administration</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
				(1)	(2)			
0	218	8	1		9	236	223	459
1	436	16	2		9	463	445	908
2	697	25	3		9	734	890	1,624
3	1,046	38	5		9	1,098	1,335	2,433
4	1,395	50	6	78	9	1,538	1,780	3,318
5	1,744	63	8	78	9	1,902	2,226	4,128
6	2,092	76	9	78	9	2,264	2,671	4,935
7	2,441	88	11	78	9	2,627	3,116	5,743
8	2,790	101	12	78	9	2,990	3,561	6,551
9	3,138	113	14	78	9	3,352	4,006	7,358
10+	3,487	126	15	78	9	3,715	4,451	8,166

(1) The Recreation Fee is a flat fee for four or more credit hours.

(2) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE
FEEES PER QUARTER
COLUMBUS CAMPUS

Public Health MPH								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
				(1)	(2)			
0	193	8	1		9	211	223	434
1	386	16	2		9	413	445	858
2	618	25	3		9	655	890	1,545
3	927	38	5		9	979	1,335	2,314
4	1,236	50	6	78	9	1,379	1,780	3,159
5	1,545	63	8	78	9	1,703	2,226	3,929
6	1,854	76	9	78	9	2,026	2,671	4,697
7	2,163	88	11	78	9	2,349	3,116	5,465
8	2,472	101	12	78	9	2,672	3,561	6,233
9	2,781	113	14	78	9	2,995	4,006	7,001
10+	3,090	126	15	78	9	3,318	4,451	7,769

Public Health PEP								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
				(1)	(2)			
0	193	8	1		9	211	223	434
1	386	16	2		9	413	445	858
2	618	25	3		9	655	890	1,545
3	927	38	5		9	979	1,335	2,314
4	1,236	50	6	78	9	1,379	1,780	3,159
5	1,545	63	8	78	9	1,703	2,226	3,929
6	1,854	76	9	78	9	2,026	2,671	4,697
7	2,163	88	11	78	9	2,349	3,116	5,465
8	2,472	101	12	78	9	2,672	3,561	6,233
9	2,781	113	14	78	9	2,995	4,006	7,001
10+	3,090	126	15	78	9	3,318	4,451	7,769

(1) The Recreation Fee is a flat fee for four or more credit hours.

(2) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Master of Physical Therapy</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
				(1)	(2)			
0	200	8	1		9	218	223	441
1	399	16	2		9	426	445	871
2	639	25	3		9	676	890	1,566
3	959	38	5		9	1,011	1,335	2,346
4	1,278	50	6	78	9	1,421	1,780	3,201
5	1,598	63	8	78	9	1,756	2,226	3,982
6	1,917	76	9	78	9	2,089	2,671	4,760
7	2,237	88	11	78	9	2,423	3,116	5,539
8	2,556	101	12	78	9	2,756	3,561	6,317
9	2,876	113	14	78	9	3,090	4,006	7,096
10+	3,195	126	15	78	9	3,423	4,451	7,874

<u>Master of Occupational Therapy</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
				(1)	(2)			
0	195	8	1		9	213	223	436
1	390	16	2		9	417	445	862
2	624	25	3		9	661	890	1,551
3	937	38	5		9	989	1,335	2,324
4	1,249	50	6	78	9	1,392	1,780	3,172
5	1,561	63	8	78	9	1,719	2,226	3,945
6	1,873	76	9	78	9	2,045	2,671	4,716
7	2,185	88	11	78	9	2,371	3,116	5,487
8	2,498	101	12	78	9	2,698	3,561	6,259
9	2,810	113	14	78	9	3,024	4,006	7,030
10+	3,122	126	15	78	9	3,350	4,451	7,801

(1) The Recreation Fee is a flat fee for four or more credit hours.

(2) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE
FEE PER QUARTER
COLUMBUS CAMPUS

<u>Pharmacy</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(1) (2)			
0	265	8	1		9	283	296	579
1	529	16	2		9	556	592	1,148
2	846	25	3		9	883	947	1,830
3	1,269	38	5		9	1,321	1,421	2,742
4	1,692	50	6	78	9	1,835	1,894	3,729
5	2,116	63	8	78	9	2,274	2,368	4,642
6	2,539	76	9	78	9	2,711	2,841	5,552
7	2,962	88	11	78	9	3,148	3,315	6,463
8	3,385	101	12	78	9	3,585	3,788	7,373
9	3,808	113	14	78	9	4,022	4,262	8,284
10+	4,231	126	15	78	9	4,459	4,735	9,194

<u>Medicine (3)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(1) (2)			
0	513	8	1		9	531	284	815
1	1,025	16	2		9	1,052	567	1,619
2	1,641	25	3		9	1,678	907	2,585
3	2,461	38	5		9	2,513	1,360	3,873
4	3,281	50	6	78	9	3,424	1,814	5,238
5	4,102	63	8	78	9	4,260	2,267	6,527
6	4,922	76	9	78	9	5,094	2,720	7,814
7	5,742	88	11	78	9	5,928	3,174	9,102
8	6,562	101	12	78	9	6,762	3,627	10,389
9	7,383	113	14	78	9	7,597	4,081	11,678
10+	8,203	126	15	78	9	8,431	4,534	12,965

(1) The Recreation Fee is a flat fee for four or more credit hours.

(2) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

(3) Medicine Level 1, 2, and 3 fees. Medicine will offer tuition credits to students in Level 4 bringing their effective instructional fee lower than Levels 1, 2, and 3 by 1.7%.

2006-2007 STUDENT FEE SCHEDULE

FEES PER QUARTER

COLUMBUS CAMPUS

<u>Optometry (1)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2) (3)			
0	318	8	1		9	336	571	907
1	636	16	2		9	663	1,142	1,805
2	1,018	25	3		9	1,055	1,828	2,883
3	1,527	38	5		9	1,579	2,742	4,321
4	2,036	50	6	78	9	2,179	3,656	5,835
5	2,546	63	8	78	9	2,704	4,570	7,274
6	3,055	76	9	78	9	3,227	5,483	8,710
7	3,564	88	11	78	9	3,750	6,397	10,147
8	4,073	101	12	78	9	4,273	7,311	11,584
9	4,582	113	14	78	9	4,796	8,225	13,021
10+	5,091	126	15	78	9	5,319	9,139	14,458

<u>Dentistry (4)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
					(2) (3)			
0	459	8	1		9	477	571	1,048
1	917	16	2		9	944	1,142	2,086
2	1,467	25	3		9	1,504	1,828	3,332
3	2,200	38	5		9	2,252	2,742	4,994
4	2,934	50	6	78	9	3,077	3,656	6,733
5	3,667	63	8	78	9	3,825	4,570	8,395
6	4,400	76	9	78	9	4,572	5,483	10,055
7	5,134	88	11	78	9	5,320	6,397	11,717
8	5,867	101	12	78	9	6,067	7,311	13,378
9	6,601	113	14	78	9	6,815	8,225	15,040
10+	7,334	126	15	78	9	7,562	9,139	16,701

(1) Optometry students also pay a flat \$340 per quarter equipment fee.

(2) The Recreation Fee is a flat fee for four or more credit hours.

(3) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

(4) Dentistry students also pay a flat \$984 per quarter equipment fee.

2006-2007 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

<u>Vet Medicine</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
			(1)		(2)			
0	395	8	1		9	413	591	1,004
1	789	16	2		9	816	1,181	1,997
2	1,263	25	3		9	1,300	1,889	3,189
3	1,895	38	5		9	1,947	2,834	4,781
4	2,526	50	6	78	9	2,669	3,779	6,448
5	3,158	63	8	78	9	3,316	4,724	8,040
6	3,789	76	9	78	9	3,961	5,668	9,629
7	4,421	88	11	78	9	4,607	6,613	11,220
8	5,052	101	12	78	9	5,252	7,558	12,810
9	5,684	113	14	78	9	5,898	8,502	14,400
10+	6,315	126	15	78	9	6,543	9,447	15,990

<u>Law (Semester)</u>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees	Rec Fees	COTA	Resident total	Non-Resident Tuition	Non-Resident Total
			(1)		(2)			
0	527	12	2		13.50	554.50	451	1,005.50
1	1,054	24	3		13.50	1,094.50	901	1,995.50
2	1,687	38	4		13.50	1,742.50	1,442	3,184.50
3	2,530	57	7		13.50	2,607.50	2,163	4,770.50
4	3,374	76	9	117	13.50	3,589.50	2,884	6,473.50
5	4,217	95	11	117	13.50	4,453.50	3,605	8,058.50
6	5,060	113	13	117	13.50	5,316.50	4,325	9,641.50
7	5,904	132	15	117	13.50	6,181.50	5,046	11,227.50
8	6,747	151	18	117	13.50	7,046.50	5,767	12,813.50
9	7,591	170	20	117	13.50	7,911.50	6,488	14,399.50
10+	8,434	189	22	117	13.50	8,775.50	7,209	15,984.50

(1) The Recreation Fee is a flat fee for four or more credit hours.

(2) The COTA fee goes to the Central Ohio Transit Authority for student bus passes.

2006-2007 STUDENT FEE SCHEDULE **FEEs PER QUARTER**

LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

<u>Undergraduate - Lower Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	167	7	174	(16)	158	165	323
1	334	13	347	(32)	315	330	645
2	417	16	433	(40)	393	661	1,054
3	501	20	521	(48)	473	991	1,464
4	667	26	693	(64)	629	1,322	1,951
5	834	33	867	(80)	787	1,652	2,439
6	1,001	39	1,040	(96)	944	1,983	2,927
7	1,168	46	1,214	(112)	1,102	2,313	3,415
8	1,335	52	1,387	(128)	1,259	2,643	3,902
9	1,502	59	1,561	(144)	1,417	2,974	4,391
10	1,668	65	1,733	(160)	1,573	3,304	4,877
11	1,835	72	1,907	(176)	1,731	3,635	5,366
12+	2,002	78	2,080	(192)	1,888	3,965	5,853

<u>Undergraduate - Upper Division</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	167	7	174	0	174	165	339
1	334	13	347	0	347	330	677
2	417	16	433	0	433	661	1,094
3	501	20	521	0	521	991	1,512
4	667	26	693	0	693	1,322	2,015
5	834	33	867	0	867	1,652	2,519
6	1,001	39	1,040	0	1,040	1,983	3,023
7	1,168	46	1,214	0	1,214	2,313	3,527
8	1,335	52	1,387	0	1,387	2,643	4,030
9	1,502	59	1,561	0	1,561	2,974	4,535
10	1,668	65	1,733	0	1,733	3,304	5,037
11	1,835	72	1,907	0	1,907	3,635	5,542
12+	2,002	78	2,080	0	2,080	3,965	6,045

**2006-2007 STUDENT FEE SCHEDULE
FEES PER QUARTER**

LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

<u>Graduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	181	5	186	0	186	223	409
1	362	10	372	0	372	445	817
2	579	16	595	0	595	890	1,485
3	869	23	892	0	892	1,335	2,227
4	1,158	31	1,189	0	1,189	1,780	2,969
5	1,448	39	1,487	0	1,487	2,226	3,713
6	1,737	47	1,784	0	1,784	2,671	4,455
7	2,027	55	2,082	0	2,082	3,116	5,198
8	2,316	62	2,378	0	2,378	3,561	5,939
9	2,606	70	2,676	0	2,676	4,006	6,682
10+	2,895	78	2,973	0	2,973	4,451	7,424

AGRICULTURAL TECHNICAL INSTITUTE

<u>Undergraduate</u>							
Credit Hours	Instrn'l Fees	General Fees	Resident Total	BOR - AC Credit	Total After Access	Non-Resident Tuition	Non-Resident Total
0	166	7	173	(10)	163	165	328
1	332	13	345	(20)	325	330	655
2	415	16	431	(25)	406	661	1,067
3	499	20	519	(30)	489	991	1,480
4	665	26	691	(40)	651	1,322	1,973
5	831	33	864	(50)	814	1,652	2,466
6	997	39	1,036	(60)	976	1,983	2,959
7	1,163	46	1,209	(69)	1,140	2,313	3,453
8	1,329	52	1,381	(79)	1,302	2,643	3,945
9	1,496	59	1,555	(89)	1,466	2,974	4,440
10	1,662	65	1,727	(99)	1,628	3,304	4,932
11	1,828	72	1,900	(109)	1,791	3,635	5,426
12+	1,994	78	2,072	(119)	1,953	3,965	5,918

ANNUAL FEE HISTORY COLUMBUS CAMPUS RESIDENT FEES

		FY 1997	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	10 Year Average Increase
Undergraduate:	(1)							
Tier 1	(2)	3,468	5,190	5,991	6,765	7,170	7,599	8.2%
Tier 2	(2)	3,468	5,664	6,540	7,383	7,827	8,298	9.1%
Tier 3	(2)	3,468	5,664	6,624	7,479	7,929	8,406	9.3%
Graduate Programs:	(1)							
Masters & PhD		4,941	6,612	7,206	8,142	8,634	9,132	6.3%
MLHR	(4)	4,941	7,176	7,575	8,448	8,952	9,471	6.7%
MBA	(4)	4,941	12,891	13,635	14,970	17,181	18,696	14.2%
EMBA	(3)	NA	29,331	31,749	34,926	33,651	35,334	NA
Master of Accounting	(3)	NA	16,590	17,556	18,594	19,707	20,871	NA
AuD/MSLP	(4)	4,941	6,612	7,206	8,142	8,634	9,462	6.7%
Health Administration	(4)	4,941	8,100	8,556	9,669	10,245	10,839	8.2%
Public Health MPH	(4)	4,941	6,909	7,620	8,607	9,120	9,648	6.9%
Public Health PEP	(4)	4,941	7,029	7,620	8,607	9,120	9,648	6.9%
Master of Physical Therapy	(3)	NA	7,191	7,866	8,886	9,417	9,963	NA
Master of Occupational Therapy	(3)	NA	NA	7,227	8,142	8,940	9,744	NA
Professional:	(1)							
Pharmacy		5,442	8,610	9,591	10,707	11,967	13,071	9.2%
Dentistry		8,646	14,349	16,020	18,297	20,469	22,380	10.0%
Optometry		7,887	11,286	12,369	13,095	14,388	15,651	7.1%
Veterinary Medicine		8,277	13,188	14,589	16,305	17,757	19,323	8.8%
Law		6,412	11,880	13,024	14,298	15,710	17,246	10.4%
Medicine	(5)	10,155	17,412	19,278	21,897	23,208	24,987	9.4%

- (1) Full time fees for undergraduates are 12+ hours & for Graduates and Professional programs are 10+ hours. Fee numbers include only the instructional and general fees.
- (2) Tier 1 students were first enrolled before Summer 2002.
Tier 2 students were first enrolled between Summer 2002 and Spring 2003.
Tier 3 students were first enrolled after Spring 2003.
- (3) These degree granting programs have been created since 1997.
- (4) These are graduate programs that once charged the graduate fee but now have differential fees.
- (5) Medicine Level 1, 2, and 3 fees. Medicine will offer tuition credits to students in Level 4 bringing their effective instructional fee lower than Levels 1, 2, and 3 by 1.7%.

SUMMARY OF TYPICAL STUDENT FEES COLUMBUS CAMPUS UNDERGRADUATES

TIER 1 STUDENTS First Enrolled Prior to Summer 2002				TIER 3 STUDENTS First Enrolled After Spring 2003			
2005-06 Fees	2006-07 Fees	Dollar Change	Percent Change	2005-06 Fees	2006-07 Fees	Dollar Change	Percent Change

RESIDENT FEES

Instructional & General
Student Activity Fee (1)
Recreation Fee (2)
Room & Board (3)
Subtotal

Health Insurance (4)
Parking (5)
COTA Pass
Football Tickets (6)
Basketball Tickets (7)

Total

7,125	7,554	429	6.0%	7,884	8,361	477	6.1%
45	45	0	0.0%	45	45	0	0.0%
126	234	108	85.7%	126	234	108	85.7%
7,215	7,236	21	0.3%	7,215	7,236	21	0.3%
14,511	15,069	558	3.8%	15,270	15,876	606	4.0%
1,260	1,365	105	8.3%	1,260	1,365	105	8.3%
68	72	4	5.3%	68	72	4	5.3%
27	27	0	0.0%	27	27	0	0.0%
112	116	4	3.6%	112	116	4	3.6%
100	110	10	10.0%	100	110	10	10.0%
16,078	16,759	681	4.2%	16,837	17,566	729	4.3%

NON-RESIDENT FEES

Instructional & General
Non-Resident Tuition
Student Activity Fee (1)
Recreation Fee (2)
Room & Board (3)

Subtotal

Health Insurance (4)
Parking (5)
COTA Pass
Football Tickets (6)
Basketball Tickets (7)

Total

7,125	7,554	429	6.0%	7,884	8,361	477	6.1%
11,223	11,895	672	6.0%	11,223	11,895	672	6.0%
45	45	0	NA	45	45	0	0.0%
126	234	108	85.7%	126	234	108	85.7%
7,215	7,236	21	0.3%	7,215	7,236	21	0.3%
25,734	26,964	1,230	4.8%	26,493	27,771	1,278	4.8%
1,260	1,365	105	8.3%	1,260	1,365	105	8.3%
68	72	4	5.3%	68	72	4	5.3%
27	27	0	0.0%	27	27	0	0.0%
112	116	4	3.6%	112	116	4	3.6%
100	110	10	10.0%	100	110	10	10.0%
27,301	28,654	1,353	5.0%	28,060	29,461	1,401	5.0%

- (1) The student activity fee is within the fee cap, and is considered part of the general fee for undergraduates.
(2) The recreation fee was implemented in Winter Quarter 2005 at \$12/quarter, increased to \$42/quarter in Autumn 2005, and will increase to \$78/quarter in Autumn 2006.
(3) Undergraduate - Average room rate plus basic meal plan.
(4) Student insurance is based on the single student comprehensive rate.
(5) Using west campus rate.
(6) FY 07 increase is \$1.00/game, four game package.
(7) Season package is 10 games.

DETAIL OF SELECTED RATES **OTHER STUDENT FEES** **FY 2007**

Description	Timing	Rate	Percent Change
Student Affairs Auxiliary Operations			
Undergraduate (Average room rates, & basic meal plan)	Quarter	2,412.00	0.3%
Graduate (Single + Phone)	Month	412.00	2.0%
Family Student Housing (2 bedroom apartment)	Month	660.00	0.0%
Board Only (100 meals plus \$100 Buck ID)	Quarter	890.00	-6.3%
Parking & Transportation			
Parking, 4-Wheel Vehicle (Main Campus)	Annual	201.00	5.0%
Parking, 4-Wheel Vehicle (West Campus)	Annual	72.00	5.3%
COTA Bus Pass	Quarter	9.00	0.0%
Recreational Fees			
Football Tickets	Per Game	29.00	3.6%
Basketball Tickets	Per Game	9.00-13.00	10.0% (1)
Golf Course Membership	Annual	550.00	0.0%
Recreation & Physical Activity Center Fee	Quarter	78.00	(2)
Student Health Insurance			
Student	Quarter	455.00	8.3%
Student & Spouse	Quarter	1,293.00	10.8%
Student & Children	Quarter	1,302.00	10.8%
Student, Spouse & Children	Quarter	1,748.00	10.8%
Law Students	Semester	683-2,622	8.3%-8.4%
Early Arriving Students	Month	152-583	8.5%-10.9%
Equipment Fees			
Dental Clinical Education Support Fee	Quarter	984.00	0.0%
Dental Hygiene Clinical Education Support Fee	Quarter	379.00	0.0%
Medicine (Tier 1 Students)	Quarter	600.00	0.0%
Clinic Fees			
Optometry Clinic Usage Fee	Quarter	340.00	0.0%
Dental Hygiene EFDA Fee (Continuing Students)	Quarter	128.00	0.0%
Nursing Clinical Fee	Quarter	250.00	0.0%
Nursing Insurance Fee	Annual	12.00	0.0%
Technology Fees			
College of Arts (Undergraduate & Graduate)	Quarter	53.00	6.0%
College of Business (Undergraduate)	Quarter	112.00	0.0%
College of Business (Graduate)	Quarter	159.00	0.0%
College of Engineering (Undergraduate)	Quarter	110.00	0.0%
College of Engineering (Graduate)	Quarter	120.00	0.0%
College of Nursing (Undergraduate & Graduate)	Quarter	50.00	0.0%
MAPS students in CSE (Undergraduate)	Quarter	73.00	0.0%
Department of Engineering Physics (Undergraduate)	Quarter	73.00	0.0%
School of Music (Undergraduate)	Quarter	50.00	0.0%
School of Public Policy & Management (Graduate)	Quarter	120.00	0.0%
Program Fees			
College of Biological Sciences	Quarter	60.00	20.0%
College of Business (Undergraduate)	Quarter	150.00	50.0%
College of Nursing (Undergraduate)	Quarter	50.00	0.0%
School of Allied Medical Professions (Undergraduate)	Quarter	50.00	New
School of Music (Undergraduate)	Quarter	150.00	50.0%

(1) A \$1.00 increase to lower & upper range tickets; weighted average 10%

(2) The recreation fee was implemented in Winter Quarter 2005 at \$12/quarter, increased to \$42/quarter in Autumn 2005, and will increase to \$78/quarter in Autumn 2006.

DETAIL OF SELECTED RATES **OTHER STUDENT FEES** **FY 2007**

Description	Timing	Rate	Percent Change
Other Student Fees			
Application Fees-Intl (All but Med, Law, Business, Dent)	One Time	50.00	0.0%
Application Fees-Domestic (All but Med, Law, Business, Dent)	One Time	40.00	0.0%
Application Fees-Medicine International	One Time	70.00	0.0%
Application Fees-Medicine Domestic	One Time	60.00	0.0%
Application Fees-Law International	One Time	70.00	0.0%
Application Fees-Law Domestic	One Time	60.00	0.0%
Application Fees-Business International	One Time	70.00	40.0%
Application Fees-Business Domestic	One Time	60.00	33.3%
Application Fees-Dentistry International	One Time	70.00	40.0%
Application Fees-Dentistry Domestic	One Time	60.00	33.3%
Acceptance Fees-Undergraduate	One Time	100.00	0.0%
Acceptance Fees-Professional	One Time	25.00	0.0%
Transcript Fees	Each Request	7.00	0.0%
Dissertation Processing Fee	Each Request	70.00	0.0%
Orientation-Attend Summer Quarter	One Time	50.00	0.0%
Orientation-Attend Other Quarters	One Time	25.00	0.0%

FACULTY, STAFF & DEPARTMENTAL FEES

Health Insurance			
Prime Care Plan			
Individual	Month	54.81	NA (3)
Family	Month	171.27	NA (3)
OSUHP Plan			
Individual	Month	182.33	NA (3)
Family	Month	569.77	NA (3)
Traditional Plan			
Individual	Month	182.33	NA (3)
Family	Month	569.77	NA (3)
Buckeye Plan			
Individual	Month	29.83	NA (3)
Family	Month	93.21	NA (3)
Parking & Transportation			
Parking, 4-Wheel Vehicle A Decal (Main Campus)	Annual	576.00	8.2%
Parking, 4-Wheel Vehicle B Decal (Main Campus)	Annual	300.00	10.3%
Recreational Fees			
Football Tickets	Per Game	48.00	4.3%
Basketball Tickets	Per Game	16.00 - 19.50	0.0%
Golf Course Membership	Annual	1,625.00	0.0%
Golf Course Greens Fees	Per Round	32.00 - 56.00	0.0%
Recreation & Physical Activity Center Fee	Quarter	108.00	
Departmental Rates			
Basic Telephone Service	Month	16.65	0.0%
University Health System	Various	Various	15.0% (4)

(3) Employee Health Insurance will change on January 1st; Premiums listed are for FY06.

(4) Weighted Effective Increase; actual collections will reflect an approximate 7% increase.

SUMMARY OF RESIDENT STUDENT FEES BIG TEN PUBLIC INSTITUTIONS

FOR FY 2006 AND FY 2007

UNDERGRADUATE FEES NEW STUDENTS			
	FY 2006 Fees	FY 2007 Fees	1 Year % Change
1 Penn State	11,508	12,164	5.7%
2 Michigan	9,213	9,724	5.5%
3 Illinois	8,634	9,882	14.5%
4 Minnesota	8,622	9,173	6.4%
5 Michigan State	8,237	8,793	6.8%
6 Ohio State	8,055	8,640	7.3%
7 Indiana	7,112	7,460	4.9%
8 Purdue	6,458	7,096	9.9%
9 Wisconsin	6,284	6,726	7.0%
10 Iowa	5,612	5,935	5.8%
Average	7,974	8,559	7.4%

- Notes: 1) Tuition is for new students entering Autumn Quarter 2006.
 2) FY 2006 data is from AAUDE Data Exchange except for Michigan and Michigan State which were provided by institutional campus representatives and websites.
 3) FY 2007 data are from institutional campus representatives and websites.
 4) OSU tuition excludes \$27 COTA pass through fee.

SUMMARY OF AUXILIARY OPERATIONS
FISCAL YEAR 2007
(IN THOUSANDS)

Resources				Expenditures & Transfers				Net Income
Auxiliary	General Funds	Restricted	Total Budget	Expenditures	Debt Service	Other Transfers	Total Budget	

COLUMBUS CAMPUS

Student Affairs

Auxiliary Operations(1)

Intercollegiate Athletics

Transportation & Parking

University Airport

Space Planning & Real Estate

124,608	5,226		129,834	110,193	16,119	2,653	128,965	869
88,907		9,100	98,007	82,054	15,943		97,997	10
26,146			26,146	19,397	5,716	318	25,431	715
8,611			8,611	7,500			7,500	1,111
862			862	837			837	25
249,134	5,226	9,100	263,460	219,981	37,778	2,971	260,730	2,730

NEWARK CAMPUS

Parking Facility

84			84	84			84	0
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GRAND TOTAL

249,218	5,226	9,100	263,544	220,065	37,778	2,971	260,814	2,730
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(1) Includes Student Housing & Food Service, The Fawcett Center, Student Unions, Regional Housing, Student Health Services, the Schottenstein and the Blackwell. Excludes Schottenstein Center artist fees (\$8M).

Note: All University Bookstores have been outsourced.

STUDENT AFFAIRS AUXILIARY OPERATIONS

(IN THOUSANDS)

		FY 2006 Budget	FY 2007 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>					
Food Service	(1)	27,229	31,384	4,155	15.3%
Housing		48,238	49,750	1,512	3.1%
Fawcett Center	(2)	3,392	3,196	(196)	-5.8%
ATI Residence Hall		2,092	2,115	23	1.1%
Newark Housing		850	971	121	14.2%
Mansfield Housing		689	927	238	34.5%
Ohio Union	(3)	1,324	826	(498)	-37.6%
Blackwell	(4)	11,007	10,562	(445)	-4.0%
Schottenstein Center	(5)	8,287	8,671	384	4.6%
Student Health Services		8,540	9,161	621	7.3%
Other	(6)	6,323	7,045	722	11.4%
General Funds Support		4,944	5,226	282	5.7%
Subtotal Resources		122,915	129,834	6,919	5.1%
<u>EXPENDITURES & TRANSFERS</u>					
Personnel	(7)	40,107	43,839	3,732	9.3%
Benefits		11,189	11,976	787	7.0%
Supplies & Services	(8)	42,420	45,331	2,911	6.9%
Equipment		3,622	2,493	(1,129)	-31.2%
University Overhead		5,758	6,554	796	13.8%
Debt Service	(9)	15,944	16,119	175	1.1%
Other Transfers		1,920	2,653	733	38.2%
Subtotal Expenditures & Transfers		120,960	128,965	8,005	6.6%
<u>NET INCOME</u>		1,955	869	(1,086)	-57.3%

- (1) Mainly attributable to increase in Meal Plan revenue, due to the RA meal plan policy change, along with the popularity and full year impact of new dining operations (Pizza at Drake, Courtside) and projected increases in Catering operation.
- (2) Fawcett's FY 2007 decrease reflects the full year absence of space rental from the Office of Development as well as reduced space availability for rentals, etc. during the construction/renovation period.
- (3) FY 2007 budget reflects only six months of revenue due to closure and pending demolition of the Ohio Union after Autumn Quarter.
- (4) Blackwell's FY 2007 decrease is due to reduced Banquet activity as well as the implementation of accounting changes allowing gratuities to post as a contra expense in the personnel budget pool versus Service Staff Fees and the FCOB transfer as a negative expense.
- (5) Schottenstein Center budget is net of \$8.0M in artist fees for both FY 2006 and FY 2007.
- (6) Larger operations included are Success Center, Buck ID, Summer Conferences, and Drake.
- (7) Personnel increase in FY 2007 is a result of the following major factors:
 - a) Approximately \$800K in new positions within Campus Dining and IT operations, as well as Business Office (for internal control expectations)
 - b) Increase in RA cash stipends as part of change in compensation structure
 - c) Additional students within various Campus Dining locations
- (8) Mainly attributable to expected increases in utility costs. Schottenstein Center artists fees are excluded.
- (9) FY 2007 budget includes full year debt service payments on 2005 bonds.

INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

		FY 2006 Budget	FY 2007 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>					
Auxiliary					
Football		33,271	35,575	2,304	6.9%
Stadium Revenue	(1)	15,090	16,240	1,150	7.6%
Men's Basketball		11,444	12,308	864	7.5%
Other Sports		1,551	1,735	184	11.9%
Golf Course		3,007	3,351	344	11.4%
Other		18,241	19,698	1,457	8.0%
Subtotal Auxiliary		82,604	88,907	6,303	7.6%
Restricted					
Fund Raising		9,000	9,100	100	1.1%
Total Resources		91,604	98,007	6,403	7.0%
<u>EXPENDITURES & TRANSFERS</u>					
Auxiliary					
Personnel		23,531	25,615	2,084	8.9%
Benefits		6,179	6,490	311	5.0%
Supplies & Services		28,723	30,489	1,766	6.1%
Equipment		581	502	(79)	-13.6%
Schottenstein Rent		1,760	1,812	52	3.0%
University Overhead		3,600	4,440	840	23.3%
Athletics Grants-In-Aid	(2)	2,930	3,606	676	23.1%
Debt Service		15,293	15,943	650	4.3%
Other Transfers		0		0	
Subtotal Auxiliary		82,597	88,897	6,300	7.6%
Restricted					
Athletics Grants-In-Aid	(2)	9,000	9,100	100	1.1%
Debt Service		0	0	0	
Subtotal Restricted		9,000	9,100	100	1.1%
Total Expenditures & Transfers		91,597	97,997	6,400	7.0%
<u>NET INCOME</u>					
		7	10	3	NA

(1) Consists of ticket surcharge, club seats, suite rental and scoreboard revenue.

(2) Athletics Grants-In-Aid must be supplemented by Auxiliary funds.

THE OHIO STATE UNIVERSITY HEALTH SYSTEM *
(IN THOUSANDS)

	FY 2006 Budget	FY 2007 Budget	Dollar Change	Percent Change
<u>RESOURCES</u>				
Auxiliary				
Inpatient Revenue (Net)	784,222	862,405	78,183	10.0%
Outpatient Revenue (Net)	369,045	426,914	57,869	15.7%
Other	46,365	45,789	(576)	-1.2%
Subtotal Auxiliary	1,199,632	1,335,108	135,476	11.3%
Restricted				
State Appropriation			0	
Other	7,000	9,000	2,000	28.6%
Subtotal Restricted	7,000	9,000	2,000	28.6%
Total Resources	1,206,632	1,344,108	137,476	11.4%
<u>EXPENDITURES & TRANSFERS</u>				
Auxiliary				
Patient Services	775,975	867,566	91,591	11.8%
Student Education	28,561	30,616	2,055	7.2%
Research	1,658	1,185	(473)	-28.5%
Administration	264,107	285,518	21,411	8.1%
Overhead	23,628	27,991	4,363	18.5%
Plant, Operation & Maintenance	44,356	50,314	5,958	13.4%
Transfers To Plant (Net)	47,538	54,338	6,800	14.3%
Subtotal Auxiliary	1,185,823	1,317,528	131,705	11.1%
Restricted	7,000	9,000	2,000	28.6%
Total Expenditures & Transfers	1,192,823	1,326,528	133,705	11.2%
<u>NET INCOME**</u>	13,809	17,580	3,771	27.3%

* Includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Ross Heart Hospital, Harding Hospital, and Hospital Physician Network.

** Net income takes into account transfers from Health System to the College of Medicine, which reduces Health System's net income.

STATE SUPPORT AND STUDENT FEES
TEN YEAR TREND
COLUMBUS CAMPUS
(IN THOUSANDS)

Fiscal Year	State Support (1)			Student Fees (2)		
	Amount	Dollar Change	Percent Change	Amount	Dollar Change	Percent Change
1997	287,565	11,731	4.3%	253,512	12,461	5.2%
1998	303,057	15,492	5.4%	269,094	15,582	6.1%
1999	311,787	8,730	2.9%	286,218	17,124	6.4%
2000	324,623	12,836	4.1%	306,757	20,539	7.2%
2001	337,754	13,131	4.0%	329,059	22,302	7.3%
2002	322,683	(15,071)	-4.5%	363,150	34,091	10.4%
2003	316,848	(5,835)	-1.8%	410,465	47,315	13.0%
2004	317,978	1,130	0.4%	463,855	53,390	13.0%
2005	320,175	2,197	0.7%	526,571	62,716	13.5%
2006	324,278	4,103	1.3%	557,834	31,263	5.9%
E 2007	333,751	9,473	2.9%	592,809	34,975	6.3%
Ten Year Average Increase			1.5%	8.9%		

- (1) State Support includes State Share of Instruction, Research Incentive (formerly Research Challenge), and Success Challenge funds.
- (2) Student Fees include Instructional, General and Non-Resident Fees.

HEADCOUNT ENROLLMENTS AUTUMN QUARTER, 1996-2006

Year	<u>Columbus Campus</u>							
	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	Pharmacy	Veterinary Medicine
1996	35,485	10,149	683	371	848	249	44	523
1997	35,647	9,907	666	380	855	247	41	535
1998	36,252	9,538	634	375	842	247	94	529
1999	36,092	9,153	628	372	832	248	147	531
2000	35,749	9,382	643	379	832	245	195	527
2001	36,049	9,452	655	395	844	245	300	537
2002	36,855	9,761	673	400	826	244	380	537
2003	37,605	9,931	703	412	843	244	453	540
2004	37,509	10,210	734	418	846	245	490	543
2005	37,411	9,824	710	410	837	251	511	550
E 2006	37,650	9,742	680	409	831	252	502	560

Graduate includes: Masters, PhD, MBA, EMBA, MAcc, MLHR, MPT, MHA, MPH, MOT, PEP, AuD and MSLF

Year	<u>Summary By Campus</u>						
	Columbus	Lima	Mansfield	Marion	Newark	ATI	Grand Total
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
1998	48,511	1,321	1,517	1,141	1,778	965	55,233
1999	48,003	1,323	1,573	1,176	1,883	1,031	54,989
2000	47,952	1,238	1,583	1,276	2,025	969	55,043
2001	48,477	1,356	1,495	1,390	2,079	940	55,737
2002	49,676	1,412	1,513	1,534	2,229	902	57,266
2003	50,731	1,338	1,640	1,567	2,148	830	58,254
2004	50,995	1,281	1,634	1,521	2,143	791	58,365
2005	50,504	1,145	1,610	1,485	2,183	821	57,748
E 2006	50,626	1,153	1,562	1,461	2,245	821	57,868

E - Estimated



TIME AND CHANGE: THE YEAR'S KEY ACADEMIC PRIORITIES



Time and Change:
The Year's Key Academic Priorities

Essentials of the McHale Committee Charge

Although curricula change over time, the guiding questions remain essentially the same.

- What body of knowledge should all of our undergraduate students be expected to master before graduation?
- How can Ohio State best prepare undergraduate students in all of our colleges for a lifetime of learning and citizenship?



Time and Change:
The Year's Key Academic Priorities

Essentials of the McHale Committee Charge (cont)

During the course of your review, I would like you to consider the following aspects of undergraduate education:

- the General Education Curriculum;
- the number of credit hours required for graduation;
- the appropriate role of freshman seminars;
- the staffing of undergraduate courses and faculty-student interaction; and
- the degree to which undergraduate education reflects university priorities such as diversity, interdisciplinarity, research, and outreach.



Time and Change:
The Year's Key Academic Priorities

McHale Committee Findings

- GEC is out of step with today's better prepared student body
- GEC doesn't lead to a coherent educational experience

Guiding Principles for GEC reform

- Coherence
- Flexibility
- Oversight
- Transparency



Time and Change:
The Year's Key Academic Priorities

Recommendations

- Coherence
 - Encourage minors and double majors
 - Institute Freshman Clusters
- Flexibility
 - Provide more scope for student choice
 - Allow minors, double majors, alternative experience to help satisfy non-major requirements
 - Decrease the number of credit hours in GEC



Time and Change:
The Year's Key Academic Priorities

- Oversight
 - Establish a university-wide committee to oversee the quality of general education courses
- Transparency
 - Redesign GEC website
 - Reorganize current category structure
- Additional recommendations
 - Reduce the minimum credit hours for graduation from 191 to 181

	Calendar	Minimum Credits to Graduate (BA)**
Michigan	Semester	120
Michigan State	Semester	120
Minnesota	Semester	120
Penn State	Semester	120
Illinois	Semester	120
Iowa	Semester	120
Wisconsin	Semester	120
Arizona	Semester	120
Texas-Austin	Semester	120
Indiana	Semester	122
Purdue	Semester	126
UCLA	Quarter	180
Washington	Quarter	180
OSU	Quarter	191
Northwestern	Quarter	45 courses (units)

** 120 semester hours = 180 quarter hours

NEXT STEPS

By October, 2006: Review/Action by the Council on Academic Affairs (CAA)

- Provost transmits campus-wide and college responses to CAA
- CAA develops its response/action

By October 30, 2006: Discussion of CAA Action

- CAA action shared/discussed with: Senate Steering Committee, Faculty Council, Arts and Sciences Senate, Council on Student Affairs, Undergraduate Student Government.

By November 2006

- Review of/action on CAA recommendations by the University Senate.

By Autumn 2007

- New curricular requirements take effect for incoming class. Schedule for transition of already-enrolled students to be determined

FULL TEXT OF AMENDMENT

Be it resolved by the people of the State of Ohio, that the Constitution of the State of Ohio be amended by adopting Section 12 of Article XV, to read as follows:

Section 12

"For the purpose of inspiring students to aspire to college at an early age, to improve students' academic preparation, and to make college affordable for students and their families, the Ohio Board of Regents shall award undergraduate higher education scholarships and tuition grants for United States citizens who are residents of this state commencing with the first high school class graduating two years following the approval of this amendment. Eligibility criteria for such scholarships and grants, and the amounts, shall be established solely by the Ohio Board of Regents. Such scholarships and grants shall include only the following:

(A) Individual learn and earn scholarship accounts for current and future students who, prior to enrolling in college, take core and advanced academic courses, participate in college readiness programs, assessment, and testing at any accredited public or non-public high school in this state, and contribute to public life through voluntary civic activity, and who attend any public or independent not-for-profit institution of higher education authorized by the Ohio Board of Regents and that has its principal office within this state.

(B) For the first twelve such high school graduating classes, uniform tuition grants, in an amount not to exceed the average undergraduate tuition charged by Ohio public universities, shall be awarded to the top five percent of students at each accredited public and non-public high school who attend any public or independent not-for-profit institution of higher education authorized by the Ohio Board of Regents and that has its principal office within this state. Such tuition grants shall be based solely on academic merit.

Notwithstanding any other provision of this Constitution, slot machines shall be permitted at the seven permitted commercial horse racing tracks, and at two locations each fronting on the existing main channel of the Cuyahoga River in the City of Cleveland, one on the west bank within the area generally known as the Nautica Entertainment Complex and with frontage of approximately 1,430 feet in length, extending 560 feet to the northwest and 870 feet to the southeast of the intersection of the center line of vacated Main Avenue and the Cuyahoga River, and one on the east bank within the area generally known as Tower City and starting at the northeast corner of West Third Street, where it meets the east bank of the Cuyahoga River and extending north and west along the east bank of the Cuyahoga River for not more than 1,700 feet and having a depth of not more than 460 feet from the east bank of the Cuyahoga River. The games authorized in this section shall be conducted only at the locations authorized herein, and, in the discretion of the facility owner, may be conducted twenty-four hours each day. No more than three thousand five hundred such devices may be operated at any one facility, except that facilities located within the same county may, by agreement, provide for the transfer of such devices between such facilities, provided that no more than four thousand such devices may be operated at a facility not located at a permitted commercial horse racing track. Nothing in this section shall be interpreted to authorize live games associated with casinos, including, but not limited to roulette, card games, and dice games, except that such games may be conducted at the non-track facilities and at the facilities located at a permitted commercial horse racing track situated wholly or partially within Cuyahoga County if the voters of Cuyahoga County approve the conduct of such additional games by a majority vote. No such vote may be conducted prior to the fourth general election following the approval of this amendment.

Thirty percent of gross slot machine revenue shall be paid to the state and shall be used, without necessity of appropriation by the General Assembly, notwithstanding section 22 of Article II of the Ohio Constitution, solely for the scholarships and grants provided for in this section and the related administrative costs for administering such scholarships and grants.

In addition to the forgoing amount, an additional one percent of gross slot machine revenue shall be paid to the state to pay for gambling addiction services; an additional six-tenths of one percent of gross slot machine revenue shall be paid to the municipality or township in which each facility is located; an additional three percent of gross slot machine revenue shall be divided equally and paid to the county in which each facility is located and the county seat of that county, which proceeds shall be expended for economic development projects; an additional eight-tenths of one percent of gross slot machine revenue shall be paid to the county in which the non-track facilities

are located, which proceeds shall be expended for economic development projects; an additional eight-tenths of one percent of gross slot machine revenue shall be paid to the city in which the non-track facilities are located, which proceeds shall be expended for economic development projects; an additional four tenths of one-percent of gross slot machine revenue shall be divided equally and paid to a county that has a population of at least seven hundred and fifty thousand persons and not more than one permitted commercial horse racing track, and the county seat of such county, which proceeds shall be expended for economic development projects; and an additional two and four-tenths percent of gross slot machine revenue shall be paid to the state for distribution to all other counties pursuant to the local government revenue assistance fund, which proceeds shall be expended for economic development or capital improvement projects. In addition to the foregoing amounts, an additional six percent of gross slot machine revenue at facilities located at each permitted commercial horse racing track shall be used by those tracks for purse money. In the event that devices are transferred between facilities located at permitted commercial horse racing tracks as provided in this section, the transferee facility shall distribute equally the amount of funds this section provides for purse money between the transferor and transferee tracks. An additional six percent of gross slot machine revenue at non-track facilities shall be deposited into the Ohio simulcast horse racing purse fund for distribution as provided by law. The proceeds of any additional games, if authorized by voters pursuant to this section, shall be distributed in the same manner as the proceeds from the operation of slot machines. No other fees or taxes may be applied to or levied against gross slot machine revenue or the amounts wagered or the proceeds of the other games authorized by this section.

The amounts paid to the state pursuant to this section do not diminish the General Assembly's constitutional obligations. The moneys expended hereunder on scholarships and grants shall supplement, not supplant, per-student state resources appropriated for post-secondary educational programs and purposes prior to or after the approval of this amendment. The monies distributed to counties, townships, and municipalities hereunder shall supplement, not supplant, monies appropriated for those counties, townships, and municipalities prior to or after the approval of this amendment. The amounts paid to the state or any county, township, or municipality pursuant to this section shall not be subject to any tax or expenditure limitation. With the exception of the foregoing six-tenths of one percent that is paid to the municipality or township in which a facility is located, and notwithstanding the requirements, limitations, or prohibitions of Article VIII, or of Sections 5, 6, and 11 of Article XII of the Ohio Constitution, all of the monies distributed to counties and municipalities in which a facility at which slot machines are permitted shall be, and any of the monies distributed to any other counties, townships, and municipalities may be, expended for or in support of, and be applied to any of the revitalization purposes under Section 2o of Article VIII, research and development purposes and development of sites and facilities in Ohio for and in support of industry, commerce, distribution, and research and development purposes under Section 2p of Article VIII, and any other economic development purposes authorized in Section 13 of Article VIII of the Ohio Constitution.

There is hereby created the Gaming Integrity Commission which shall regulate all gaming authorized by this section, which shall determine all voting issues by majority vote, and which shall consist of five members. Three members of the Gaming Integrity Commission shall be appointed by the governor, no more than two of whom shall be members of the same political party. One member of the Gaming Integrity Commission shall be appointed by the speaker of the house of representatives, and one member shall be appointed by the president of the senate, provided that the members appointed by the legislative leaders shall not be members of the same political party. The reasonably-estimated cost of operating the Gaming Integrity Commission shall be paid from the foregoing amounts to be paid to the counties, townships, and municipalities prior to the distribution to those counties, townships, and municipalities, provided that no more than one percent of gross slot machine revenue may be subtracted from the foregoing amounts to pay the reasonably-estimated cost of operating the Gaming Integrity Commission. Each facility authorized to conduct games pursuant to this section shall pay as a licensing fee an equal share of the reasonably-estimated cost of establishing the Gaming Integrity Commission. Each facility which may be authorized pursuant to this section to conduct live games associated with casinos shall pay an additional licensing fee in the amount of fifteen million dollars, which amount shall be divided equally and paid to the county in which each such facility is located and the county seat of that county, and which amount is payable upon the initial opening of the facility regardless of whether such additional games are eventually authorized, and which amount shall be expended for economic development or capital improvement projects. No other licensing fees shall be imposed upon any of the facilities authorized to conduct games pursuant to this section.

The General Assembly shall pass laws within six months of the effective date of this amendment to facilitate the operation of this amendment. If the General Assembly fails to pass such laws within six months of the

effective date of this amendment, or the members of the Gaming Integrity Commission have not been appointed as provided in this section, the games authorized in this section may be conducted on and after that date under the supervision of the Lottery Commission, which shall retain such supervisory authority until the General Assembly has passed laws to facilitate the operation of this amendment and the members of the Gaming Integrity Commission have been appointed as provided in this section.

For purposes of this section, "slot machines" shall include any mechanical, electrical, or other device or machine which, upon insertion of a coin, token, or similar object, or upon payment of any consideration, is available to play or operate, the play or operation of which, by reason of the application of the element of chance, makes individual prize determinations for individual participants in cash, premiums, merchandise, tokens, or any thing of value, whether the payoff is made automatically from the machine or in any other manner. The slot machines authorized by this section may be linked by their operators with other such devices located at the facilities authorized by this section for the purpose of providing prizes based in whole or in part upon the play of such connected devices at the same or other authorized facilities.

For purposes of this section "permitted commercial horse racing track" means any place, track, or enclosure where a permit holder conducted live horse racing for profit at a racing meeting during the two calendar years prior to the approval of this amendment, and which continues to conduct live horse racing for profit following the approval of this amendment, and includes facilities on premises contiguous to, or separated only by a roadway from, those places, tracks, or enclosures, provided that a permit holder that currently conducts racing meetings on public land may relocate the facility authorized in this section if that permit holder relocates its permitted commercial horse racing track within the same county as provided by law.

For purposes of this section, "gross slot machine revenue" means the total of wagers received by a slot machine minus the total of: (1) cash or cash equivalents paid out to patrons as a result of playing a slot machine which are paid to patrons either manually or paid out by the slot machine; (2) cash paid to purchase annuities to fund prizes payable to patrons over a period of time as a result of playing a slot machine; and (3) any personal property distributed to a patron as the result of playing a slot machine, excluding travel expenses, food, refreshments, lodging, or services."